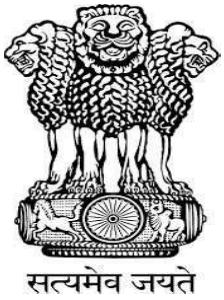
FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

(other than OPCs and Small Companies)

Form language (•) English ( ) Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company: L74899DL1994PLC059839 **Pre-fill**

Global Location Number (GLN) of the company:

* Permanent Account Number (PAN) of the company: AABCA0005H

(ii) (a) Name of the company: ALMONDZ GLOBAL SECURITIE

(b) Registered office address:

F-33/3,OKHLA INDUSTRIAL AREA PHASE-II NA
NEW DELHI
South Delhi
Delhi
110020
India

(c) *e-mail ID of the company: secretarial@almondz.com

(d) *Telephone number with STD code: 01143500700

(e) Website:

(iii) Date of Incorporation: 28/06/1994

(iv)

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital (•) Yes ( ) No

(vi) *Whether shares listed on recognized Stock Exchange(s) (•) Yes ( ) No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	National Stock Exchange of india Ltd	1,024
2	Bombay Stock Exchange Ltd	1

(b) CIN of the Registrar and Transfer Agent U67120DL1993PTC052486 **Pre-fill**

Name of the Registrar and Transfer Agent

BEETAL FINANCIAL AND COMPUTER SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

99,MADANGIR, B/4, LOCAL SHOPPING CENTRE,
NEAR DADA HARSUKH DAS MANDIR

(vii) *Financial year From date 01/04/2022 (DD/MM/YYYY) To date 31/03/2023 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ◉ Yes ○ No

(a) If yes, date of AGM 29/09/2023

(b) Due date of AGM 30/09/2023

(c) Whether any extension for AGM granted ○ Yes ◉ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 3

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	M	Professional, Scientific and Technical	M9	Other professional, scientific and technical activities	60.77
2	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	0.9
3	K	Financial and insurance Service	K8	Other financial activities	28.4

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 9 **Pre-fill All**

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held

1	ALMONDZ FINANZ LIMITED	U65191DL2006PLC148718	Subsidiary	100
2	ALMONDZ COMMODITIES PRI\	U51909DL2005PTC139714	Subsidiary	100
3	ALMONDZ FINANCIAL SERVICE	U74110DL2008PLC183702	Subsidiary	100
4	SKIFFLE HEALTHCARE SERVICE	U85110DL2012PLC246241	Subsidiary	100
5	ALMONDZ GLOBAL INFRA-CO	U74140DL2013PLC262069	Subsidiary	100
6	NORTH SQUARE PROJECTS PRI	U45200DL2012PTC239856	Subsidiary	100
7	PREMIER ALCOBEV PRIVATE LI	U15530DL2007PTC281067	Associate	48.78
8	ALMONDZ INSOLVENCY RESOI	U74999DL2017PTC324489	Associate	33
9	AVONMORE CAPITAL & MANA	L67190DL1991PLC045857	Holding	58.45

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	30,000,000	25,884,967	25,884,967	25,884,967
Total amount of equity shares (in Rupees)	180,000,000	155,309,802	155,309,802	155,309,802

Number of classes 1

Class of Shares Equity Share	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	30,000,000	25,884,967	25,884,967	25,884,967
Nominal value per share (in rupees)	6	6	6	6
Total amount of equity shares (in rupees)	180,000,000	155,309,802	155,309,802	155,309,802

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	1,200,000	1,200,000	1,200,000	1,200,000
Total amount of preference shares (in rupees)	120,000,000	120,000,000	120,000,000	120,000,000

Number of classes 1

Class of shares Preference Share	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	1,200,000	1,200,000	1,200,000	1,200,000
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	120,000,000	120,000,000	120,000,000	120,000,000

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	128,155	25,756,812	25884967	155,309,802	155,309,80	
Increase during the year	0	0	0	0	0	0
i. Pubic Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify Sales. Purchase of shares by shareholders						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0

iv. Others, specify Sales. Purchase of shares by shareholders						
At the end of the year	128,155	25,756,812	25884967	155,309,802	155,309,80	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	1,200,000	1200000	120,000,000	120,000,00	0
i. Issues of shares	0	1,200,000	1200000	120,000,000	120,000,00	
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	1,200,000	1200000	120,000,000	120,000,00	

ISIN of the equity shares of the company INE326B01027

(ii) Details of stock split/consolidation during the year (for each class of shares) 0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media] ○ Yes ◉ No ○ Not Applicable

Separate sheet attached for details of transfers ◉ Yes ○ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	20/09/2022

Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	

Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures) 0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover 266,011,000

(ii) Net worth of the Company 1,474,936,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	343,443	1.33	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	15,214,073	58.78	1,200,000	100
10.	Others	0	0	0	0
	Total	15,557,516	60.11	1,200,000	100

Total number of shareholders (promoters) 5

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	6,272,471	24.23	0	0
	(ii) Non-resident Indian (NRI)	251,392	0.97	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	236,390	0.91	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	3,169,755	12.25	0	0
10.	Others HUF, Clearing Members & IE	397,443	1.54	0	0
	Total	10,327,451	39.9	0	0

