ALMONDZ GLOBAL SECURITIES LIMITED

Corporate Identity Number (CIN) : L74899DL1994PLC059839
Regd. Office : F-33/3, Phase-II, Okhla Industrial Area, New Delhi-110 020
Tel : 011-43500700; **Website :** www.almondzglobal.com; **email id :** secretarial@almondz.com

NOTICE TO THE SHAREHOLDERS

NOTICE is hereby given that the 28th (Twenty Eight) Annual General Meeting of the Members of Almondz Global Securities Limited (the "Company") will be held on Tuesday, September 20, 2022 at 11:30 A.M. (IST) through Video Conferencing ("VC") or Other Audio Visual Means ("OAVM") to transact the following businesses:

ORDINARY BUSINESS:

1. To receive, consider and adopt the Audited Financial Statements (both Standalone and Consolidated) of the Company for the financial year ended March 31, 2022, together with the Reports of the Board of Directors and the Auditors thereon.

2. To appoint a Director in place of Ms. Neelu Jain (DIN: 00227058) who retires by rotation and being eligible, offers herself for re-appointment.

3. To consider and if thought fit, to pass, the following resolution as an Ordinary Resolution:

   "RESOLVED THAT pursuant to the provisions of Sections 139, 142 and other applicable provisions, if any, of the Companies Act, 2013 and the Companies (Audit and Auditors) Rules, 2014, including any statutory modification(s) or re-enactment(s) thereof for the time being in force, M/s. Mohan Gupta & Co., Chartered Accountants, (New Delhi), Registration No. 006519N, be and is hereby re-appointed as the Statutory Auditors of the Company for a term of five (5) years commencing from the conclusion of the 28th Annual General Meeting till the conclusion of the 33rd Annual General Meeting of the Company to be held in the Year 2027 on such remuneration as shall be decided by the Board of Directors of the Company;

   RESOLVED FURTHER THAT the Board of Directors of the Company be and are hereby authorised to do all such acts, deeds, matters and things as may be considered necessary, desirable and expedient to give effect to this Resolution."

SPECIAL BUSINESS:

4. To consider and if thought fit, to pass, the following Resolution as an Special Resolution:

   "RESOLVED THAT in accordance with the provisions of Sections 196, 197 and 203 and all other applicable provisions, if any of the Companies Act, 2013 ("the Act") (including any statutory modification or re-enactment thereof for the time being in force) read with Schedule V of the Act and Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 and Articles of Association of the Company and subject to such approvals, permissions and sanctions, as may be required, and subject to such conditions and modifications, as may be prescribed or imposed by any of the Authorities including the Central Government in granting such approvals, if any, permissions and sanctions, consent of the members be and is hereby accorded for payment of the same Remuneration as approved by the members of the Company in its Annual general Meeting held on 27 September, 2019 as detailed in the Explanatory Statement to the Managing Director of the Company, Mr. Navjeet Singh Sobti, (DIN: 00008393) for the remaining period of his tenure i.e. upto 11 August 2024."

   "RESOLVED FURTHER THAT notwithstanding anything to the contrary herein contained, where in any financial year, during the currency of the tenure of Mr. Navjeet Singh Sobti, the Company has no profits or its profits are inadequate, the Company will pay the salary and perquisites upto the limits as specified in the earlier approved resolution and also as appearing in the Explanatory Statement to Mr. Navjeet Singh Sobti as the minimum remuneration."

5. To consider and if thought fit, to pass the following Resolution as Special Resolution:

   "RESOLVED THAT in accordance with the provisions of Sections 196, 197 and 203 and all other applicable provisions, if any of the Companies Act, 2013 ("the Act") (including any statutory modification or re-enactment thereof for the time being in force) read with Schedule V of the Act and Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 and Articles of Association of the Company and subject to such approvals, permissions and sanctions, as may be required, and subject to such conditions and modifications, as may be prescribed or imposed by any of the Authorities including the Central Government in granting such approvals, if any, permissions and sanctions, consent of the members be and is hereby accorded for payment of the same Remuneration as approved by the members of the Company in its Annual general Meeting held on 27 September, 2019 as detailed in the Explanatory Statement to the Wholetime Director of the Company, Mr. Jagdeep Singh, (DIN: 00008348) for the remaining period of his tenure i.e. upto 30 November 2024."

   "RESOLVED FURTHER THAT notwithstanding anything to the contrary herein contained, where in any financial year, during the currency of the tenure of Mr. Jagdeep Singh, the Company has no profits or its profits are inadequate, the Company will pay the salary and perquisites upto the limits as specified in the earlier approved resolution and also as appearing in the Explanatory Statement Mr. Jagdeep Singh as the minimum remuneration."

6. To consider and if thought fit, to pass the following resolution with or without modification(s), as a Special Resolution:

   "RESOLVED THAT pursuant to the provisions of Section 12, 13 and other applicable provisions, if any, of the Companies Act, 2013, read with Rule

30 of the Companies (Incorporation) Rules, 2014 including any amendment, re-enactment or statutory modification thereof and such other statues, notifications, circulars, rules and regulations as may be applicable and relevant, each as amended, modified or restated and subject to approval of the Central Government (Power delegated to Regional Director) and/or any authority(ies) as may be required from time to time and subject to such approvals, permissions, consents and sanction as may be required from any regulatory authority and further subject to such conditions and modifications as may prescribed by such regulatory authority while granting such approvals, consents, permissions and sanction and which may be agreed to by the board of directors (hereinafter referred to as "**Board**", which shall be deemed to be include, unless the context otherwise requires any committee of the board or any person(s) authorized by the Board to exercise powers as conferred by the Board under this resolution), the consent of the members be and is hereby accorded for shifting of registered office of the Company from 'National Capital Territory of Delhi' to the 'State of Maharashtra at Mumbai' and that Clause-II of the Memorandum of Association of the Company be substituted with the following clause:

"II. The registered office of the Company will be situated in the State of Maharashtra."

"RESOLVED FURTHER THAT the Board of Directors of the Company be and are hereby severally authorized on behalf of the Company to agree to and make and accept such conditions, modifications, variations, alterations or revisions stipulated by any authority(ies), while according approval, consent as may be considered necessary and to appoint counsels and advisors, file applications/ petitions, issue notice, advertisements, obtain orders of shifting of Registered Office from the concerned authorities and to do all such acts, deeds, matters and things, in absolute discretion or deem necessary and proper in this regard."

7. To consider and, if thought fit, to pass, the following resolution as a Special Resolution:

"RESOLVED THAT in accordance with the applicable provisions of the Companies Act, 2013, read with the Companies (Management and Administration) Rules, 2014, Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 ("SEBI Regulations"), Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI LODR Regulations") (including any statutory modification or re-enactment thereof for the time being in force) and Almondz Global Securities Employees Stock Option Scheme 2007 and the Articles of Association of the Company and in accordance with the provisions of any other applicable laws or regulations and subject to such other approval(s), permission(s) and sanction(s) as may be necessary and subject to such conditions and modifications as may be prescribed or imposed by any authority while granting such approval(s), permission(s) and sanction(s), the consent of the Company be and is hereby accorded for revising the Exercise Period by increasing it from two years to five years of the Options Granted in 'Series G' as explained in the Explanatory Statement attached to this notice.

RESOLVED FURTHER THAT for the purpose of giving effect to the intent of this resolution, the "Board", which term shall include the Compensation Committee constituted by the Board for this purpose), which be and is hereby authorized on behalf of the Company, to take all actions and to do all such acts, deeds, matters and things as it may, in its absolute discretion deem necessary, expedient or proper and to settle all questions, difficulties or doubts at any stage without requiring the Board to obtain any further consent or approval of the Members of the Company.

RESOLVED FURTHER THAT the Board be and is hereby authorised to delegate all or any of the powers herein conferred to any Committee of Directors or any Director(s) or any Officer(s) of the Company."

8. To consider and, if thought fit to pass, the following Resolution as a Special Resolution:

"RESOLVED THAT pursuant to Sections 13, 14, 61 and all other applicable provisions, if any, under the Companies Act, 2013, the existing Authorised Share Capital of the Company of Rs. 30,00,00,000/- (Rupees Thirty Crores) divided into 5,00,00,000 (Five Crores) Equity Shares of Rs. 6/- (Rupees Six only) each be and is hereby reclassified into 3,00,00,000 (Three Crore) Equity Shares of Rs. 6/- (Rupees Six only) each aggregating to Rs. 18,00,00,000 (Eighteen Crores only) and 12,00,000 (Twelve Lakhs) Preference Shares of Rs.100/-(Rupees One Hundred only) each aggregating to Rs. 12,00,00,000/- (Rupees Twelve Crores), total aggregating to Rs. 30,00,00,000/- (Rupees Thirty Crores)."

"RESOLVED FURTHER THAT the Memorandum of Association of the Company be and is hereby altered by substituting the existing Clause V thereof by the following new Clause V is as under:

V. The Authorised Share Capital of the Company is Rs. Rs. 30,00,00,000/- (Rupees Thirty Crores) divided into 3,00,00,000 (Three Crores) Equity Shares of Rs. 6/- (Rupees Six only) each and 12,00,000 (Twelve Lakhs) Preference Shares of Rs.100/-(Rupees One Hundred only) each.

"RESOLVED FURTHER THAT consequent upon the reclassification in the Share Capital, the Articles of Association stands Amended as per Clause V of the Memorandum of Association."

