COMPLAINTS DATA

COLLECTIVE DATA FOR MERCHANT BANKING ACTIVITIES

Data for month ending – March 31, 2023

SN	Received from	Pending as at the end of last month i.e., Feb 2023	Received during the month i.e., March 2023	Resolved during the month i.e., March 2023 *	Total Pending during the Month i.e., March 2023 #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	**Grand Total**	Nil	Nil	Nil	Nil	Nil	Nil

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month i.e., Feb 2023	Received during the month i.e., March 2023	Resolved during the month i.e., March 2023 *	Pending at the end of the particular month #
1	November,2022	N.A	N.A	N.A	N.A
2	December,2022	N.A	N.A	N.A	N.A
3	January, 2023	N.A	N.A	N.A	N.A
4	February,2023	N.A	N.A	N.A	N.A
5	March,2023	N.A	N.A	N.A	N.A
		N.A	N.A	N.A	N.A
	Grand Total	N.A	N.A	N.A	N.A

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rollingbasis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the endof the particular year
1	2021				
2	2022				
3	2023		N.A		
4	2024				
5	2025				
	Grand Total				