

**COMPLAINTS DATA
SME IPOs AND FPOs**

Data for month ending – June 30, 2022

| SN | Received from | Pending as at the end of last month i.e., May 2022 | Received during the month i.e., June 2022 | Resolved during the month i.e., June 2022 * | Total Pending during the Month i.e., June 2022 # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|----|-------------------------------|--|---|---|--|------------------------------|-------------------------------------|
| 1 | Directly from Investors | | | | | | |
| 2 | SEBI (SCORES) | | | | | | |
| 3 | Stock Exchanges (if relevant) | | | N.A | | | |
| 4 | Other Sources (if any) | | | | | | |
| 5 | Grand Total | | | | | | |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month i.e., May 2022 | Received during the month i.e., June 2022 | Resolved during the month i.e., June 2022 * | Pending at the end of the Month i.e., June 2022 # |
|----|--------------------|--|---|---|---|
| 1 | January, 2022 | N.A | N.A | N.A | N.A |
| 2 | February, 2022 | N.A | N.A | N.A | N.A |
| 3 | March, 2022 | N.A | N.A | N.A | N.A |
| 4 | April, 2022 | N.A | N.A | N.A | N.A |
| 5 | May, 2022 | N.A | N.A | N.A | N.A |
| 6 | June, 2022 | N.A | N.A | N.A | N.A |
| | Grand Total | | | | |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rollingbasis)-

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|----|--------------------|------------------------------------|-------------------------------------|-------------------------------------|---|
| 1 | 2021 | | | | |
| 2 | 2022 | | | | |
| 3 | 2023 | | N.A | | |
| 4 | 2024 | | | | |
| 5 | 2025 | | | | |
| | Grand Total | | | | |

The Merchant Banker is not involved in any assignment/pending assignment of SME IPOs and FPOs during the previous 6 months ending June 30, 2022