"RESOLVED FURTHER THAT for the purpose of giving effect to this resolution, any of the Directors of the Company or the Company Secretary be and is hereby authorised severally to take all such steps and actions and give such directions as they may in their absolute discretion deem necessary and to settle any question that may arise in this regard, without being required to seek any further consent or approval of the Members or otherwise to the end and intent that the Members shall be deemed to have given their approval thereto expressly by authority of this resolution."

9. To consider and if thought fit, to pass, the following resolution as a Special Resolution:

"RESOLVED THAT pursuant to the provisions of Sections

42, 43, 55 and 62 and other applicable provisions, if any, of the Companies Act, 2013, (including any amendment thereto or re-enactment(s) thereof), for the time being in force (the "Companies Act") read with Companies (Share Capital and Debentures) Rules, 2014, the regulations/guidelines, if any, issued/prescribed by the Government of India, the Securities and Exchange Board of India ("SEBI") and in accordance with the relevant provisions of the Memorandum and Articles of Association of the Company, as applicable, subject to such terms and conditions, alteration and modifications as may be considered appropriate and agreed to by the Board of Directors of the Company (hereinafter referred to as the "Board" which term shall include a duly authorised committee thereof for the time being exercising the powers conferred by the Board), the consent of the Company be and is hereby accorded to the Board to create, offer, issue, invite to subscribe and allot, in one or more tranches, through private placement and / or on preferential basis, 12,00,000 (Twelve Lakhs) 7% Non-Convertible Non-Cumulative Redeemable Preference Shares (NCRPS) of Rs. 100/- (Rupees One Hundred Only) each, at par aggregating to Rs. 12,00,00,000/- (Rupees Twelve Crores Only) to Avonmore Capital & Management Services Limited being one of Promoters of the Company with such rights and privileges and on such terms and conditions as may be decided by the Board and subject to the followings:

RESOLVED FURTHER THAT the said Non-Convertible Redeemable Preference Shares shall not be convertible into equity shares and accordingly the same shall not be listed with any Stock Exchange.

RESOLVED FURTHER THAT in accordance with the provisions of section 43 of the Companies Act, 2013, the Preference Shares shall be non-participating, non-convertible, non-cumulative, redeemable, carry a preferential right vis-a-vis ordinary share of the Company, with respect to payment of dividend and repayment in case of winding up only.

RESOLVED FURTHER THAT the said preference shares shall be redeemed in one or more trenches after one years but before 3 years from the date of issue and allotment at the sole discretion of the Board of Directors of the Company subject to the availability of the adequate profits for redemption in compliance with the provisions of the Act

RESOLVED FURTHER THAT the Board of Directors of the Company is authorized to do all the things necessary to give effect to the above resolution, including addition or deletion to the list of investors or addition or deletion in the number of shares offered.

RESOLVED FURTHER THAT for the purpose of giving effect to this resolution, the Board be and is hereby authorized to take all such steps and action and give such directions as may be in absolute discretion deemed necessary and to settle any question that may arise in this regard, without being required to seek any further consent or approval of the members or otherwise and that the shareholders shall be deemed to have given their approval thereto expressly by the authority of this resolution."

10. To consider and if thought fit, to pass, the following resolution as an Ordinary Resolution:

"RESOLVED THAT pursuant to Regulation 23(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations") (including any statutory modification(s) or amendment(s) or re-enactment(s) thereof, for the time being in force) and the Company's Policy on Materiality of Related Party Transactions, the consent of the Members of the Company be and is hereby accorded to the Board of Directors of the Company in respect of the contract(s)/ arrangement(s)/ transaction(s) entered/to be entered into by the Company with the related parties upto the yearly limits for a period of five years from the conclusion of the 28th AGM till conclusion of 33rd AGM as mentioned in the explanatory statement forming part of this notice, being 'Related Party' within the meaning of Section 2(76) of the Companies Act, 2013 and Regulation 2(1)(zb) of the Listing Regulations, in connection with the transaction(s) and on the terms mentioned therein.

"RESOLVED FURTHER THAT the Board of Directors of the Company (hereinafter referred to as the "Board", which term shall deem to include any Committee of the Board of Directors for Operations or any officer/ executive/ representative and/ or any other person so authorized by the Board or the Committee be and are hereby authorized to negotiate and finalize the terms and conditions of the above contract(s)/ arrangement(s)/ transaction(s) with related parties within the aforesaid limits and to do all such acts.

By Order of the Board of Directors

New Delhi
August 17, 2022

AJAY PRATAP
Company Secretary &
Vice President Corporate Affairs

NOTES:

1. In view of continuing COVID-19 pandemic, the Ministry of Corporate Affairs (MCA), Government of India, vide its General Circular No. 14/ 2020 dated April 8, 2020, General Circular No. 17/ 2020 dated April 13, 2020, General Circular No. 20/ 2020 dated May 05, 2020, General Circular No. 02/2021 dated January 13, 2021, General Circular No. 20/ 2021 dated December 8, 2021, General Circular No. 2/ 2022 dated May 5, 2022 (collectively "**General Circulars**") issued by the Ministry of Corporate Affairs (the "**MCA**") and Securities Exchange Board of India (SEBI) vide its circular no. SEBI/ HO/ CFD/ CMD1/ CIR/ P/ 2020/ 79 dated May 12, 2020, circular no. SEBI/ HO/ CFD/ CMD 2/ CIR/ P/ 2021/ 11 dated January 15, 2021, and circular no. SEBI/ HO/ CFD/ CMD2/ CIR/ P/ 2022/ 62 dated May 13, 2022 (collectively "**SEBI Circulars**") have permitted companies to conduct General Meetings through Video Conference (VC) or Other Audio Visual Means (OAVM), subject to compliance of various conditions mentioned therein. Accordingly, the 28**th Annual General Meeting (the "AGM" or the "Meeting") of Almondz Global Securities Limited (the "Company")** will be held through VC or OAVM in compliance with the said circulars and the relevant provisions of the Companies Act, 2013 (as amended) (the "Act") and Rules made thereunder and the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (as amended) (the "Listing Regulations"). Members attending the AGM through VC or OAVM shall be counted for the purpose of reckoning the quorum under Section 103 of the Act.

2. Keeping the convenience of the Members positioned in different time zones, the Meeting has been scheduled at 11:30 A.M. IST.

3. **IN TERMS OF THE MCA CIRCULARS AND SEBI CIRCULAR NO. SEBI/HO/CFD/CMD1/CIR/P/2020/79 DATED MAY12, 2020, THE REQUIREMENT OF SENDING PROXY FORMS TO HOLDERS OF SECURITIES AS PER PROVISIONS OF SECTION 105 OF THE ACT READ WITH REGULATION 44(4) OF THE LISTING REGULATIONS, HAS BEEN DISPENSED WITH. THEREFORE, THE FACILITY TO APPOINT PROXY BY THE MEMBERS WILL NOT BE AVAILABLE AND CONSEQUENTLY, THE PROXY FORM AND ATTENDANCE SLIP ARE NOT ANNEXED TO THIS NOTICE CONVENING THE 28TH AGM OF THE COMPANY (THE "NOTICE").**

   However, in pursuance of Section 113 of the Act and Rules framed thereunder, the corporate members are entitled to appoint authorized representatives for the purpose of voting through remote e-Voting or for the participation and e-Voting during the AGM, through VC or OAVM. Institutional Shareholders (i.e., other than individuals, HUF, NRI, etc.) are required to send scanned copy (PDF / JPG Format) of the relevant Board Resolution / Power of Attorney / appropriate Authorization Letter together with attested specimen signature(s) of the duly authorized signatory(ies) who are authorized to vote, to the Scrutinizer through e-mail at ashugupta.cs@gmail.com with a copy marked to . www.evotingindia.com

4. Since the AGM will be held through VC or OAVM, no Route Map is being provided with the Notice.

5. In case of Joint-holders, the Member whose name appears as the first holder in the order of names as per the Register of Members of the Company will be entitled to vote during the AGM.

6. An Explanatory Statement pursuant to Section 102 of the Act and Rules framed thereunder, in respect of the Special Business under Item No. 3 and 10 is annexed hereto. The recommendation of the Board of Directors of the Company (the "Board") in terms of Regulation 17(11) of the Listing Regulations is also provided in the said Statement. Necessary information of the Directors seeking appointment/ re-appointment at the AGM as required under Regulation 36(3) of the Listing Regulations and the Revised Secretarial Standard on General Meetings (SS-2) issued by the Institute of Company Secretaries of India (ICSI) is also appended to the Notice.

