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Almondz Global Securities Ltd.

Scrip code	531400
NSE Symbol	ALMONDZ
MSEI Symbol	NOTLISTED
ISIN	INE326B01035
Name of the entity	ALMONDZ GLOBAL SECURITIES LTD
Date of start of financial year	01-04-2024
Date of end of financial year	31-03-2025
Reporting Quarter Type	Yearly
Date of Quarter Ending	31-03-2025
Type of company	Equity
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	Yes
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	Yes
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	No

Almondz Global Securities Ltd

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CIN: L74899MH1994PLC434425, Email: secretarial@almondz.com, Website: www.almondzglobal.com

Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	Yes
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	Yes
Whether Annexure I (Part F) of the SEBI Circular dated December 31, 2024 related to Disclosure Of Loans / Guarantees / Comfort Letters / Securities Etc. is Applicable to the entity?	Yes
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Top 2000 listed entities
Is SCORE ID Available ?	Yes
SCORE Registration ID	A00150

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit / Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
1	Mr	SATISH CHANDRA SINHA	ADSPS3128C	03598173	Non-Executive - Independent Director	Chairperson		31-07-1952	No				Active	NA		25-05-2016	25-05-2021		60.00	2	2	3	

2	Mr	AJAY PRATAP	AOPPP 1649M	1080 5775	Executive Director	Not Applic able		10-07-1977	No				Acti ve	NA		11-10-2024				1	0	0	0
3	Mr	Manoj Kumar Arora	AEPPA 5397H	0677 7177	Executive Director	Not Applic able	M D	21-01-1973	No				Acti ve	NA		25-04-2024	12-08-2024			1	0	1	0
4	Mr	AJAY KUMAR	AEMPK 5513J	0195 4049	Non-Executive - Independen t Director	Not Applic able		30-01-1951	No				Acti ve	NA		11-08-2015	29-09-2020		60.0 0	1	1	0	2
5	Mrs	NEELU JAIN	AADPJ 6116B	0022 7058	Non-Executive - Non Independen t Director	Not Applic able		12-06-1956	No				Acti ve	NA		12-08-2014				3	2	4	0
6	Mr	SURIND ER SINGH KOHLI	AAWP K6879 P	0016 9907	Non-Executive - Independen t Director	Not Applic able		10-04-1945	No				Acti ve	NA		20-09-2024	12-08-2024		60.0 0	1	1	4	0
7	Mr	RAJKUM AR KHANN A	AAKPK 3911A	0518 0042	Non-Executive - Independen t Director	Not Applic able		19-10-1952	No				Acti ve	NA		20-09-2024	12-08-2024		60.0 0	3	3	1	0

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Annexure 1

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Audit Committee Details

Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03598173	SATISH CHANDRA SINHA	Non-Executive - Independent Director	Member	10-08-2017	-	
2	01954049	AJAY KUMAR	Non-Executive - Independent Director	Chairperson	11-08-2015	-	
3	05180042	RAJKUMAR KHANNA	Non-Executive - Independent Director	Member	20-09-2024	-	

Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson	Yes		

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New Delhi

Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03598173	SATISH CHANDRA SINHA	Non-Executive - Independent Director	Member	27-05-2019	-	
2	01954049	AJAY KUMAR	Non-Executive - Independent Director	Chairperson	11-08-2015	-	
3	05180042	RAJKUMAR KHANNA	Non-Executive - Independent Director	Member	20-09-2024	-	

Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson	Yes

Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01954049	AJAY KUMAR	Non-Executive - Independent Director	Chairperson	11-08-2015	-	
2	06777177	Manoj Kumar Arora	Executive Director	Member	25-04-2024	-	
3	03598173	SATISH CHANDRA SINHA	Non-Executive - Independent Director	Member	20-09-2024	-	

Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson	Yes

Alfordz Global Securities Ltd

Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01954049	AJAY KUMAR	Non-Executive - Independent Director	Chairperson	11-08-2015	-	
2	03598173	SATISH CHANDRA SINHA	Non-Executive - Independent Director	Member	27-05-2019	-	

Other Committee

Sr. No.	DIN	Name of Committee Members	Name of Committee	Category 1 of Directors	Category 2 of Directors
1	01954049	AJAY KUMAR	MANAGEMENT COMMITTEE	Non-Executive - Independent Director	Member
2	06777177	MANOJ KUMAR ARORA	MANAGEMENT COMMITTEE	Executive Director	Chairperson
3	03598173	SATISH CHANDRA SINHA	MANAGEMENT COMMITTEE	Non-Executive - Independent Director	Member
4	01954049	AJAY KUMAR	COMPENSATION COMMITTEE	Non-Executive - Independent Director	Chairperson
5	06777177	MANOJ KUMAR ARORA	COMPENSATION COMMITTEE	Executive Director	Member

Almondz Global Securities Ltd New Delhi

6	03598173	SATISH CHANDRA SINHA	COMPENSATION COMMITTEE	Non-Executive - Independent Director	Member

