

Format of holding of Specified Securities

1. **Name of Entity:** Almondz Global Securities Ltd

2. **Script Code:** 531400

3. **Shareholding Pattern filed under:** Reg. 31 (1) (b)

If under 31 (1) (b) then indicate the report for the Quarter Ended: 31.12.2020

If under 31 (1)(c) then indicate date of allotment/extinguishment-N.A.

4. **Declaration:** The Listed Entity is required to submit the following declaration to the extent of submission of information:

SR No.	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		Yes
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Lock-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether the Listed Entity has any significant beneficial owner?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid-up shares, Outstanding convertible Securities/Warrants, depository receipts, locked-in shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as Zero' by default on submission of the format of holding of specified securities.

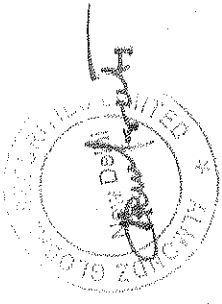
6. The tabular format for disclosure of holding of specified securities is as follows:-



SEBI Merchant Banking Registration No. INM000000834

ALMONDZ GLOBAL SECURITIES LTD. FACE VALUE RS. 6.00
 SHAREHOLDING PATTERN AS ON 31st December, 2020
 Table - I Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)			No of Voting Rights held in each class of securities (IX)			No of shares underlying convertible securities (including warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)	No of Equity Shares Held in Dematerialised form (XIV)
							Class X	Class Y	Total	Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)			No. (a)	As a % of total shares held (b)		
(A)	Promoter & Promoter Group	5	15001190	0	0	15001190	57.9533	15001190	57.9533	0	0	0	0	48.0476	0	0	0	10750989
(B)	Public	4414	10883777	0	0	10883777	42.0467	10883777	42.0467	0	0	0	4700000	50.9524	245810	2.4609	0	10883777
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0.0000	0	0	0	0	0.0000	0	0	0	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0.0000	0	0	0	0	0.0000	0	0	0	0
(C2)	Share Held by Employees Trusts	0	0	0	0	0	0.0000	0	0.0000	0	0	0	0	0.0000	0	0	0	0
	Total	4419	25884967	0	0	25884967	100.0000	25884967	100.0000	0	0	0	4700000	100.0000	245810	2.4609	0	2575178
	Total A+B+C1+C2																	



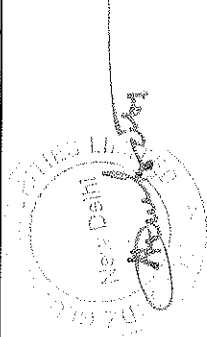
ALMONDZ GLOBAL SECURITIES LTD. FACE VALUE RS. 6.00
 SHAREHOLDING PATTERN AS ON 31st December, 2020
 Table - II Statement showing shareholding pattern of the Promoter and Promer Group

S.No.	Category & Name of the Shareholder (i)	PAN (ii)	No of Shareholders (iii)	No. of fully paid Equity Share Held (iv)	No. Of Equity Shares Held (v)	No. Of shares underlying Depository Receipts (vi)	Total Nos. Shares Held (vii) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957 (VIII) as a % of (A+B+C2))	No of Voting Rights held in each class of securities (ix)		No of shares underlying convertible securities (including warrants) (x)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (xi)=(vii)+(x) as a % of (A+B+C2)	No. of Locked in Shares (xii)		No of Shares pledged or otherwise encumbered (xiii)		No of Equity Shares held in Dematerialized form (xiv)
									Class X	Class Y			Total	Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	
(1)	Indian																
(a)	Individual		2	110715	0	0	110715	0.4277	110715	0	0	0.3620	0	0	0	0.0000	110715
	Gurpreet N S Sobti	ABFSP9400L		50000	0	0	50000	0.1932	50000	0	0	0.1635	0	0	0	0.0000	50000
	Naveet Singh Sobti	AAYPS3411R		60715	0	0	60715	0.2346	60715	0	0	0.1985	0	0	0	0.0000	60715
(b)	HUF		1	85641	0	0	85641	0.3309	85641	0	0	0.2800	0	0	0	0.0000	85641
	Naveet Singh Sobti HUF			85641	0	0	85641	0.3309	85641	0	0	0.2800	0	0	0	0.0000	85641
(c)	Central Govt./State Govt./ President Of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0
(d)	Financial Insty/banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0
(e)	Any other																
	Other - Body Corporate		2	14804834	0	0	14804834	57.1947	14804834	0	0	48.4056	0	0	0	0.0000	14804834
	Avonmore Capital & Management Services Ltd	AABCA0478N		14719744	0	0	14719744	56.8660	14719744	0	0	48.1274	0	0	0	0.0000	14719744
	Innovative Money Matters Pvt.Ltd	AAACI2155A		85090	0	0	85090	0.3287	85090	0	0	0.2782	0	0	0	0.0000	85090
	Sub - Total		4	15001190	0	0	15001190	57.9533	15001190	0	0	49.0476	0	0	0	0.0000	15001190
(2)	Foreign																
(a)	Individual(Inr/ Foreign Individual)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0
(e)	Any Other																
	Sub - Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0
	Total Shareholding of Promoter and Promer Group		4	15001190	0	0	15001190	57.9533	15001190	0	0	49.0476	0	0	0	0.0000	15001190