7. **Dispatch of Annual Report through E-mail**

   In accordance with the MCA Circulars and the said SEBI Circular, the Notice alongwith the Annual Report of the Company for the financial year ended March 31, 2022, will be sent only through e-mail, to those Members whose e-mail addresses are registered with the Company or the Registrar and Share Transfer Agent (the "RTA"), i.e., M/s. Beetal Financial Computers Private Limited or the Depository Participant(s). The Notice and the Annual Report for the financial year ended March 31, 2022 shall be available on the websites of the Company *viz.,* *www.almondzglobal.com* and of the Stock Exchanges where Equity Shares of the Company are listed. The Notice shall also be available on the e-Voting website of the agency engaged for providing e-Voting facility, i.e., Central Depository Services (India) Limited (CDSL), *viz*., www.evotingindia.com

8. **PROCEDURE FOR ATTENDING THE AGM THROUGH VC OR OAVM**

   Members will be able to attend the AGM through VC or OAVM by using their remote e-Voting login credentials and selecting the EVSN for the AGM. **Further details in this regard are annexed separately and form part of this Notice.**

9. **PROCEDURE FOR REMOTE E-VOTING AND E-VOTING DURING THE AGM**

   The remote e-Voting period will commence on Saturday, September 17, 2022 (10:00 A.M. IST) and will end on Monday, September 19, 2022 (5:00 P.M. IST). During this period, the Members of the Company, holding shares either in physical or dematerialized mode, as on the cut-off date, i.e., Tuesday, September, 13, 2022, may cast their vote by remote e-Voting. The remote e-Voting module shall be disabled by CDSL for voting thereafter. Only those Members who are present in the Meeting through VC or OAVM facility and have not cast their votes on resolutions through remote e-Voting and are otherwise not barred from doing so, shall be allowed to vote through e-Voting system during the AGM. However, Members who would have cast their votes by remote e-Voting may attend the Meeting, but shall neither be allowed to change it subsequently nor cast votes again during the Meeting and accordingly, their presence shall also be counted for the purpose of quorum under Section 103 of the Act. The Members, whose names appear in the Register of Members / list of Beneficial Owners as on Tuesday, September, 13, 2022 being the cut-off date, are entitled to vote on the Resolutions set forth in the Notice. The voting rights of the Members shall be in proportion to their share(s) of the paid-up equity share capital of the Company as on the cut-off date. A person who is not a member as on the cut-off date should treat this Notice for information purpose only. The Board of Directors has appointed CS Ashu Gupta & Co, Practising Company Secretary (FCS : 4123/C.P. No.: 6646), or failing whom, such other practicing company secretary as the Board of Directors of the Company may appoint, as the Scrutinizer for scrutinizing the process of remote e-Voting and also e-Voting during the Meeting in a fair and transparent manner. The Scrutinizer shall, immediately after the conclusion of the Meeting, count the votes cast at the Meeting and thereafter, unblock the votes cast through remote e-Voting in presence of at least two witnesses not in employment of the Company and submit a Consolidated Scrutinizer's Report of the total votes cast in favour or against, if any, not later than 48 hours after the conclusion of the Meeting. Thereafter, the Results of e-Voting shall be declared forthwith by the Chairman or by any other director/ person duly authorised in this regard. The Results declared along with the Report of the Scrutinizer shall be placed on the Company's website (*www.almondzglobal.com*) and shall simultaneously be communicated to the Stock Exchanges where the equity shares of the Company are listed. Subject to the receipt of requisite number of votes, the businesses mentioned in the Notice / the resolution(s) forming part of the Notice shall be deemed to be passed on the date of the AGM, i.e., Tuesday, September 20, 2022.

10. **Procedure to raise Questions / seek Clarifications**

(a) As the AGM is being conducted through VC or OAVM, the Members are encouraged to express their views / send their queries well in advance for smooth conduct of the AGM but not later than 5:00 P.M. (IST) Monday, September 12, 2022, mentioning their names, folio numbers / demat account numbers, e-mail addresses and mobile numbers at secretarial@almondz.comand only such questions / queries received by the Company till the said date and time shall be considered and responded during the AGM.

(b) Members willing to express their views or ask questions during the AGM are required to register themselves as speakers by sending their requests from Saturday, September 10, 2022 (9:00 A.M. IST) to Thursday, September 15, 2022 (5:00P.M. IST) at secretarial@almondz.com from their registered e-mail addresses mentioning their names, folio numbers / demat account numbers, PAN details and mobile numbers. Only those Members who have registered themselves as speakers will be allowed to express their views/ask questions during the AGM. The Chairman of the Meeting / the Company reserves the right to restrict the number of questions, time allotted and number of speakers to ensure smooth conduct of the AGM.

(c) Members seeking any information on the financial accounts, operations or any matter to be placed at the AGM, are requested to write to the Company from Saturday, September 10, 2022 (9:00 A.M. IST) to Thursday, September 15, 2022 through e-mail at secretarial@almondz.com and the same will be suitably replied by the Company.

11. Procedure for inspection of documents

All documents referred to in the Notice and the Explanatory Statement shall be made available for inspection by the Members of the Company, without payment of fees upto and including the date of AGM. Members desirous of inspecting the same may send their requests at secretarial@almondz.com from their registered e-mail addresses mentioning their names and folio numbers / demat account numbers. During the AGM, the Register of Directors and Key Managerial Personnel and their shareholding maintained under Section 170 of the Act and the Register of Contracts or arrangements in which Directors are interested maintained under Section 189 of the Act shall be made available for inspection upon login at CDSL e-Voting system.

12. Book Closure Period

The Share Transfer Books and Register of Members of the Company will remain closed from Wednes**day, September 14, 2022 to Tuesday, September 20, 2022 (both days inclusive)** for the purpose of the AGM.

13. Nomination Facility

Members holding shares under a single name in physical mode are advised to make nomination in respect of their shareholding in the Company. Members holding shares in demat mode should file their nomination with their respective Depository Participant(s).

14. Pursuant to Regulation 40 of the Listing Regulations, the securities of listed companies can be transferred only in the dematerialized mode w.e.f. April 1, 2019, except in case of transmission or transposition of securities. In this regard, SEBI has clarified by a Press Release No. 12/2019 dated March 27, 2019, that the said amendments do not prohibit an investor from holding the shares in physical mode and the investor has the option of holding shares in physical mode even after April 1, 2019. However, any investor who is desirous of transferring shares (which are held in physical mode) after April 1, 2019 can do so only after the shares are dematerialized. However, requests for transfer of shares held in physical mode, as filed in Form SH-4, prior to April 1, 2019 and returned to the investors due to deficiency in the documents, may be re- submitted for transfer even after April 1, 2019 provided it is submitted alongwith the necessary documents including PAN details. In exceptional cases, the transfer of physical shares is subject to the procedural formalities as prescribed under SEBI Circular No. SEBI/HO/MIRSD/DOS3/CIR/P/2018/139 dated November 6, 2018.

15. Transfer of Unclaimed or Unpaid amounts to the Investor Education and Protection Fund (IEPF) Pursuant to Sections 124 and 125 of the Act, Rules made thereunder (as amended), the amount of dividend remaining unclaimed or unpaid for a period of seven years or more from the date they first become due for payment, have been transferred to the account of Investor Education and Protection Fund (IEPF) established by the Government of India. Concerned shares have also been transferred to the Demat Account of the IEPF Authority. The complete list of said Members is available on the website of the Company. Members are informed that once the unclaimed or unpaid dividend is transferred to the designated account of IEPF and shares are transferred to the Demat Account of the IEPF Authority, no claim shall lie against the Company in respect of such dividend / shares. **Members are requested to quote their Folio numbers / DP Id and Client Id in all communication / correspondence with the Company or its RTA.** The eligible Members are entitled to claim such unclaimed or unpaid dividend and shares including benefits, if any, accruing on such shares from the IEPF Authority by making an online application in Web Form IEPF-5 and sending the physical copy of the same duly signed (as per the specimen signature recorded with the Company) along with requisite documents at the Registered Office of the Company for verification of their claims.

EXPLANATORY STATEMENT IN RESPECT OF THE SPECIAL BUSINESS PURSUANT TO SECTION 102 OF THE COMPANIES ACT, 2013

This explanatory statement is in terms of Regulation 36(5) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), however, the same is strictly not required as per Section 102 of the Act.

Item No. 3.

The Members at the 23rd Annual General Meeting ("AGM") of the Company held on 27 September, 2017, had approved the appointment of M/s. Mohan Gupta & Co., Chartered Accountants, (New Delhi), Registration No. 006519N, as Statutory Auditors of the Company, to hold office till the conclusion of the 28th AGM. The Board of Directors of the Company has, based on the recommendation of the Audit Committee, at its meeting held on 10th August, 2022, proposed the re-appointment of M/s. Mohan Gupta & Co., Chartered Accountants, (New Delhi), Registration

No. 006519N as Statutory Auditors of the Company for a term of five years commencing from end of the 28th Annual General Meeting till conclusion of the 33rd Annual General Meeting to be held in the year 2027, along with remuneration plus applicable taxes, out of pocket expenses, etc., incurred in connection with the Audit as may be decided by the Board of Directors in consultation with the Auditors.

Considering the evaluation of the past performance, experience and expertise of Deloitte and based on the recommendation of the audit committee, it is proposed to appoint M/s. Mohan Gupta & Co., Chartered Accountants as statutory auditors of the Company for a second term of five consecutive years till the conclusion of the 33rd AGM of the Company in terms of the aforesaid provisions.

None of the Directors, Key Managerial Personnel of the Company and their relatives, are in any way concerned or interested in the said Resolution.

The Board of Directors recommend the Ordinary Resolution set out at Item No. 3 of the Notice for approval by the Members.

Item No. 4.

Mr. Navjeet Singh Sobti, B.Com & (FCA), aged 55 years, is one of the promoter of the Company and serving the Board of the Company for the tenure upto 11 August 2024. On the Remuneration and terms and conditions as under as approved by the Shareholders as a special Resolution:

A. Salary Basic Salary of Rs. 5,00,000/- per month

B. Perquisites (aggregating upto Rs. 5,00,000/- per month)

(a) Free furnished Company's owned/hired/leased accommodation alongwith benefits of gas, fuel, water, electricity, telephone, as also upkeep and maintenance of such accommodation

(b) Reimbursement of actual medical expenses incurred in India and/or abroad for self and family (including dependent children and parents), subject to one month of basic salary in a year.

(c) Leave Travel Allowance for self and family (including dependent children and parents) once in a year @ one month of basic salary.

(d) Club Fees of two Clubs in India (including admission and life membership fees)

(e) Personal Accident Insurance Premium as per the Rules of the Company.