Annexure 1

III. Meeting of Board of Directors

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Whether requirement of Quorum met (Yes/No)	Total No. of Directors as on date of Meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	10-10-2024		Yes	6	6	4
2	11-11-2024	31	Yes	7	7	4
3	12-02-2025	92	Yes	7	7	4

IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter	Maximum gap between any two consecutive (in number of days)	Whether requirement of Quorum met (Yes/No	Total No. of Directors as on date of Meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the Meeting(Other than Board of Directors)

Anondz Global Securities Ltd
New Delhi

		in chronological order)						
1	Audit Committee	10-10-2024		Yes	3	3	3	0
2	Audit Committee	11-11-2024	31	Yes	3	3	3	0
3	Audit Committee	12-02-2025	92	Yes	3	3	3	0
4	COMPENSATION COMMITTEE	25-10-2024		Yes	3	3	2	0
5	COMPENSATION COMMITTEE	12-02-2025		Yes	3	3	2	0
6	Stakeholders Relationship Committee	27-03-2025		Yes	3	3	2	0
7	Management Committee	25-02-2025		Yes	3	2	1	0
8	Management Committee	25-03-2025	27	Yes	3	3	2	0

Almondz Global Securities Ltd
New Delhi

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirssements) Regulations, 2015 **a. Audit Committee**	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. **b. Nomination & remuneration committee**	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. **c. Stakeholders relationship committee**	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. **d. Risk management committee (applicable to the top 500 listed entities)**	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes

Almondz Global Securities Ltd

8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1

Sr	**Subject**	**Compliance status**
1	Name of signatory	AJAY PRATAP
2	Designation	Company Secretary and Compliance Officer
3	Place	New Delhi
4	Date	25-04-2025

Details of Cyber security incidence

Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter			No
Date of the event		Brief details of the event	

Almondz Global Securities Ltd

Sr	Item	Compliance status (Yes/No /NA)	If status is "No" details of non-compliance may be given here.	Web address
	As per regulation 46(2) of the LODR:			
1.1	Details of business	Yes		www.almondzglobal.com
1.2	Memorandum of Association and Articles of Association	Yes		www.almondzglobal.com
1.3	Brief profile of board of directors including directorship and full-time positions in body corporates	Yes		www.almondzglobal.com
2	Terms and conditions of appointment of independent directors	Yes		www.almondzglobal.com
3	Composition of various committees of board of directors	Yes		www.almondzglobal.com
4	Code of conduct of board of directors and senior management personnel	Yes		www.almondzglobal.com
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.almondzglobal.com
6	Criteria of making payments to non-executive directors	Yes		www.almondzglobal.com
7	Policy on dealing with related party transactions	Yes		www.almondzglobal.com
8	Policy for determining 'material' subsidiaries	Yes		www.almondzglobal.com

Almondz Global Securities Ltd
New Delhi

9	Details of familiarization programmes imparted to independent directors	Yes		www.almondzglobal.com
10	Email address for grievance redressal and other relevant details	Yes		www.almondzglobal.com
11	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.almondzglobal.com
12	Financial results	Yes		www.almondzglobal.com
13	Shareholding pattern	Yes		www.almondzglobal.com
14	Details of agreements entered into with the media companies and/or their associates	NA		
15.1	(I) Schedule of analyst or institutional investor meet (II) Presentations prepared by the listed entity for analysts or institutional investors meet, post earnings or quarterly calls prior to beginning of such events.	NA		
15.2	Audio recordings, video recordings, if any, and transcripts of post earnings or quarterly calls, by whatever name called, conducted physically or through digital means	NA		
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		www.almondzglobal.com
18	Credit rating or revision in credit rating obtained	Yes		www.almondzglobal.com
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes		www.almondzglobal.com

Almondz Global Securities Ltd New Delhi

20	Secretarial Compliance Report	Yes		www.almondzglobal.com
21	Materiality Policy as per Regulation 30 (4)	Yes		www.almondzglobal.com
22	Disclosure of contact details of KMP who are authorized for the purpose of determining materiality as required under regulation 30(5)	Yes		www.almondzglobal.com
23	Disclosures under regulation 30(8)	Yes		www.almondzglobal.com
24	Statements of deviation(s) or variations(s) as specified in regulation 32	Yes		www.almondzglobal.com
25	Dividend Distribution policy as per Regulation 43A(1)	NA		
26.1	Annual return as provided under section 92 of the Companies Act, 2013	Yes		www.almondzglobal.com
26.2	Employee Benefit scheme documents framed in terms of SEBI (SBEB) Regulations, 2021	Yes		www.almondzglobal.com
27	Confirmation that the above disclosures are in a separate section as specified in regulation 46(2)	Yes		www.almondzglobal.com
28	Compliance with regulation 46(3) with respect to accuracy of disclosures on the website and timely updating	Yes		www.almondzglobal.com

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Annexure II
II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b)	Yes
2	Board composition	17(1), 17(1A) & 17(1C), 17(1D) & 17(1E)	Yes
3	Meeting of Board of directors	17(2)	Yes
4	Quorum of Board meeting	17(2A)	Yes
5	Review of Compliance Reports	17(3)	Yes
6	Plans for orderly succession for appointments	17(4)	Yes
7	Code of Conduct	17(5)	Yes
8	Fees/compensation	17(6)	Yes
9	Minimum Information	17(7)	Yes
10	Compliance Certificate	17(8)	Yes
11	Risk Assessment & Management	17(9)	Yes

