

Annexure 'III' to Directors' Report

**Form No. Mgt-9 EXTRACT OF ANNUAL RETURN
As on Financial Year ended on 31st March, 2022
[Pursuant to section 92(3) of the companies Act, 2013 and rule 12(1) of
the Companies (Management and Administration) rules, 2014]**

I. REGISTRATION & OTHER DETAILS:

i)	CIN	L74899DL1994PLC059839
ii)	Registration Date	28 June, 1994
iii)	Name of the Company	Almondz Global Securities Limited
iv)	Category/Sub-category of the Company	Company Limited by Shares / Indian Non-Government Company
v)	Address of the Registered office & contact details	F-33/3, Phase-II, Okhla Industrial Area, New Delhi-110 020 Telephone no. 011-43500700 e-mail: secretarial@almondz.com
vi)	Whether listed Company	Yes
vii)	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Beetal Financial & Computer Services (P) Ltd. "Beetal House" 3rd Floor, 99, Madangir, Behind Local Shopping Centre, near dada Harsukh das mandir, New Delhi - 110062 Phones: 011-29961281, 29961282 Fax: 011-29961280/84 e-mail: beetalrta@gmail.com Website: www.beetalfinancial.com

II. PRINCIPAL BUSINESS ACTIVITIES Of The Company

(All the business activities contributing 10 % or more of the total turnover of the Company shall be stated)

S. No.	Name and Description of main products/services	NIC code of Product/service	% to total turn over of the company
1.	Corporate Finance and Advisory Fees	99832-33	55.44%
2.	Wealth advisory/Broking Activities	6612	31.48%
3.	Debt & Equity Market Operations	649	13.05%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -

S. no.	Name and Address of the company	CIN/GLN	Holding/ Subsidiary /Associate	% of shares held	Applicable Section
1.	Almondz Finanz Limited F-33/3, Phase-II, Okhla Industrial Area, New Delhi-110 020	U65191DL2006PLC148718	Subsidiary	100%	Section 2(87)
2.	Almondz Commodities Private Limited F-33/3, Phase-II, Okhla Industrial Area, New Delhi-110 020	U51909DL2005PTC139714	Subsidiary	100%	Section 2(87)
3.	Almondz Financial Services Limited F-33/3, Phase-II, Okhla Industrial Area, New Delhi-110 020	U74110DL2008PLC183702	Subsidiary	100%	Section 2(87)
4.	Skiffle Healthcare Services Limited F-33/3, Phase-II, Okhla Industrial Area, New Delhi-110 020	U85110DL2012PLC246241	Subsidiary	100%	Section 2(87)
5.	Almondz Global Infra-Consultant Limited F- 33/3, Okhla Industrial Area, Phase II, New Delhi -110020	U74140DL2013PLC262069	Subsidiary	100%	Section 2(87)
6.	North Square Projects Private Limited F- 33/3, Okhla Industrial Area, Phase II, New Delhi -110020	U45200DL2012PTC239856	Subsidiary	100%	Section 2(87)

7.	Premier Alcobev Private Limited F-33/3, Okhla Industrial Area, Phase-II, New Delhi -110020	U15530DL2007PTC281067	Associate	50%	Section 2(6)
8.	Almondz Insolvency Resolutions Services Private Limited F-33/3, Phase-II, Okhla Industrial Area, New Delhi-110 020	U74999DL2017PTC324489	Associate	33%	Section 2(6)
9.	Avonmore Capital & Management Services Limited F-33/3, Okhla Industrial Area Phase-II New Delhi - 110020	L67190DL1991PLC045857	Holding	56.94	Section 2(46)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

(i) category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year [As on 1-April-2021]				No. of Shares held at the end of the year [As on 31-March-2022]				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) indian									
a) Individual/ HUF	264296	0	264296	1.02	314523	0	314523	1.22	(+)0.2
b) Central Govt.	0	0	0	0	0	0	0	0	0
c) State Govt.	0	0	0	0	0	0	0	0	0
d) Bodies Corporate	14804834	0	14804834	57.19	14825452		14825452	57.27	0
e) Banks / FI	0	0	0	0					0
f) Any Others	0	0	0	0					0
Sub total (A) (1)	15069130	0	15069130	58.21	15139975	0	15139975	58.49	(+)0.28
(2) Foreign									
a) NRIs- Individuals	0	0	0	0	0	0	0	0	0
b) Other-Individuals	0	0	0	0	0	0	0	0	0
c) Bodies Corporate	0	0	0	0	0	0	0	0	0
d) Banks / FI	0	0	0	0	0	0	0	0	0
e) Any Others	0	0	0	0	0	0	0	0	0
Sub total (A) (2)	0	0	0	0	0	0	0	0	0
total shareholding of Promoter (A) = (A) (1)+(A) (2)	15069130	0	15069130	58.21	15139975	0	15139975	58.49	(+)0.28
b. Public Shareholding									
1. institutions									
a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Foreign Portfolio Investors	0	0	0	0	283727	0	283727	1.10	0
c) Central Govt.	0	0	0	0	0	0	0	0	0
d) State Govt.	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (specify)	0	0	0	0	0	0	0	0	0
Sub-total (b)(1):-					283727	0	283727	1.10	0
2. non-institutions									