(f) Company's Contribution towards Provident Fund and Superannuation Fund as per the Rules of the Company.

(g) Gratuity as per the Rules of the Company.

(h) Company's car with a driver.

(i) Leave and encashment of leave, in accordance with the Rules of the Company.

(j) Educational support for dependent children including their travel, hostel fees and tuition fees, subject to a maximum of one month's salary in a year.

(k) Reimbursement of the Actual Corporate Relations expenses.

(l) Incentive/bonus for each financial year, as may be determined by the Nomination & Remuneration Committee of the Board of Directors of the Company. In addition to the above, the Vice Chairman & Managing Director shall be governed by such other Rules as are applicable to the Senior Executives of the Company from time to time.

C. Minimum Remuneration

Notwithstanding anything to the contrary herein contained, where in any financial year, during the currency of the tenure of Mr. Navjeet Singh Sobti, the Company has no profits or its profits are inadequate, the Company will pay the salary and perquisites upto the limits as specified above to Mr. Navjeet Singh Sobti as the minimum remuneration as specified in Section II and Section III of part II of Schedule V of the Companies Act, 2013 and subject to the approval of Central Government, if necessary."

The Nomination and Remuneration Committee of the Company in its meeting held on 10.08.2022 has approved the payment of aforesaid remuneration for the remaining period of appointment of Mr. Navjeet Singh Sobti i.e., upto 11 August 2024.

Mr. Navjeet Singh Sobti is the Promoter of the Company. He is one of the members of the initial team which promoted the Company and has steered the Company to its present position of strength of being one of the largest mobilizers of funds from the domestic market for all government organizations and the private sector. He currently heads the Almondz team and his main areas of specialization include investment banking, corporate. The appointment as well as payment of remuneration was approved by the Board based on industry standards, responsibilities handled by the Managing Director of the Company. However, due to inadequacy of profits, the managerial

Approval of the shareholders is sought for remuneration payable for the remaining period of existing appointment commencing from 11 August 2019 to Mr. Navjeet Singh Sobti as Managing Director of the Company. Mr. Navjeet Singh Sobti and his relatives shall be deemed to be concerned or interested in the resolution to the extent of remuneration payable to Mr. Navjeet Singh Sobti under the resolution.

None of the Directors except Mr. Navjeet Singh Sobti himself is concerned or interested in the resolution. No other Directors, Key Managerial Personnel or their relatives are concerned or interested in the resolution.

Item No. 5.

The shareholders have appointed Mr. Jagdeep Singh as Wholetime Director of the Company with effect from 01.12.2019 for a period of 5 years at the meeting of the shareholders held on 27.09.2019 by way of following special Resolution:

A. Salary - Basic Salary of Rs. 3,50,000/- per month.

B. Perquisites

(a) Free furnished Company's owned/hired/leased accommodation alongwith benefits of gas, fuel, water, electricity, telephone, as also upkeep and maintenance of such accommodation OR House Rent Allowance @ 50% of the Basic Salary as above

(b) Company's car & driver.

(c) Leave and encashment of leave, in accordance with the Rules of the Company.

(d) Reimbursement of the Actual Corporate Relations expenses.

(e) Performance linked incentive/bonus at the end of each financial year, as may be determined by the Nomination and Remuneration Committee of the Board of Directors of the Company.

In addition to the above, the Wholetime Director shall be governed by such other Rules as are applicable to the Senior Executives of the Company from time to time.

C. Minimum Remuneration

Notwithstanding anything to the contrary herein contained, where in any financial year, during the currency of the tenure of Mr. Jagdeep Singh, the Company has no profits or its profits are inadequate, the Company will pay the salary and perquisites upto the limits as specified above to Mr. Jagdeep Singh as the minimum remuneration as specified in Section II and Section III of part II of Schedule V of the Companies Act, 2013 and subject to the approval of Central Government, if necessary.

Mr. Jagdeep Singh aged 57 years, has more than 30 years of experience in the field of marketing/distribution of financial products, viz. mutual funds, equity IPOs, private placement of debt instruments, etc. and is one of the important constituents of the Almondz team since incorporation. The Nomination and Remuneration Committee of the Company in its meeting held on 10.08.2022 has approved the payment of aforesaid remuneration for the remaining period of appointment of Mr. Navjeet Singh Sobti i.e., upto 11 August 2024

The Nomination and Remuneration Committee of the Company in its meeting held on 10.08.2022 has approved the payment of aforesaid remuneration for the remaining period of appointment of Mr. Jagdeep Singh i.e., upto 30 November 2024.

Approval of the shareholders is sought for remuneration payable for the remaining period of existing appointment commencing from 1 December 2017 to Mr. Jagdeep Singh as Wholetime Director of the Company. Mr. Jagdeep Singh and his relatives shall be deemed to be concerned or interested in the resolution to the extent of remuneration payable to Mr. Jagdeep Singh under the resolution.

None of the Directors except Mr. Jagdeep Singh himself is concerned or interested in the resolution. No other Directors, Key Managerial Personnel or their relatives are concerned or interested in the resolution.

Item No. 6.

The Company's Registered Office is presently located at National Capital Territory (NCT) of Delhi at F-33/3, Phase-II, Okhla Industrial Area, New Delhi-110020. The Board of Directors of the Company at their meeting held on 10th August, 2022 has proposed to shift the registered office of the Company from the "National Capital Territory of Delhi" to the "State of Maharashtra at Mumbai" to carry on the business of the Company more economically and efficiently and with better operational convenience. Furthermore, majority Financial Institutional Investors (FIIs), Mutual Funds and Financial Investors are based at Mumbai, and therefore, shifting of registered office to Mumbai shall facilitate better coordination and interaction with them.

As per the Board of Directors of the Company the aforesaid shifting of registered office is in best interest of the Company, its shareholders, its creditors and other concerned stakeholders and will not be detrimental to the interest of any public or concerned stakeholders of the Company, in any manner whatsoever.

After the proposal is approved by the Members, a petition is required to be filed under section 13(4) of the Companies Act, 2013 to the Central Government (powers delegated to Regional Director) for approval to their proposed alteration in registered office from 'National Capital Territory of Delhi' to 'State of Maharashtra'.

None of the Directors, other Key Managerial Personnel and their relatives of your company are concerned or interested in the resolution except in relationship to their shareholding.

The Board recommends the resolution to the Members for their consideration and for approval as a special resolution.

Item No. 7.

The Company has been granting ESOPs to its employees under the Scheme. The Company had granted 4400000 options to its / subsidiaries employees under Series G of the said scheme . Now looking at the current market situation as well as the quantum of ESOP to the respective employees, the ESOPs granted to the eligible employees have become unattractive and difficult due to short period of exercise from the date of its vesting which is at present 2 years. The management of the Company therefore thought it prudent to revise the exercise period from the existing 2 years to 5 years to make it relatively more attractive among the employees thus making it beneficial to the employees. Accordingly, the Compensation Committee in its meeting held on 10 August, 2022 has revised the Exercise Period by extending it to further 3 years and hence making the Series G Options exercisable in 5 years from the date of vesting as under:

Vesting Date	**Expiry Date**
2 September 2022	2 September 2027
Exercise Period	**5 years from the date of vesting**

The proposed revising of exercise period will be in compliance of the SEBI Regulations and would be beneficial for the Employees. The Board of Directors accordingly recommend passing of the Special Resolution as contained in the Notice.

The Directors, KMPs or their relatives may be deemed to be concerned or interested in these resolutions to the extent of the ESOPs granted to them under the Scheme and to the extent of their shareholding as Members.

Item No. 8

The existing Authorised Share Capital of the Company is Rs. 30,00,00,000/- (Rupees Thirty Crores only) which comprises of Equity Shares only aggregating to 5,00,00,000 (Five Crore) Equity Shares of Rs. 6/- each. To accommodate the issuance of Preference Shares, it is proposed to reclassify the Authorised Share Capital of the Company from the existing Rs. 30,00,00,000/- (Rupees Thirty Crores) divided into 5,00,00,000 (Five Crores) Equity Shares of Rs. 6/- (Rupees Six only) to 3,00,00,000 (Three Crores) Equity Shares of Rs. 6/- (Rupees Six only) each aggregating to Rs. 18,00,00,000 (Eighteen Crores only) and 12,00,000 (Twelve Lakhs) Preference Shares of Rs.100/-(Rupees One Hundred only) each aggregating to Rs. 12,00,00,000/- (Rupees Twelve Crores).

The reclassification of Authorised Share Capital of the Company will also require consequential amendment of the Share Capital clause of the Memorandum of Association of the Company. In terms of the provisions of Sections 13, 61 and other applicable provisions of the Companies Act, 2013, the alteration of the Share Capital clause requires consent of Members of the Company by way of passing of an Ordinary Resolution.

None of the Directors, Key Managerial Personnel and/ or their relatives are, in any way, concerned or interested financially or otherwise, in the proposed Resolution as set out at Item No.1. The Board recommends passing of the Resolution as set out at Item No. 1 of the Notice as an Ordinary Resolution.

Item No. 9

To meet working capital requirements of the Company and General corporate purpose it is proposed to issue 1200000 nos. of 7% Non-Convertible Non-Cumulative Redeemable Preference Shares of Rs. 100/- (Rupees One Hundred Only) each, at par aggregating to Rs. 12,00,00,000/- (Rupees Twelve Crores Only on preferential basis to Avonmore Capital & Management Services Limited being one of the Promoters of the Company. The information as desired as per sub-rule (3) of Rule 9 and Clause (d) of sub-rule 2 of Rule 13 of the Companies (Share Capital and Debentures) Rules, 2014 are furnished below:-

1. The said Preference Shares shall be non-cumulative, non-participating and non-convertible.
2. The following are objects of the issue:

a. To meet working capital requirements of the Company; and

b. General corporate purpose.