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12	Performance Evaluation of Independent Directors	17(10)	Yes
13	Recommendation of Board	17(11)	Yes
14	Maximum number of Directorships	17A	Yes
15	Composition of Audit Committee	18(1)	Yes
16	Meeting of Audit Committee	18(2)	Yes
17	Role of Audit Committee and information to be reviewed by the audit committee	18(3)	Yes
18	Composition of nomination & remuneration committee	19(1) & (2)	Yes
19	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes
20	Meeting of Nomination and Remuneration Committee	19(3A)	Yes
21	Role of Nomination and Remuneration Committee	19(4)	Yes
22	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes
23	Meeting of Stakeholders Relationship Committee	20(3A)	Yes
24	Role of Stakeholders Relationship Committee	20(4)	Yes
25	Composition and role of risk management committee	21(1),(2),(3),(4)	NA
26	Meeting of Risk Management Committee	21(3A)	NA
27	Quorum of Risk Management Committee meeting	21(3B)	NA
28	Gap between the meetings of the Risk Management Committee	21(3C)	NA

Arihandz Global Securities Ltd

29	Vigil Mechanism	22	Yes
30	Policy for related party Transaction	23(1), (1A), (5), (6), & (8)	Yes
31	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes
32	Approval for material related party transactions	23(4)	Yes
33	Disclosure of related party transactions on consolidated basis	23(9)	Yes
34	Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes
35	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes
36	Alternate Director to Independent Director	25(1)	NA
37	Maximum Tenure	25(2)	Yes
38	Appointment, Re-appointment or removal of an Independent Director through special resolution or the alternate mechanism	25(2A)	Yes
39	Meeting of independent directors	25(3) & (4)	Yes
40	Familiarization of independent directors	25(7)	Yes
41	Declaration from Independent Director	25(8) & (9)	Yes
42	Directors and Officers insurance	25(10)	NA
43	Confirmation with respect to appointment of Independent Directors who resigned from the listed entity	25(11)	Yes
44	Memberships in Committees	26(1)	Yes

45	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes
46	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes
47	Approval of the Board and shareholders for compensation or profit sharing in connection with dealings in the securities of the listed entity	26(6)	Yes
48	Vacancies in respect Key Managerial Personnel	26A(1) & 26A(2), 26A(3)	Yes

Annexure II

1	Name of signatory	AJAY PRATAP
2	Designation	Company Secretary and Compliance Officer

Annexure II

III. Affirmations

Sr	**Particulars**	**Compliance status (Yes/No/NA)**
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes

Annexure II		
1	Name of signatory	AJAY PRATAP
2	Designation	Company Secretary and Compliance Officer

Signatory Details	
Name of signatory	AJAY PRATAP
Designation of person	Company Secretary and Compliance Officer
Place	NEW DELHI
Date	25-04-2025

Investor Grievance Details	
No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	0
No. of investor complaints disposed off during the Quarter	0
No. of investor complaints those remaining unresolved at the end of the Quarter	0

Disclosure of Imposition of Fine or Penalty

The details of imposition of fine or penalty during the quarter in terms of sub-para 20 of para A of Part A of Schedule III are given below:

Any Other Information for Disclosure of Imposition of Fine or Penalty

Sr. No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
1.	BSE Ltd.	Fine of Rs. 92,040 /- by BSE	17-03-2025	Non-Compliance under Regulation 17(1A) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.	NIL except the fine mentioned above if not waived
2.	National Stock Exchange of India Ltd	Fine of Rs. 92,040 /- by BSE	17-03-2025	Non-Compliance under Regulation 17(1A) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.	NIL except the fine mentioned above if not waived

Almondz Global Securities Ltd
New Delhi

Disclosure of Updates to Ongoing Tax Litigations or Disputes The updates on tax litigations or disputes in terms of sub-para 8 of para B of Part A of Schedule III read with corresponding provisions of Annexure 18 of the Master Circular are given below:
Any Other Information for Disclosure of Updates to Ongoing Tax Litigations or Disputes

Sr. No.	Name of the opposing party	Date of initiation of the litigation / dispute	Status of the litigation / dispute as per last disclosure	Current status of the litigation / dispute
	National Highway Authority of India (NHAI)	20-11-2024	One of our wholly owned subsidiary (WOS) company namely Almondz Global Infra-Consultant Limited (AGICL) has received Notice of Debarment by one of its client viz NHAI from bidding for NHAI projects for period of Two Years w.e.f. 13th March, 2024 related to Infrastructure consultancy wherein the WOS is JV partner with Bloom Companies LLC	Against the NHAI, the JV approached the Hon'ble High Court of Chhattisgarh through writ petition challenging the order of debarment.The Hon'ble Court after hearing both the parties allowed the Writ Petition and quashed the Debarment Order of NHAI.

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