ALMONDZ GLOBAL SECURITIES LTD. FACE VALUE RS. 6.00
 SHAREHOLDING PATTERN AS ON 31st December, 2020
 Table - III Statement showing shareholding pattern of the Public shareholding

S.No.	Category & Name of the Shareholder (i)	PAN (ii)	No of Shareholders (iii)	No. of fully paid Equity Share Held (iv)	No. Of Parity Paid Equity Shares Held (v)	No. Of shares underlying Depository Receipts (vi)	Total Nos. Shares Held (vii) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957 (viii) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (x)			No of shares underlying convertible securities (including warrants) (xi)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (xii) = (xi) x as a % of (A+B+C2)	No. of Locked in Shares (xiii)		No of Shares pledged or otherwise encumbered (xiv)		No of Equity Shares Held in Dematerialized form (xv)	
									Class X	Class Y	Total			As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
(1)	Institutions																		
(a)	Mutual Funds																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Inst./banks																		
(g)	Insurance Companies																		
(h)	Provident Funds/ Pension Fund																		
(i)	Any other																		
(2)	Sub-total (B)(1)																		
(3)	Central Govt/State Govt/ President Of India																		
(4)	Sub-total (B)(2)																		
(5)	Non-institutions																		
(a)	(i) Individual-Holding Nom. Val. upto Rs.2 lakhs		4185	2186877	0	2186877	8.484	2186877	0	2186877	8.484	1300000	11.3995	0	0.0000	70352	3.0525	2654025	
(b)	(ii) Individual-Holding Nom. Val. greater than Rs.2 lakhs		23	4451537	0	4451537	17.2990	4451537	0	4451537	17.2990	3400000	25.7023	0	0.0000	154945	3.4729	4451537	
(c)	Balbir Kumar			274368	0	274368	1.0900	274368	0	274368	1.0900	0	0.0000	0	0.0000	0	0.0000	274368	
(d)	Darshana Anant Sanghvi			400000	0	400000	1.5433	400000	0	400000	1.5433	0	0.0000	0	0.0000	0	0.0000	400000	
(e)	Dilipkumar Lakhi			359536	0	359536	1.3890	359536	0	359536	1.3890	0	0.0000	0	0.0000	0	0.0000	359536	
(f)	Jagdeep Singh			1170117	0	1170117	4.5205	1170117	0	1170117	4.5205	0	0.0000	0	0.0000	0	0.0000	1170117	
(g)	Mfc Registered With Rbi			424119	0	424119	1.6385	424119	0	424119	1.6385	0	0.0000	0	0.0000	0	0.0000	424119	
(h)	Employees Trust			0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(i)	Overseas Depository Holding DRs			0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(j)	Any Other			0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(k)	Other - Body Corp.		40	167022	0	167022	0.6452	167022	0	167022	0.6452	0	0.0000	0	0.0000	0	0.0000	167022	
(l)	Other - Trusts		1	75	0	75	0.0003	75	0	75	0.0003	0	0.0000	0	0.0000	0	0.0000	75	
(m)	Other - Foreign Body Corp		1	3091500	0	3091500	11.9432	3091500	0	3091500	11.9432	0	0.0000	0	0.0000	0	0.0000	3091500	
(n)	Al Anwar Holdings Saog			3091500	0	3091500	11.9432	3091500	0	3091500	11.9432	0	0.0000	0	0.0000	0	0.0000	3091500	
(o)	Other - Clearing Member		24	201359	0	201359	0.7779	201359	0	201359	0.7779	0	0.0000	0	0.0000	0	0.0000	201359	
(p)	Other - N R I - REPATRIABLE		20	225246	0	225246	0.8702	225246	0	225246	0.8702	0	0.0000	0	0.0000	0	0.0000	225246	
(q)	Other - N R I - REPATRIABLE		29	50746	0	50746	0.1960	50746	0	50746	0.1960	0	0.0000	0	0.0000	0	0.0000	50746	
(r)	Other - Individual HUF		90	218584	0	218584	0.8444	218584	0	218584	0.8444	0	0.0000	0	0.0000	0	0.0000	218584	
(s)	Other - IEP AUTHORITY		1	280831	0	280831	1.0849	280831	0	280831	1.0849	0	0.0000	0	0.0000	0	0.0000	280831	
(t)	Investor Education And Protection Fund Authority Ministry Of Corporate Affairs			280831	0	280831	1.0849	280831	0	280831	1.0849	0	0.0000	0	0.0000	0	0.0000	280831	
(u)	Sub-total (B)(3)		4414	10883777	0	10883777	42.0467	10883777	0	10883777	42.0467	4700000	50.8540	0	0.0000	245330	2.3405	10883777	
(v)	Total Public Shareholding		4414	10883777	0	10883777	42.0467	10883777	0	10883777	42.0467	4700000	50.8540	0	0.0000	245330	2.3405	10883777	



ALMONDZ GLOBAL SECURITIES LTD. FACE VALUE RS. 6.00
SHAREHOLDING PATTERN AS ON 31st December, 2020
Table - IV Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	No of Voting Rights held in each class of securities (IX)			No of shares underlying convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked In Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)
								Class X	Class Y	Total (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Custodian/DR Holder																
(a)	names																
(2)	Employees Benefit Trust (under SEBI Based employees benefit) Regulation 2014																
(a)	names																
	Total Non-Promoter Non Public shareholding C = C1+C2																