3. The NCRPS will be issued and offered through private placement and / or on preferential basis in accordance with the applicable provisions of the Companies Act, 2013.
4. Other terms:-

Issue Size	1200000 nos. of NCRPS for an aggregate value of Rs. 12,00,00,000/-.
Issue Price	NCRPS will be issued at Rs. 100/-
Offer Period	To be determined by the Board.
Rate of Dividend	7%
Basis on which such shares are proposed to be issued	As per the Valuation done by the Company through Ekta Sharma IBBI Registered Valuer IBBI/RV/03/2020/13038 COP No. ICSI RVO/COP/SFA0620/154 Office Address: WW-26, 2nd Floor, Malibu Town, Sector 47, Gurgaon-122018, Haryana
Relevant date with reference to which the price is arrived at	8 August, 2022.
Class or class of person to whom the allotment is proposed to be made	The allotment is proposed to be made to the Promoter Shareholder(s) of the Company.
Intention of promoters, directors or key managerial personnel to subscribe to the offer	The Promoter will subscribe to the entire issue.
Proposed time within which the allotment shall be completed	Within one year of passing of members resolution.
No. of persons to whom already allotment on preferential basis have already been made during the year, in terms of number of securities as well as price.	N.A
Change in Control, if any.	No Change in Control.
Terms of Redemption	The said preference shares will be redeemed at par in accordance with the relevant provisions of the Companies Act, 2013 out of profits available for distribution as dividend and /or by issue of fresh shares, in one or more tranches at the option of the Company only.
Tenure of NCRPS	To be redeemed after 1 year but before 3 years from the date of issue at the discretion of the Company.
Manner and mode of redemption	As stated above.
Expected dilution in equity share capital upo n conversion of preference shares	Nil, since the NCRPS are non-convertible.

1. The names of the proposed allottees and the percentage of post preferential offer capital held by them, subject to any change(s) or amendment(s) done by the Board at later date, if required:

Sl. No.	Name of the proposed allottees	% of post preferential offercapital to be held by them
1.	Avonmore Capital & Management Services Limited	100

Since, the proposed Preference issue are Non-convertible, there will be no dilution in the Equity Capital.

The equity shareholding pattern of the Company is as follows:

Company's Equity Shareholding Pattern as on 30 June 2022 is as follows:

Category	No. of Shares Held	Percentage (%)
Promoter & Promoter Group		
Individuals/Hindu undivided Family	3,14,523	1.22
Bodies Corporate	1,51,00,006	58.33
Sub-Total (A)	1,54,14,529	59.55
Public & Others		
Institutions	2,88,127	1.11
Non-Institutions	1,01,82,311	39.33
Sub-Total (B)	1,04,70,438	40.44
Grand Total	**2,58,84,967**	**100.00**

Note: the Company does not have any Preference Share Capital as on date.

The approval of the Members is accordingly being sought by way of a Special Resolution under Sections 42, 55 and 62 of the Act read with the Rules made thereunder, for the issue of 7% Non-Convertible Redeemable Preference Shares ["NCRPS"] of face value of Rs. 100/- (Rupees One Hundred Only) at an issue price of Rs. 100/- (Rupees One Hundred Only) to promoter, in one or more tranches through private placement and/or on preferential basis.

As the securities proposed to be issued by this resolution are "Non-Convertible Redeemable Preference Shares", the provisions of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018 ("ICDR Regulations"), are not applicable.

The Board recommends the Resolution at Item No. 9 of the Notice for approval by the members of the Company as a Special Resolution. No Director or KMPs except to their shareholding are concerned or interested, financially or otherwise, in passing of this resolution

Item No. 10

The members are informed pursuant to Section 188 of the Companies Act, 2013 ("the Act"), read with Rule 15 of the Companies (Meetings of Board and its Powers) Rules, 2014, the Company is required to obtain consent of the Board and prior approval of the members by resolution in case certain Related Party Transactions exceed such sum as is specified in the rules. The aforesaid provisions are not applicable in respect of transactions entered into by the Company in the ordinary course of business on an arm's length basis.

However, pursuant to Regulation 23(4) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended ("Listing Regulations"), approval of the shareholders through Ordinary Resolution is required for all 'material' Related Party Transactions (RPT) even if they are entered into in the ordinary course of business on an arm's length basis. For this purpose, a RPT will be considered 'material' if the transaction / transactions to be entered into individually or taken together with previous transactions during a Financial Year exceeds 10% of the annual consolidated turnover of the Company as per the last audited financial statements of the Company.

The Company is a listed Category I Merchant Banker, engaged in carrying on merchant banking activities and is governed by SEBI Rules and Regulations. The other businesses of the Company are carried on by the various subsidiaries/step-down subsidiaries/ Associates, which are inter alia respectively engaged in the business of Stock broking, IT Management Services, Wealth Management, and non-banking financial services, Infrastructure advisory ("Almondz Group") etc. The businesses of the entities in the Group are by their very nature cyclical and hence the capital requirements vary from time to time over periods of time. As the parent company, it is inherent and therefore becomes necessary and incumbent and in the ordinary course, to support the Group entities by periodic capital infusions through customary modes as are prevalent in the industry, towards the attainment of their growth and expansion endeavors and optimal use of capital, in the larger beneficial interest of the stakeholders of the Company. Necessarily, from a strategic perspective, it entails inter-alia lending/ borrowing, providing corporate guarantees and securities for existing/ new credit facility(ies). The Company may also be required to enter into various contracts or arrangements with the Group entities as may be necessitated from to time, towards this end. Almondz Global Securities Limited is also a registered primary dealer and transacts in SLR securities such as government securities, treasury bill and/ or SDL like any other primary dealer. Like any other customer, the Company provide this services to its subsidiary and other related . The rates and yields are always market determined investments and are done in accordance with investment policy of the Company. Investment in Non SLR securities by the Company is in accordance with investment policy of the Company. Accordingly, the Company deals in Non SLR investments, including purchase/ sale of Non SLR Investments for Related Parties. The transactions are in furtherance of the business activities and are thus in the interest of the Company. Beside this the company also enters into small contract as and when require as business compulsion.

The consent of the Members is sought to by way of Ordinary Resolutions to ratify and also accord further approval to the Board of Directors of the Company (hereinafter referred to as the "Board" which term shall be deemed to include any Committee of the Board) for carrying out and/or continuing all existing contracts/ arrangements / agreements / transactions and to enter into new/ further contracts/ arrangements/ agreements/ transactions (including any modifications, alterations, amendments or renewal thereto) of the following nature with the related parties as mentioned in Annexure appearing hereinafter for a period of five and half years commencing from 1st April, 2022 to 30 September, 2022 for an amount mentioned hereunder:

Name of the Related Party	Nature, material terms, monetary value and particulars of the contract or arrangement	Other details	Maximum Amount per Annum per related party (in Crores)
Gurpreet Sobti	The Company uses the space/premises belonging to Gurpreet Sobti being one of the promoter of the Company as residence for the Managing Director of the company and pays rent for that.	The rent is as per the market rent for the comparable property	1
Subsidiaries / Step Down subsidiary/ Joint Venture / Associates other than its wholly owned subsidiary/ies List of such existing Related Parties is given in Annexure	Lending/borrowing & interest thereon, providing and receiving corporate guarantees and providing securities for existing/ new credit facility (ies) whether availed by the company or it's group companies, other than its wholly owned subsidiary/ies	The Company is a listed Category I Merchant Banker, engaged in carrying on merchant banking activities and is governed by SEBI Rules and Regulations. The other businesses of the Company within the financial services spectrum are carried on by the various subsidiaries/ step-down subsidiaries/ Associates, which are inter alia respectively engaged in the business of Stock broking, IT Management Services, Wealth Management, and non-banking financial services, ("Almondz Group") etc. The businesses of the entities in the Group are by their very nature cyclical and hence the capital requirements vary from time to time over periods of time. As the parent company, it is inherent and therefore becomes necessary and incumbent and in the ordinary course, to support the Group entities by periodic capital infusions through customary modes as are prevalent in the industry, towards the attainment of their growth and expansion endeavors and optimal use of capital, in the larger beneficial interest of the stakeholders of the Company. Necessarily, from a strategic perspective, it entails inter-alia lending/borrowing, providing corporate guarantees and securities for existing/new credit facility(ies). The Company may also be required to enter into various contracts or arrangements with the Group entities as may be necessitated from to time, towards this end.	600
Subsidiaries / Step Down subsidiary/ Joint Venture / Associates other than its wholly owned subsidiary/ies List of such existing Related Parties is given in Annexure	Securities are sold/ purchased at the rates available at FIMDA/ exchange/market.	Almondz Global Securities Limited is a registered primary dealer and transacts in SLR securities such as government securities, treasury bill and/ or SDL like any other primary dealer. Like any other customer, the Company provide this services to its subsidiary and other related parties. The rates and yields are always market determined investments and are done in accordance with investment policy of the Company. Investment in Non-SLR securities by the Company is in accordance with investment policy of the Company. Accordingly, the Company deals in Non-SLR investments, including purchase/ sale of Non-SLR Investments for Related Parties. The transactions are in furtherance of the business activities and are thus in the interest of the Company.	600
Subsidiaries / Step Down subsidiary/ Joint Venture / Associates incorporated/ to be incorporated, From time to time as per regulatory requirement / strategic business decision/Directors and their relatives. List of existing Subsidiaries is given in Annexure	Demat, Brokerage and processing charges.	The Company is stock broker and a depository participant. The company has also license for sale and purchase of securities in the wholesale debt market. The Company receives service charge in the form of brokerage, demat and processing charges	2

