almondz
the game changer
Almondz Global Securities Limited

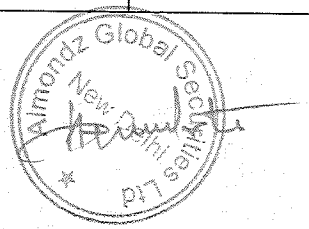
General information about company

Scrip code	531400
NSE Symbol	ALMONDZ
MSEI Symbol	NOTLISTED
ISIN	INE326B01035
Name of the entity	Almondz Global Securities Limited
Date of start of financial year	01-04-2026
Date of end of financial year	31-03-2027
Reporting Quarter Type	Half Yearly
Date of Quarter Ending	30-09-2026
Type of company	Equity
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	Yes
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	Yes
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	No

Almondz Global Securities Ltd. New Delhi

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Corporate Office : F-33/3, Okhla Industrial Area Phase - II, New Delhi - 110 020, India. Tel. :+91 11 4350 0700, Fax : +91 11 4350 0735
CIN : L74899MH1994PLC434425 Email : secretarial@almondz.com Website : www.almondzglobal.com

Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	No
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	No
Whether Annexure I (Part F) of the SEBI Circular dated December 31, 2024 related to Disclosure Of Loans / Guarantees / Comfort Letters / Securities Etc. is Applicable to the entity?	Yes
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Top 2000 listed entities
Is SCORE ID Available ?	Yes
SCORE Registration ID	A00150
Reason For No SCORE ID	
Type of Submission	Original

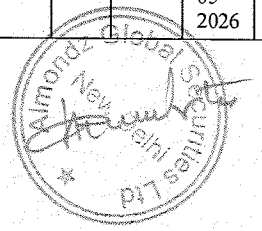


Whether the listed entity has a Regular Chairperson	Yes
Whether Chairperson is related to MD or CEO	No

Sr	Title (Mr/Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & reg. 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation

Almondz Global Securities Ltd New Delhi

1	Mr	RAJKUMAR KHANNA	AAKPK3911A	05180042	Non-Executive - Independent Director	Chairperson		19-10-1952	No				Active	Yes	20-09-2024	12-08-2024			60.00	3	3	2	0	
2	Mr	SURINDER SINGH KOHLI	AAWPK6879P	00169907	Non-Executive - Independent Director	Not Applicable		10-04-1945	No				Active	Yes	20-09-2024	12-08-2024			60.00	2	2	5	0	
3	Mr	MANOJ KUMAR ARORA	AEPPA5397H	06777177	Executive Director	Not Applicable	MD	21-01-1973	No				Active	NA		25-04-2024	12-08-2024			1	0	1	0	
4	Mr	AJAY PRATAP	AOPPP1649M	10805775	Executive Director	Not Applicable		10-07-1977	No				Active	NA		11-10-2024				1	0	1	0	
5	Ms	NEELU JAIN	AADPJ6116B	00227058	Non-Executive - Independent Director	Not Applicable		12-06-1956	No				Active	NA		12-08-2014	22-05-2026		60.00	3	3	3	2	
6	Mr	RAJEEV KUMAR	ALPPK5252J	00270046	Executive Director	Not Applicable		17-12-1971	No				Active	NA		23-05-2026				1	0	1	0	



Audit Committee Details

Whether the Audit Committee has a Regular Chairperson	Yes

Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment
1	05180042	RAJKUMAR KHANNA	Non-Executive - Independent Director	Member	20-09-2024
2	00227058	NEELU JAIN	Non-Executive - Independent Director	Chairperson	25-05-2026
3	00270046	RAJEEV KUMAR	Executive Director	Member	25-05-2026

Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson	Yes

Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment
1	05180042	RAJKUMAR KHANNA	Non-Executive -	Member	20-09-2024

			Independent Director		
2	00227058	NEELU JAIN	Non-Executive - Independent Director	Chairperson	28-09-2025
3	00169907	SURINDER SINGH KOHLI	Non-Executive - Independent Director	Member	25-05-2026

Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson	Yes

Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment
1	06777177	MANOJ KUMAR ARORA	Executive Director	Member	25-04-2024
2	10805775	AJAY PRATAP	Executive Director	Member	28-09-2025
3	00227058	NEELU JAIN	Non-Executive - Independent Director	Chairperson	25-05-2026

Almondz Global Securities Ltd New Delhi

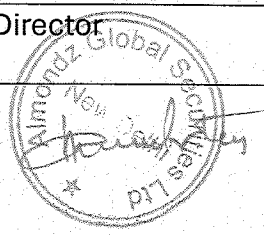
Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson	Yes

Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment
1	10805775	AJAY PRATAP	Executive Director	Member	28-09-2025
2	06777177	MANOJ KUMAR ARORA	Executive Director	Member	28-09-2025
3	00227058	NEELU JAIN	Non-Executive - Independent Director	Chairperson	25-05-2026

