

almondz
the financial powerhouse

Almondz Global Securities Ltd.

Ref:agsl/corres/Nse/15-16/cl.49 /00189

July 10, 2015

**The Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai – 400051**

Re: Quarterly Compliance Report on Corporate Governance for the Quarter ended 30 June, 2015

Sir/Ma'm,

Pursuant to Clause 49 of the Listing Agreement, please find enclosed herewith the Quarterly Compliance Report on Corporate Governance for the Quarter ended 30 June, 2015.

You are requested to kindly take the same on your record.

Thanking you,

Yours Faithfully,
For Almondz Global Securities Ltd.


Ajay Pratap
Company Secretary

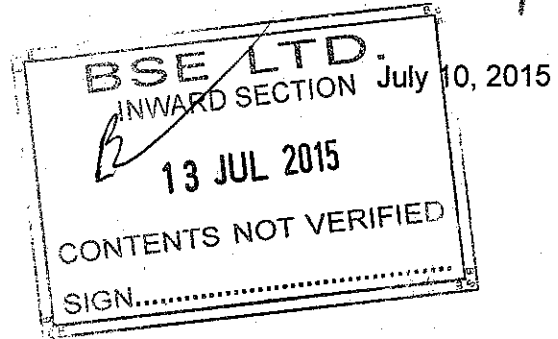


Encl: a/a

o/c

Ref:agsl/corres/Bse/15-16/cl.49 /00190

**The General Manager
(Listing & Corporate Relations)
Bombay Stock Exchange Ltd.
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001**



Re: Quarterly Compliance Report on Corporate Governance for the Quarter ended 30 June, 2015

Sir/Ma'm,

Pursuant to Clause 49 of the Listing Agreement, please find enclosed herewith the Quarterly Compliance Report on Corporate Governance for the Quarter ended 30 June, 2015.

You are requested to kindly take the same on your record.

Thanking you,

Yours Faithfully,
For Almondz Global Securities Ltd.

Ajay Pratap

**Ajay Pratap
Company Secretary**



Encl: a/a

Quarterly Compliance Report on Corporate Governance

Name of the Company: **Almondz Global Securities Limited**

Quarter ended on: **30 June 2015**

Particulars	Clause of Listing Agreement	Compliance Status (Yes/No/N.A.)	Remarks
II. Board of Directors	49II		-
(A) Composition of Board	49(IIA)	Yes	-
(B) Independent Directors	49(IIB)	Yes	-
(C) Non-executive Directors compensation & disclosures	49(IIC)	Yes	-
(D) Other Provisions as to Board and Committees	49(IID)	Yes	-
(E) Code of Conduct	49(IIE)	Yes	-
(F) Whistle Blower Policy	49(IIF)	Yes	-
III. Audit Committee	49 III		-
(A) Qualified & Independent Audit Committee	49(IIIA)	Yes	-
(B) Meeting of Audit Committee	49(IIIB)	Yes	-
(C) Powers of Audit Committee	49(IIIC)	Yes	-
(D) Role of Audit Committee	49(IIID)	Yes	-
(E) Review of information by Audit Committee	49(IIIE)	Yes	-
IV. Nomination and Remuneration Committee	49(IV)	Yes	-
V. Subsidiary Companies	49(V)	Yes	-
VI. Risk Management	49(VI)	Yes	-
VII. Related Party Transactions	49(VII)	Yes	-



VIII. Disclosures	49 (VIII)		-
(A) Related Party Transactions	49(VIIIA)	Yes	Summarised statement of transactions with Related Parties is submitted on a quarterly basis to the Audit Committee.
			-
(B) Disclosure of Accounting Treatment	49(VIIIB)	Yes	-
(C) Remuneration of Directors	49(VIIIC)	Yes	-
(D) Management	49(VIIID)	Yes	-
(E) Shareholders	49(VIIIE)	Yes	-
(F) Proceeds from public issues, rights issues, preferential issues, etc.	49(VIIIF)	N.A.	Not Applicable during the quarter under report as the Company has not raised any money through an issue (public issues, rights issues, preferential issues etc.), during the Quarter ended 30 June 2015
IX. CEO/CFO Certification	49 (IX)	Yes	-
X. Report on Corporate Governance	49 (X)	Yes.	-
XI. Compliance	49 (XI)	Yes	-

For Almondz Global Securities Ltd.



Ajay Pratap
Ajay Pratap
Company Secretary

10 July, 2015
New Delhi