a) Bodies Corp.									
i) Indian	211044	0	211044	0.81	222293		222293	0.86	(+)0.05
ii) Overseas	3091500	0	3091500	11.94	3091500		3091500	11.94	0
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	1606222	115388	1721610	6.65	1752316	110755	1863071	7.19	(-)0.54
ii) Individual shareholders holding nominal share capital in excess of Rs1 lakh	5069045	17400	5086445	19.65	4586332	17400	4603732	17.78	(-)0.1.87
c) Other (Specify)									
i) NRI	264540	0	264540	1.02	270389		270389		(+)0.02
ii) HUF	129903	0	129903	0.50	106492		106492		(-)0.09
iii) Clearing Members	29889	0	29889	0.11	22957		22957		(-)0.02
iv) Trust	75	0	75	0.0003	0		0		0
v) IEPF Authority	280831		280831	1.08	280831		280831		0
Sub-total (b)(2):	10683049	132788	10815837	41.78	10333110	128155	1046125	41.41	(-)0.3
Total Public Shareholding (b)=(b)(1)+ (b)(2)	10683049	132788	10815837	41.78	10616837	128155	10744992	41.51	(-)0.2
c. Shares held by custodian for Gdrs & Adrs	0	0	0	0	0	0	0	0	0
Grand total (A+b+c)	25752179	132788	25884967	100	25756812	128155	25884967	100	0

(ii) Shareholding of Promoter

S. no.	Shareholder's name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	no. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	
1.	Navjeet Singh Sobti	60715	0.23	0	60715	0.23	0	0
2.	Gurpreet N. S.Sobti	50000	0.19	0	50000	0.19	0	0
3.	Avonmore Capital & Management Services Limited	14719744	56.87	0	14740362	56.94	0	0
4.	Innovative Money Matters Private Ltd.	85090	0.33	0	85090	0.33	0	0
5	Navjeet Singh Sobti HUF	153581	0.59	0	203808	0.78	0	(+)0.19
	Total	15069130	58.21	0	15139975	58.48	0	(+)0.27

(iii) change in Promoter's Shareholding: There is no change in Promoters Shareholding during the Year under review, However one more person Navjeet Singh Sobti HUF has been added in Promoter and Promoter Group.

(iv) Shareholding Pattern of top ten Shareholders (other than directors, Promoters and Holders of GDRs and ADRs)

S. no.	Top 10 Shareholders*	Shareholding at the beginning of the year (01-04-2021)		Cumulative Shareholding at the end of the year (31-03-2022)	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	AI Anwar Holdings SAOG	3091500	11.94	3091500	11.94
2.	Dilip Kumar Laxhi	1170117	4.52	1170117	4.52

3.	Jagdeep Singh	424119	1.63	424119	1.63
4.	Aegis Investment Fund	0	0	283727	1.09
5.	Investor Education and Protection Fund	280831	1.08	280831	1.08
6.	Banarsi Lal Mahajan	274368	1.06	274368	1.06
7.	Darshana Anant Sanghvi	359536	1.39	246117	0.95
8.	Manish Lakhi	239157	0.92	239157	0.92
9.	Jasmeet Kaur Sethi	186200	0.72	179852	0.69
10	Girdharilal V Lakhi	160618	0.62	160618	0.62

*the shares of the company are traded on a daily basis and hence the date wise increase / decrease in shareholding is not indicated. Shareholding is consolidated based on permanent account number (PAN) of the shareholder.

(v) Shareholding of Directors and Key Managerial Personnel:

S. No.	Name	Shareholding		Date	Increase/decrease in share holding	Reason	Cumulative Shareholding during the year (01-04-2021 to 31-03-2022)	
		No. of shares at the beginning of the year(01-04-2021)/ end of the year (31-03-2022)	% of total shares of the company				No. of shares	% of total Shares of the Company
1.	Navjeet Singh Sobti (Managing Director)	60715	0.23	NA	NA	NA	60715	0.23
				31-03-2022	NA	NA	60715	0.23
2.	Jagdeep Singh (Whole Time Director)	424119	1.63	NA	NA	NA	424119	1.63
				31-03-2022	NA	NA	424119	1.63
3.	Krishan Lal Khetarpaul (Independent Director)	0	0	NA	NA	NA	0	0
4.	Sanjay Kumar Tiwari (Director)	0	0	NA	NA	NA	0	0
5.	Satish Chandra Sinha (Independent Director)	0	0	NA	NA	NA	0	0
6.	Abdul Redha Mustafa Abdul Redha Sultan (Director)	0	0	NA	NA	NA	0	0
7.	Ajay Kumar (Independent Director)	0	0	NA	NA	NA	0	0
8.	Neelu Jain(Director)	0	0	NA	NA	NA	0	0
9.	Rajeev Kumar (Chief Financial Officer)	0	0	NA	NA	NA	0	0
11.	Ajay Pratap (Company Secretary)	0	0	NA	NA	NA	0	0