Name of the Related Party	Nature, material terms, monetary value and particulars of the contract or arrangement	Other details	Maximum Amount per Annum per related party (in Crores)
Subsidiaries / Step Down subsidiary/ Joint Venture / Associates incorporated/ to be incorporated, From time to time as per regulatory requirement / strategic business decision. List of existing Subsidiaries is given in Annexure	Recoveries/payment of expenses	The Company being the main functioning company in the group sometime makes expenses on behalf of group companies and vice versa, which the company recovers/ pays on actual basis.	1

Annexure :

1. Avonmore Capital & Management Services Limited
2. Innovative Money Matters Pvt. Ltd.
3. Rinku Sobti Fashions Pvt. Ltd.
4. Avonmore Developers Pvt. Ltd.
5. Anemone Holdings Pvt. Ltd.
6. North Square Projects Pvt. Ltd.
7. Almondz Infosystem Pvt. Ltd.
8. Other Associates of the Company
9. Red Solutions Private Limited
10. Glow Apparels Private Limited
11. Apricot Infosoft Private Limited
12. Jagdeep Singh
13. Parmeet Kaur
14. Navjeet Singh Sobti
15. Gurpreet Sobti

The above transactions, which are enabling in nature, have been approved by the "Board" (which term shall be deemed to include any committee constituted/to be constituted by the Board, from time to time, to exercise its powers conferred by the said resolution) of the Company at its meeting held on August 10, 2022 in terms of the requirements of Regulation 23(4) of the Listing Regulations deeds, matters and things including amendment(s) or modification(s) thereto as may be considered necessary, relevant and expedient to give effect to this Resolution."

The Board recommends the Resolution at Item No. 10 of the Notice for approval by the members of the Company as an ordinary Resolution. Except the Promoter Directors and their relatives (to the extent of their directorship / shareholding interest in the Company and / or other promoter entities), no other Director or KMPis concerned or interested, financially or otherwise, in passing of this resolution.

By Order of the Board of Directors

New Delhi
August 17, 2022

AJAY PRATAP
Company Secretary &
Vice President Corporate Affairs

INSTRUCTIONS FOR ATTENDING AND VOTING IN AGM

i. As you are aware, in view of the situation arising due to COVID-19 global pandemic, the general meetings of the companies shall be conducted as per the guidelines issued by the Ministry of Corporate Affairs (MCA) vide Circular No. 14/2020 dated April 8, 2020, Circular No.17/2020 dated April 13, 2020, Circular No. 20/2020 dated May 05, 2020, Circular No. 2/2021 dated January 13, 2021, Circular No. 20/2021 dated December 8, 2021 and Circular No. 2/2022 dated May 5, 2022. The forthcoming AGM/EGM will thus be held through through video conferencing (VC) or other audio visual means (OAVM). Hence, Members can attend and participate in the ensuing AGM/EGM through VC/OAVM.

ii. Pursuant to the provisions of Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 (as amended) and Regulation 44 of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015 (as amended), and MCA Circulars dated April 08, 2020, April 13, 2020 and May 05, 2020 the Company is providing facility of remote e-voting to its Members in respect of the business to be transacted at the AGM/EGM. For this purpose, the Company has entered into an agreement with Central Depository Services (India) Limited (CDSL) for facilitating voting through electronic means, as the authorized e-Voting's agency. The facility of casting votes by a member using remote e-voting as well as the e-voting system on the date of the EGM/AGM will be provided by CDSL.

iii. The Members can join the EGM/AGM in the VC/OAVM mode 15 minutes before and after the scheduled time of the commencement of the Meeting by following the procedure mentioned in the Notice. The facility of participation at the EGM/AGM through VC/OAVM will be made available to atleast 1000 members on first come first served basis. This will not include large Shareholders (Shareholders holding 2% or more shareholding), Promoters, Institutional Investors, Directors, Key Managerial Personnel, the Chairpersons of the Audit Committee, Nomination and Remuneration Committee and Stakeholders Relationship Committee, Auditors etc. who are allowed to attend the EGM/AGM without restriction on account of first come first served basis.

iv. The attendance of the Members attending the AGM through VC/OAVM will be counted for the purpose of ascertaining the quorum under Section 103 of the Companies Act, 2013.

v. Pursuant to MCA Circular No. 14/2020 dated April 08, 2020, , the facility to appoint proxy to attend and cast vote for the members is not available for this AGM/EGM. However, in pursuance of Section 112 and Section 113 of the Companies Act, 2013, representatives of the members such as the President of India or the Governor of a State or body corporate can attend the AGM/EGM through VC/OAVM and cast their votes through e-voting.

vi. In line with the Ministry of Corporate Affairs (MCA) Circular No. 17/2020 dated April 13, 2020, the Notice calling the AGM has been uploaded on the website of the Company at www.almondzglobal.com. The Notice can also be accessed from the websites of the Stock Exchanges i.e. BSE Limited and National Stock Exchange of India Limited at www. bseindia.com and www.nseindia.com respectively. The AGM Notice is also disseminated on the website of CDSL (agency for providing the Remote e-Voting facility and e-voting system during the AGM) i.e. www.evotingindia.com.

vii. The AGM has been convened through VC/OAVM in compliance with applicable provisions of the Companies Act, 2013 read with MCA Circular No. 14/2020 dated April 8, 2020 and MCA Circular No. 17/2020 dated April 13, 2020 and MCA Circular No. 20/2020 dated May 05, 2020.

THE INTRUCTIONS OF SHAREHOLDERS FOR REMOTE E-VOTING AND E-VOTING DURING AGM/EGM AND JOINING MEETING THROUGH VC/OAVM ARE AS UNDER:

Step 1 : Access through Depositories CDSL/NSDL e-Voting system in case of individual shareholders holding shares in demat mode.

Step 2 : Access through CDSL e-Voting system in case of shareholders holding shares in physical mode and non-individual shareholders in demat mode.

(i) The voting period begins on Saturday, September 17, 2022 (10:00 A.M. IST) and will end on Monday, September 19, 2022 (5:00 P.M. IST). During this period shareholders' of the Company, holding shares either in physical form or in dematerialized form, as on the cut-off date, i.e., Tuesday, September, 13, 2022 may cast their vote electronically. The e-voting module shall be disabled by CDSL for voting thereafter.

(ii) Shareholders who have already voted prior to the meeting date would not be entitled to vote at the meeting venue.

(iii) Pursuant to SEBI Circular No. **SEBI/HO/CFD/CMD/CIR/P/2020/242 dated 09.12.2020,** under Regulation 44 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, listed entities are required to provide remote e-voting facility to its shareholders, in respect of all shareholders' resolutions. However, it has been observed that the participation by the public non-institutional shareholders/retail shareholders is at a negligible level. Currently, there are multiple e-voting service providers (ESPs) providing e-voting facility to listed entities in India. This necessitates registration on various ESPs and maintenance of multiple user IDs and passwords by the shareholders.

In order to increase the efficiency of the voting process, pursuant to a public consultation, it has been decided to enable e-voting to **all the demat account holders**, **by way of a single login credential, through their demat accounts/ websites of Depositories/ Depository Participants**. Demat account holders would be able to cast their vote without having to register again with the ESPs, thereby, not only facilitating seamless authentication but also enhancing ease and convenience of participating in e-voting process.

Step 1 : Access through Depositories CDSL/NSDL e-Voting system in case of individual shareholders holding shares in demat mode.

(iv) In terms of **SEBI circular no. SEBI/HO/CFD/CMD/CIR/P/2020/242 dated December 9, 2020** on e-Voting facility provided by Listed Companies, Individual shareholders holding securities in demat mode are allowed to vote through their demat account maintained with Depositories and Depository Participants. Shareholders are advised to update their mobile number and email Id in their demat accounts in order to access e-Voting facility.

Pursuant to abovesaid SEBI Circular**,** Login method for e-Voting and joining virtual meetings **for Individual shareholders holding securities in Demat mode** is given below:

Type of shareholders	Login Method
Individual Shareholders holding securities in Demat mode with **CDSL**	1) Users of who have opted for CDSL's Easi / Easiest facility, can login through their existing user id and password. Option will be made available to reach e-Voting page without any further authentication. The URLs for users to login to Easi / Easiest are https://web.cdslindia. com/myeasi/home/login or www.cdslindia.com and click on Login icon and select New SystemMyeasi. 2) After successful login the Easi / Easiest user will be able to see the e-Voting Menu. On clicking the e-voting menu, the user will be able to see his/her holdings along with links of the respective e-Voting service provider i.e. CDSL/ NSDL/ KARVY/ LINK INTIME as per information provided by Issuer / Company. Additionally, we are providing links to e-Voting Service Providers, so that the user can visit the e-Voting service providers' site directly. 3) If the user is not registered for Easi/Easiest, option to register is available at https://web.cdslindia.com/myeasi./Registration/EasiRegistration 4) Alternatively, the user can directly access e-Voting page by providing Demat Account Number and PAN No. from a link in www.cdslindia.com home page. The system will authenticate the user by sending OTP on registered Mobile & Email as recorded in the Demat Account. After successful authentication, user will be provided links for the respective ESP where the e-Voting is in progress during or before the AGM.