Total number of shareholders (other than promoters) 6,579

Total number of shareholders (Promoters+Public/ Other than promoters) 6,584

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company 1

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
AEGIS INVESTMENT FU	C/o Kotak Mahindra Bank,Kotak In			236,390	

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS (Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	5	5
Members (other than promoters)	5,628	6,579
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. **Promoter**	1	0	1	0	0.23	0
B. Non-Promoter	1	6	1	6	1.64	0
(i) Non-Independent	1	3	1	3	1.64	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	6	2	6	1.87	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 10

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
JAGDEEP SINGH	00008348	Whole-time directo	424,119	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
NAVJEET SINGH SOB	00008393	Managing Director	60,715	
KRISHAN LALL KHETA	01268756	Director	0	
SANJAY KUMAR TIWA	03407426	Director	0	17/05/2023
SATISH CHANDRA SIN	03598173	Director	0	
ABDUL REDHA MUSTA	05101233	Director	0	29/08/2023
AJAY KUMAR	01954049	Director	0	
NEELU JAIN	00227058	Director	0	
AJAY PRATAP	AOPPP1649M	Company Secretar	0	
RAJEEV KUMAR	ALPPK5252J	CFO	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year 0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held 2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	20/09/2022	6,508	84	62.25
Extraordinary General Meet	20/02/2023	1	1	100

B. BOARD MEETINGS

*Number of meetings held 5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	24/05/2022	8	8	100
2	10/08/2022	8	8	100
3	10/11/2022	8	6	75
4	15/11/2022	8	8	100
5	11/02/2023	8	6	75

C. COMMITTEE MEETINGS

Number of meetings held 10

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committe	24/05/2022	4	4	100
2	Audit Committe	10/08/2022	4	4	100
3	Audit Committe	10/11/2022	4	3	75
4	Audit Committe	15/11/2022	4	4	100
5	Audit Committe	11/02/2023	4	3	75
6	Nomination & I	24/05/2022	4	4	100
7	Nomination & I	10/08/2022	4	4	100
8	Committee for	30/09/2022	3	3	100
9	STAKEHOLDE	23/01/2023	3	3	100
10	Compensation	10/08/2022	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	29/09/2023 (Y/N/NA)
1	JAGDEEP SIN	5	5	100	2	2	100	No

2	NAVJEET SIN	5	5	100	3	3	100	Yes
3	KRISHAN LAL	5	3	60	8	6	75	No
4	SANJAY KUM	5	5	100	7	7	100	No
5	SATISH CHAN	5	5	100	8	8	100	Yes
6	ABDUL REDH	5	3	60	0	0	0	No
7	AJAY KUMAR	5	5	100	10	10	100	Yes
8	NEELU JAIN	5	5	100	0	0	0	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered 2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Jagdeep Singh	Wholetime Direc	6,285,000	0	0	0	6,285,000
2	Navjeet Singh Sobti	Managing Direct	4,633,000	0	0	0	4,633,000
	Total		10,918,000	0	0	0	10,918,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered 2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Ajay Pratap	Company Secre	2,594,000	0	0	0	2,594,000
2	Rajeev Kumar	CFO	1,030,000	0	0	0	1,030,000
	Total		3,624,000	0	0	0	3,624,000

Number of other directors whose remuneration details to be entered 6

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	AJAY KUMAR	Independent Dir	0	0	0	217,000	217,000
2	SATISH CHANDRA	Independent Dir	0	0	0	200,000	200,000
3	KRISHAN LALL KH	Independent Dir	0	0	0	130,000	130,000
4	NEELU JAIN	Director	0	0	0	128,000	128,000
5	SANJAY KUMAR T	Director	0	0	0	185,000	185,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
6	ABDUL REDHA MU	Director	0	0	0	75,000	75,000
	Total		0	0	0	935,000	935,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ◉ Yes ○ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

◉ Yes ○ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name: NPS & Associates Company Secretaries

Whether associate or fellow: ◉ Associate ○ Fellow

Certificate of practice number: 22069

I/We certify that:
(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. 28 dated 10/08/2023 (DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been compiled with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director JAGDEEP SINGH — Digitally signed by JAGDEEP SINGH Date: 2023.11.06 15:25:34 +05'30'

DIN of the director 00008348

To be digitally signed by AJAY PRATAP — Digitally signed by AJAY PRATAP Date: 2023.11.06 15:31:54 +05'30'

(•) Company Secretary
( ) Company secretary in practice

Membership number 8480 Certificate of practice number

Attachments

		List of attachments
1. List of share holders, debenture holders	**Attach**	List of shareholders.pdf
2. Approval letter for extension of AGM;	**Attach**	List of share transfer.pdf
3. Copy of MGT-8;	**Attach**	MGT 8 - AGSL_2023.pdf
4. Optional Attachement(s), if any	**Attach**	

Remove attachment

Modify | Check Form | Prescrutiny | Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company