(v) INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Rupees in Lakhs)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	9,05,57,259.00	93,05,000.00		9,98,62,259.00
ii) Interest due but not paid	-	-		-
iii) Interest accrued but not due	-	-		-
Total (i+ii+iii)				
Change in Indebtedness during the financial year				

* Addition (net)	1,62,40,02,824.00	3,93,18,559.00		1,66,33,21,383.00
* Reduction (net)	1,71,36,55,423.00	4,75,90,000.00		1,76,12,45,423.00
Net Change				
Indebtedness at the end of the financial year				
i) Principal Amount	9,04,662.00	-		9,04,662.00
ii) Interest due but not paid	-	-		-
iii) Interest accrued but not due	-	10,33,559.00		10,33,559.00
Total (i+ii+iii)	9,04,662.00	10,33,559.00	-	19,38,221.00

VI. Remuneration of Directors and Key Managerial Personnel-

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

(Rupees in Lakhs)

S. No.	Particulars of remuneration			
		Mr. Navjeet Singh Sobti (Managing Director) (w.e.f. 12.08.2019)	Mr. Jagdeep Singh (Whole time director) (w.e.f 01.12.2019)	Total Amount
1	Gross salary	8.22	34.48	42.70
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961			
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	12.14	0	12.14
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	5,00,000 stock option Convertible in to equal no. of Shares	-
3	Sweat Equity	-	-	--
4	Commission - as % of profit - others, specify	-		
5	Others, please specify	-	-	-
	Fee for attending board/committee meetings	-	--	-
	Total (A)	20.36	34.48	54.84
	Ceiling as per the Act*	84.00	84.00	168.00

* The Company has passed Special Resolution to pay in excess of ceiling as per the Act.

B. Remuneration to other Directors

(Rupees in Lakhs)

S. no.	Particulars of remuneration	Name of the Directors						Total Amount
		Mr. Ajay Kumar	Mr. Satish Chandra Sinha	Mr. Krishan Lall Khetarpaul	Mr. Sanjay Kumar Tiwari	Mrs. Neelu Jain	Mr. Abdul Redha Mustafa Abdul Redha Sultan	
1.	Independent Directors							
	Fee for attending board/ committee meetings	1.69	0.67	1.35	0	0	0	3.71
	Commission	0	0	0	0	0	0	0
	Others, please specify	0	0	0	0	0	0	0
	Total (1)	1.69	0.67	1.35	0	0	0	3.71

S. no.	Particulars of remuneration	Name of the Directors						Total Amount
		Mr. Ajay Kumar	Mr. Satish Chandra Sinha	Mr. Krishan Lall Khetarpaul	Mr. Sanjay Kumar Tiwari	Mrs. Neelu Jain	Mr. Abdul Redha Mustafa Abdul Redha Sultan	
2	Other Non-Executive Directors							
	Fee for attending board/ committee meetings	0	0	0	1.01	1.03	0.60	2.64
	Commission	0	0	0	0	0	0	0
	Others, please specify	0	0	0	0	0	0	0
	Total (2)	0	0	0	1.01	1.03	0.60	2.64
	Total (B)=(1+2)	1.69	0.67	1.35	1.01	1.03	0.60	6.35
	Total Managerial Remuneration	Total remuneration to Managing Director and other Directors (being the total of A and B) = 47.17						
	Overall Ceiling as per the Act	Upto Rs. One Lac per Director for each meeting of Board/Committee						

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

(Rupees in Lakhs)

S. no	Particulars of Remuneration	Key managerial Personal		
		Chief Financial Officer Mr. Rajeev Kumar*	Company Secretary Mr. Ajay Pratap	Total
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	N/A	21.15	21.15
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-		
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	50,000**	3,00,000**	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	others, specify	-	-	-
5	Others, please specify	-	-	-
	Total	-	21.15	21.15

*Remuneration to MR. Rajeev Kumar is paid from wholly owned subsidiary namely Almondz Finanz Limited

**The Stock Options are Convertible into equal no. of equity shares of the Company

vii. Penalties / Punishment/ compounding of offences: Nil

For and on behalf of the Board of Directors

Satish Chandra Sinha
Chairman

August 10, 2022
New Delhi