Type of shareholders	Login Method
Individual Shareholders holding securities in demat mode with **NSDL**	1) If you are already registered for NSDL IDeAS facility, please visit the e-Services website of NSDL. Open web browser by typing the following URL: https://eservices.nsdl.com either on a Personal Computer or on amobile. Once the home page of e-Services is launched, click on the "Beneficial Owner"icon under "Login" which is available under 'IDeAS' section. A new screen will open. You will have to enter your User ID and Password.
	After successful authentication, you will be able to see e-Voting services. Click on "Access to e-Voting" under e-Voting services and you will be able to see e-Voting page. Click on companyname or e-Voting service provider name and you will be re-directed to e-Voting service provider website for casting your vote during theremote e-Voting period or joining virtual meeting& voting during the meeting. 2) If the user is not registered for IDeAS e-Services, option to register is available at https://eservices.nsdl.com. Select "Register Online for IDeAS "Portal or click at https://eservices.nsdl.com/ SecureWeb/IdeasDirectReg.jsp 3) Visit the e-Voting website of NSDL. Open web browser by typing the following URL: https://www.evoting.nsdl.com/ either on a Personal Computer or on a mobile. Once the home page of e-Voting system is launched,click on the icon "Login" which is available under 'Shareholder/Member' section. A new screen will open. You will have to enter your User ID (i.e. your sixteen digit demat account number hold with NSDL), Password/OTP and a Verification Code as shown on the screen. After successful authentication, you will be redirected to NSDL Depository site wherein you can see e-Voting page. Click on company name or e-Voting service provider name and you will be redirected to e-Voting service provider website for casting your vote during the remote e-Votingperiod or joining virtual meeting & voting duringthe meeting
Individual Shareholders (holding securities in demat mode) login through their**Depository Participants**	You can also login using the login credentials of yourdemat account through your Depository Participant registered with NSDL/CDSL for e-Voting facility. Aftersuccessful login, you will be able to see e-Voting option. Once you click on e-Voting option, you will be redirected to NSDL/CDSL Depository site after successful authentication, wherein you can see e-Voting feature. Click on company name or e-Votingservice provider name and you will be redirected to e-Voting service provider's website for casting your vote during the remote e-Voting period or joining virtual meeting & voting during the meeting.

Login type	Helpdesk details
Individual Shareholders holdingsecurities in Demat mode with **CDSL**	Members facing any technical issue in login can contact CDSL helpdesk by sending a request at helpdesk.evoting@cdslindia.comor contact at 022- 23058738 and 22-23058542-43.
Individual Shareholders holdingsecurities in Demat mode with **NSDL**	Members facing any technical issue in login can contact NSDL helpdesk by sending a request at evoting@nsdl.co.inor call at toll free no.: 1800 1020 990 and1800 22 44 30

Step 2 : Access through CDSL e-Voting system in case of shareholders holding shares in physical mode and non-individual shareholders in demat mode.

(v) Login method for e-Voting and joining virtual meeting for **shareholders other than individual shareholders & physical shareholders.**

1) The shareholders should log on to the e-voting website www.evotingindia.com.

2) Click on "Shareholders" module.

3) Now enter your User ID
   a. For CDSL: 16 digits beneficiary ID,
   b. For NSDL: 8 Character DP ID followed by 8 Digits Client ID,
   c. Shareholders holding shares in Physical Form should enter Folio Number registered with the Company.

4) Next enter the Image Verification as displayed and Click on Login.

5) If you are holding shares in demat form and had logged on to www.evotingindia.com and voted on an earlier e-voting of any company, then your existing password is to be used.

6) If you are a first-time user follow the steps given below:

	For Shareholders holding shares in Demat Form other than individual andPhysical Form
PAN	Enter your 10 digit alpha-numeric *PAN issued by Income Tax Department (Applicable for both demat shareholders as well as physical shareholders) • Shareholders who have not updated their PAN with the Company/Depository Participant are requested to use the sequencenumber sent by Company/RTA or contact Company/RTA.
Dividend Bank Details **OR** Date of Birth (DOB)	Enter the Dividend Bank Details or Date of Birth (in dd/mm/yyyy format) as recorded in your demat account or in the company records in order to login. • If both the details are not recorded with the depository or company, please enter the member id / folio number in the Dividend Bank details field as mentioned in instruction (v).

Important note: Members who are unable to retrieve User ID/ Password are advised to use Forget User ID and Forget Password option available at abovementioned website.

Helpdesk for Individual Shareholders holding securities in demat mode for any technical issues related to login through Depository i.e. CDSL and NSDL

(vi) After entering these details appropriately, click on "SUBMIT" tab.

(vii) Shareholders holding shares in physical form will then directly reach the Company selection screen. However, shareholders holding shares in demat form will now reach 'Password Creation' menu wherein they are required to mandatorily enter their login password in the new password field. Kindly note that this password is to be also used by the demat holders for voting for resolutions of any other

company on which they are eligible to vote, provided that company opts for e-voting through CDSL platform. It is strongly recommended not to share your password with any other person and take utmost care to keep your password confidential.

(viii) For shareholders holding shares in physical form, the details can be used only for e-voting on the resolutions contained in this Notice.

(ix) Click on the EVSN for the relevant <Company Name> on which you choose to vote.

(x) On the voting page, you will see "RESOLUTION DESCRIPTION" and against the same the option "YES/NO" for voting. Select the option YES or NO as desired. The option YES implies that you assent to the Resolution and option NO implies that you dissent to the Resolution.

(xi) Click on the "RESOLUTIONS FILE LINK" if you wish to view the entire Resolution details.

(xii) After selecting the resolution, you have decided to vote on, click on "SUBMIT". A confirmation box will be displayed. If you wish to confirm your vote, click on "OK", else to change your vote, click on "CANCEL" and accordingly modify your vote.

(xiii) Once you "CONFIRM" your vote on the resolution, you will not be allowed to modify your vote.

(xiv) You can also take a print of the votes cast by clicking on "Click here to print" option on the Voting page.

(xv) If a demat account holder has forgotten the login password then Enter the User ID and the image verification code and click on Forgot Password & enter the details as prompted by the system.

(xvi) Facility for Non – Individual Shareholders and Custodians –Remote Voting

- Non-Individual shareholders (i.e. other than Individuals, HUF, NRI etc.) and Custodians are required to log on to www.evotingindia.com and register themselves in the "Corporates" module.
- A scanned copy of the Registration Form bearing the stamp and sign of the entity should be emailed to helpdesk.evoting@cdslindia.com.
- After receiving the login details a Compliance User should be created using the admin login and password. The Compliance User would be able to link the account(s) for which they wish to vote on.
- The list of accounts linked in the login should be mailed to helpdesk.evoting@cdslindia.com and on approval of the accounts they would be able to cast their vote.
- A scanned copy of the Board Resolution and Power of Attorney (POA) which they have issued in favour of the Custodian, if any, should be uploaded in PDF format in the system for the scrutinizer to verify the same.
- Alternatively Non Individual shareholders are required to send the relevant Board Resolution/ Authority letter etc. together with attested specimen signature of the duly authorized signatory who are authorized to vote, to the Scrutinizer and to the Company at the email address viz; secretarial@almondz.com (designated email address by company) , if they have voted from individual tab & not uploaded same in the CDSL e-voting system for the scrutinizer to verify the same.

INSTRUCTIONS FOR SHAREHOLDERS ATTENDING THE AGM/EGM THROUGH VC/OAVM & E-VOTING DURING MEETING ARE AS UNDER:

1. The procedure for attending meeting & e-Voting on the day of the AGM is same as the instructions mentioned above for Remote e-voting.
2. The link for VC/OAVM to attend meeting will be available where the EVSN of Company will be displayed after successful login as per the instructions mentioned above for Remote e-voting.
3. Shareholders who have voted through Remote e-Voting will be eligible to attend the meeting. However, they will not be eligible to vote at the AGM.
4. Shareholders are encouraged to join the Meeting through Laptops / IPads for better experience.
5. Further shareholders will be required to allow Camera and use Internet with a good speed to avoid any disturbance during the meeting.
6. Please note that Participants Connecting from Mobile Devices or Tablets or through Laptop connecting via Mobile Hotspot may experience Audio/Video loss due to Fluctuation in their respective network. It is therefore recommended to use Stable Wi-Fi or LAN Connection to mitigate any kind of aforesaid glitches.
7. Those shareholders who have registered themselves as a speaker will only be allowed to express their views/ask questions during the meeting.
8. Only those shareholders, who are present in the AGM through VC/OAVM facility and have not casted their vote on the Resolutions through remote e-Voting and are otherwise not barred from doing so, shall be eligible to vote through e-Voting system available during the EGM.
9. If any Votes are cast by the shareholders through the e-voting available during the AGM and if the same shareholders have not participated in the meeting through VC/OAVM facility, then the votes cast by such shareholders shall be considered invalid as the facility of e-voting during the meeting is available only to the shareholders attending the meeting.

PROCESS FOR THOSE SHAREHOLDERS WHOSE EMAIL ADDRESSES ARE NOT REGISTERED WITH THE DEPOSITORIES FOR OBTAINING LOGIN CREDENTIALS FOR E-VOTING FOR THE RESOLUTIONS PROPOSED IN THIS NOTICE:

1. For Physical shareholders- please provide necessary details like Folio No., Name of shareholder, scanned copy of the share certificate (front and back), PAN (self attested scanned copy of PAN card), AADHAR (self attested scanned copy of Aadhar Card) by email to **Company/RTA email id**.
2. For Demat shareholders -, please provide Demat account detials (CDSL-16 digit beneficiary ID or NSDL-16 digit DPID + CLID), Name, client master or copy of Consolidated Account statement, PAN (self attested scanned copy of PAN

card), AADHAR (self attested scanned copy of Aadhar Card) to **Company/RTA email id**.