Other Committee

Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors
1	10805775	AJAY PRATAP	MANAGEMENT COMMITTEE	Executive Director	Member
2	06777177	MANOJ KUMAR ARORA	MANAGEMENT COMMITTEE	Executive Director	Chairperson
3	00227058	NEELU JAIN	MANAGEMENT COMMITTEE	Non-Executive - Independent Director	Member
4	00270046	RAJEEV KUMAR	MANAGEMENT COMMITTEE	Executive Director	Member



5	06777177	MANOJ KUMAR ARORA	COMPENSATION COMMITTEE	Executive Director	Chairperson
6	00227058	NEELU JAIN	COMPENSATION COMMITTEE	Non-Executive - Independent Director	Member
7	10805775	AJAY PRATAP	COMPENSATION COMMITTEE	Executive Director	Member
8	06777177	MANOJ KUMAR ARORA	COMMITTEE FOR FURTHER ISSUE OF SHARE CAPITAL	Executive Director	Member
9	00227058	NEELU JAIN	COMMITTEE FOR FURTHER ISSUE OF SHARE CAPITAL	Non-Executive - Independent Director	Chairperson
10	10805775	AJAY PRATAP	COMMITTEE FOR FURTHER ISSUE OF SHARE CAPITAL	Executive Director	Member

Annexure 1

III. Meeting of Board of Directors

Sr. No.	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*

1	10-04-2026			Yes	6	6	3
2	22-05-2026	41		Yes	6	6	3
3	28-07-2026	66		Yes	6	6	3
4	24-08-2026	26		Yes	6	6	3

Annexure 1

IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	10-04-2026				Yes	3	3	2	0
2	Audit Committee	22-05-2026	41			Yes	3	3	2	0
3	Audit Committee	28-07-2026	66			Yes	3	3	2	0

4	Audit Committee	24-08-2026	26			Yes	3	3	2	0
5	Nomination and remuneration committee	22-05-2026				Yes	3	3	3	0
6	Nomination and remuneration committee	28-07-2026	66			Yes	3	3	3	0
7	Other Committee	29-06-2026		COMMITTEE FOR FURTHER ISSUE OF SHARE CAPITAL		Yes	3	2	1	0
8	Other Committee	24-07-2026	24	COMMITTEE FOR FURTHER ISSUE OF SHARE CAPITAL		Yes	3	2	1	0
9	Other Committee	03-08-2026	9	MANAGEMENT COMMITTEE		Yes	4	3	1	0
10	Other Committee	19-08-2026	15	MANAGEMENT COMMITTEE		Yes	4	3	0	0
11	Other Committee	15-09-2026	26	MANAGEMENT COMMITTEE		Yes	4	3	0	0

Almondz Global Securities Ltd
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Annexure 1

V. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 **a. Audit Committee**	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. **b. Nomination & remuneration committee**	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. **c. Stakeholders relationship committee**	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. **d. Risk management committee (applicable to the top 1000 listed entities)**	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes



Annexure 1

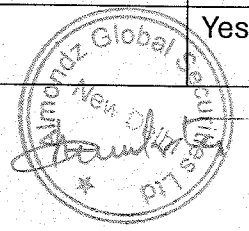
Sr	Subject	Compliance status
1	Name of signatory	AJAY PRATAP
2	Designation	Company Secretary and Compliance Officer

Details of Cyber security incidence	
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	No

Affirmations on Compliance Requirements for AGM (applicable only for the first half-year filing i.e., 2nd quarter)

I. Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, BRSR & BRSR core, if applicable, displayed on website	46(2)	Yes
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes



4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes
6	Compliance with the conditions laid down for Secretarial Auditor or the person signing the Secretarial Compliance Report	24A(1A), 24A(1B), 24A(1C)	Yes
7	Submission of Annual Secretarial Compliance Report	24A(2)	Yes
8	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes

Annexure III		
1	Name of signatory	AJAY PRATAP
2	Designation	Company Secretary and Compliance Officer



Additional Half yearly Disclosure

DISCLOSURE OF LOANS / GUARANTEES / COMFORT LETTERS / SECURITIES ETC. (applicable only for half-yearly filings)

Any Other Information for Disclosure of Loans / Guarantees / Comfort Letters / Securities Etc.

I. Disclosure of Loans/ guarantees/comfort letters /securities etc.

(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	0.00	0.00	
Promoter Group or any other entity controlled by them	341790000.00	115850000.00	
Directors (including relatives) or any other entity controlled by them	0.00	0.00	
KMPs or any other entity controlled by them	0.00	0.00	

(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them	0	0.00	0.00
Promoter Group or any other entity controlled by them	Corporate Guarantee	65864000.00	369422000.00
Directors (including relatives) or any other entity controlled by them	0	0.00	0.00
KMPs or any other entity controlled by them	0	0.00	0.00

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	0	0.00	0.00
Promoter Group or any other entity controlled by them	0	0.00	0.00
Directors (including relatives) or any other entity controlled by them	0	0.00	0.00
KMPs or any other entity controlled by them	0	0.00	0.00

II. Affirmation

Affirmations	Compliance Status
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.	Yes
Name	RAJEEV KUMAR
Designation	CFO
Place	NEW DELHI
Date	19-10-2026