If you have any queries or issues regarding attending AGM & e-Voting from the CDSL e-Voting System, you can write an email to helpdesk.evoting@cdslindia.com or contact at 022- 23058738 and 022-23058542/43.

All grievances connected with the facility for voting by electronic means may be addressed to Mr. Rakesh Dalvi, Manager, (CDSL,) Central Depository Services (India) Limited, A Wing, 25th Floor, Marathon Futurex, Mafatlal Mill Compounds, N M Joshi Marg, Lower Parel (East), Mumbai

- 400013 or send an email to helpdesk.evoting@cdslindia. com or call on 022-23058542/43.

STATEMENT CONTAINING INFORMATION AND DISCLOSURES AS REQUIRED PURSUANT TO SUB PARAGRAPH (iv) OF SECTION II, PART II OF SCHEDULE V OF THE COMPANIES ACT, 2013.

The Company hereby declares that it has not invited any public deposits and there is no default in repayment of any of its debts or debentures or interest payable thereon for a continuous period of thirty days in the preceding financial year before the date of appointment of such managerial person :

I. General Information :

Sl. No.	Particulars	Disclosure
1.	Nature of Industry	Financial Services
2.	Date or expected date of commencement of commercial production	The Company is already in existence and operation.
3.	In case of new companies, expected date of activities as per project approved by the financial institutions appearing in the prospectus	N. A.
4.	Financial Performance based on given indicators (as on 31 March 2022) – Audited	As mentioned in Annexure A
5.	Foreign investments or collaborators, if any	As on 30 June 2022, Foreign Body Corporate : 11.94%. NRIs : 1.04 %

II. Information about the Appointee :

Sl. No.	Particulars	Disclosure	Disclosure
1.	Background details	Mr. Navjeet Singh Sobti is a Fellow member of the Institute of Chartered Accountants of India. He is one of the initial constituents of the team which promoted the Company. He is having expert knowledge in the area of corporate finance, Investment Banking and Infrastructure project planning. The company in his leadership has achieved many milestones and he is holding the credit of steering the Company to its position of strength of being one of the top ranking domestic Investment Bankers in the past years.	Mr. Jagdeep Singh is a Fellow Member of the Institute of Chartered Accountants of India having more than 30 years of experience in the field of management of various public as well rights issues, equity broking operations and distribution of third party financial products.
2.	Past Remuneration (2021-22)	The members of the Company in its meeting held on 27.09.2019 had approved the remuneration of Rs. 1,20,00,000/- p.a. with perquisites for Mr. Navjeet Singh Sobti. During the past financial year Mr. Navjeet Singh Sobti was paid remuneration of Rs. 20.36 Lakhs.	The members of the Company in its meeting held on 27.09.2019 had approved the remuneration of Rs. . 63,00,000 /- p.a with perquisites. .for Mr. Jagdeep Singh. During the past financial year Mr. Jagdeep Singh was paid remuneration of Rs. 34.48 Lakhs.
3.	Recognition Or awards	N. A.	N. A.
4.	Job Profile and his suitability	Presently, Mr. Navjeet Singh Sobti is looking after overall functions of the company. He has been instrumental in setting up various policy guidelines for the overall functioning of the Company. Under the supervision of the Board of Directors of the Company he has greatly contributed in building up and moving the organization towards growth with his entrepreneurship	Presently, Mr. Jagdeep Singh is looking after the Retail businesses in the Company including Equity Broking and Distribution Operations. He has been instrumental in setting up the network of agents / sub-agents for marketing of various third party retail financial products.
5.	Remuneration proposed	As per the resolution placed	As per the resolution placed

III. Other Information :

Sl. No.	Particulars	Disclosure
1.	Reasons of Loss Or inadequate profits	Not applicable This disclosure is only an enabling disclosure for payment of aforesaid remuneration in the unlikely scenario of loss / inadequacy of profits. It is pertinent to note that the Company does not foresee any inadequacy of profits in the forthcoming years. Accordingly, the aforesaid remuneration is proposed to be paid as minimum remuneration in the event of loss/absence/ inadequacy of profits Due to unavoidable circumstances.
2.	Steps taken Or proposed to be taken for improvement	Not applicable
3.	Expected increase In productivity And profits in measurable terms	Not applicable

IV. Disclosures :

1. The details of remuneration proposed to be paid to the Managing Director and the Wholetime Director are contained in the Resolution under Item No 7 & 8 of the Notice read with corresponding Explanatory Statement required under Section 102 of the Companies Act, 2013.

2. All the necessary disclosures pertaining to the Directors shall be made in the Director's Report under the heading "Corporate Governance" for the FY 2021-22.

Particulars	Navjeet Singh Sobti	Jagdeep Singh
Name of other companies in Which the appointee also holds directorship / s	(a) Almondz Finanz Ltd. (b) Premier Alcobec Pvt. Ltd. (c) Almondz Commodities Pvt. Ltd. (d) Premier Greenchem Pvt. Ltd. (e) Rakam Infrastructures Pvt. Ltd. (f) Innovative Money Matters Pvt. Ltd. (g) ALP Overseas Pvt. Ltd	(a) Almondz Finanz Ltd. (b) Almondz Financial Services Ltd. (c) Almondz Commodities Pvt.Ltd. (d) Almondz Debt Advisors Pvt.Ltd. (e) Skiffle Healthcare Services Ltd. (f) Almondz Global Infra- Consultant Ltd. (g) Almondz Insolvency Resolutions Services Pvt. Ltd.
Name of other companies in which the appointee holds membership/s of the the committee/s of Board	NIL	NIL
Shareholding in Almondz Global Securities Ltd.	60715 equity shares	424119 equity shares

LAST THREE YEARS FINANCIL INDICATORS

Rs. In Lakhs*

Sl. No.	Particulars/Financial Year	2019-20	2020-21	2021-22
1	Gross Revenue	3003.66	3137.66	2865.01
2	EBITDA	106.70	692.10	521.17
3	PBT	(120.09)	505.94	371.78
4	PAT	29.51	368.67	284.63
5	Paid-up Equity Capital	1553.10	1553.10	1553.10
6	Net Worth	12520.78	129965.56	13323.03
7	EPS*	0.20	1.47	1.10

* EPS is presented in Rupee

Annexure A

Details of Directors seeking appointment/re- appointment at the Annual General Meeting

Pursuant to Regulation 36 of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 and Standard 1.2.5 of SS-2, Secretarial Standard on General Meetings

Name of the Director	**Ms. Neelu Jain**	**Mr. Navjeet Singh Sobti**	**Mr. Jagdeep Singh**
Date of Birth	12.06.1956	20.08.1967	21.09.1965
PAN No.	AADPJ6116B	AAYPS3411R	AXKPS8228D
DIN No.	00227058	00008393	00008348
Relationship with Directors	None	None	None
Brief resume & Expertise in specific functional area	She is having vast experience in the field of teaching, administration and human resources. She is also associated a Director in Jan Sikshan Sansthan and works for upliftment and empowerment of women. She is also a member of the Prevention of Sexual Harrasment Committee of the Company	Presently, Mr. Navjeet Singh Sobti is looking after overall functions of the company. He has been instrumental in setting up various policy guidelines for the overall functioning of the Company. Under the supervision of the Board of Directors of the Company he has greatly contributed in building up and moving the organization towards growth with his entrepreneurship	Presently, Mr. Jagdeep Singh is looking after the Retail businesses in the Company including Equity Broking and Distribution Operations. He has been instrumental in setting up the network of agents / sub-agents for marketing of various third party retail financial products.
Qualification	M.A in English	B.Com Hons, FCA	B.SC. Hons, FCA
Designation	Non -Executive Director	Managing Director	Wholetime Director
Terms and condition of appointment along with details of remuneration sought to be paid and the remuneration last drawn by such person if applicable	Director Retireable by rotation No remuneration except sitting fees	As per resolution mentioned in item no. 4	As per resolution mentioned in item no. 5
Date of first appointment on the Board		19-05-2006	19-04-2004
Directorship (Excluding alternate directorship, directorship in foreign companies and companies under section 8 of the Companies Act, 2013	Almondz Finanz Ltd., Hitech Machines Pvt. Ltd.	a) Almondz Finanz Ltd. (b) Premier Alcobev Pvt. Ltd. (c) Almondz Commodities Pvt. Ltd. (d) Premier Greenchem Pvt. Ltd. (e) Rakam Infrastructures Pvt. Ltd. (f) Innovative Money Matters Pvt. Ltd. (j) ALP Overseas Pvt. Ltd	a) Almondz Finanz Ltd. (b) Almondz Financial Services Ltd. (c) Almondz Commodities Pvt. Ltd. (d) Almondz Debt Advisors Pvt. Ltd. (e) Skiffle Healthcare Services Ltd. (f) Almondz Global Infra-Consultant Ltd. (g) Almondz Insolvency Resolutions Services Pvt Ltd
Chairman/ Member of the Committee of the Board of Directors as on March 31, 2022	None	Member of Stakeholders Relationship Committee	Member of Stakeholders Relationship Committee
Chairman/Member of the Committee of Directors of other companies in which he is a director as on March 31, 2022			
a) Audit Committee	NIL	NIL	NIL
b) Shareholders' Grievance Committee	NIL	Yes	Yes
Number of Board meetings attended during the Financial Year 2021-22	5	5	4
Number of shares held in the Company as on March 31, 2022	NIL	60715	424119