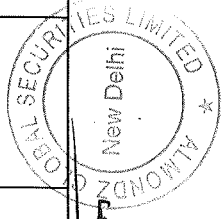


Almondz Global Securities Ltd.

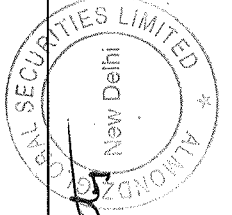
1. Composition of Board of Directors													
Title (Mr./Ms)	Name of the Director	PANs and DIN	Category (Chairperson/ Executive, Non-Executive, Independent, Non-Executive, Independent, Non-Executive)	Date of Birth	Initial Date of appointment	Whether Special Resolution passed? (Ref. Reg. 17(1A) of Listing Regulations/Date of passing of Special Resolution)	Date of Re-appointment	Date of cessation	Tenure in months	No. of Directorship in listed entities including this listed entity (Refer Regulations 25(1) of Listing Regulations)	No of Independent Directors including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulations 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this entity (Refer Regulations 26(1) of Listing Regulations)
Mr.	Satish Chandra Sinha	PAN:ADSPS 3128C DIN:03598 173	Chairperson/ Independent/ Non Executive	31-07-1952	25 May 2016	N.A	-	-	60	1	1	1	NIL
Mr.	Navjeet Singh Sobti	PAN:AAYPs 3411R DIN:00008 393	Executive	20-08-1967	19 May 2006	N.A	12-08-2019	-	-	1	NIL	1	NIL
Mr.	Jagdeep Singh	PAN:AXKPS 8228D DIN:00008 348	Executive	21-09-1965	19 April 2004	N.A.	01-12-2019	-	-	1	NIL	1	NIL
Mr.	Krishan Lail Khetarpaul	PAN:AAEPK 6610N DIN:01268 756	Independent/ Non Executive	02-07-1943	31 January 2007	Yes/27.09.2019	29-09-2019	-	60	2	2	1	1
Mr.	Ajay Kumar	PAN:AEMP K5513J DIN:01954 049	Independent/ Non Executive	30-01-1951	11 August 2015	N.A.	-	-	60	2	2	0	3



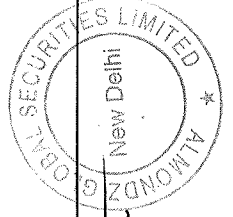
Navjeet Singh Sobti

SEBI Merchant Banking Registration No. INM000000834


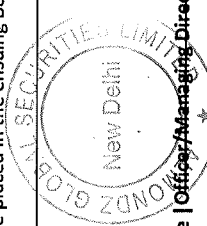
Ms.	Neelu Jain	PAN:AADPJ 6116B DIN:00227 058	Non Executive	12-06- 1956	12 August 2014	N.A	-	-	1	NIL	1	NIL
Mr.	**Abdul Redha Mustafa Abdul Redha Sultan	DIN:05101 233	Non Executive	27-10- 1969	11 Decemb er 2019	N.A	-	-	1	NIL	NIL	NIL
Mr.	Sanjay Kumar Tiwari	PAN:AAAMP T9380J DIN:03407 426	Non Executive	10-06- 1964	10 February 2011	N.A	-	-	1	NIL	NIL	NIL
<p>§ PAN Number of any director would not be displayed on the website of Stock Exchange & Category of directors means executive/non-executive/Independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen **To be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of Directors of the listed entity in continuity without any cooling off period. ** Mr. Abdul Redha Mustafa Abdul Redha Sultan is an OMANI Resident, hence PAN is not applicable for him.</p>												
II. Composition of Committees												
Name of Committee		Name of Committee members			Category (Chairperson/Executive/Non-Executive/Independent/Nominee)§			Date of Appointment				
1. Audit Committee		(i) Mr. Ajay Kumar, (ii) Mr. Krishan Lall Khetarpaul (iii) Mr. Satisch Chandra Sinha (iv) Mr. Satisch Chandra Sinha			Chairperson/Independent/Non Executive Independent/ Non Executive Independent/ Non Executive			11-08-2015 22-02-2007 10-08-2017				
2. Nomination & Remuneration Committee		(i) Mr. Ajay Kumar, (ii) Mr. Satisch Chandra Sinha (iii) Mr. Satisch Chandra Sinha (iv) Mr. Krishan Lall Khetarpaul			Chairperson/Independent/Non Executive Independent/Non Executive Independent/ Non Executive			11-08-2015 27-05-2019 22-02-2007				
3. Risk Management Committee		(Not applicable)			(Not applicable)			(Not applicable)				
4. Stakeholders Relationship Committee		(i) Mr. Ajay Kumar (ii) Mr. Navjeet Singh Sobti (iii) Mr. Jagdeep Singh			Chairperson/Independent/Non Executive Executive			11-08-2015 12-08-2014 25-06-2005				
5. CSR Committee		(v) Mr. Ajay Kumar, (vi) Mr. Satisch Chandra Sinha Mr. Krishan Lall Khetarpaul			Chairperson/Independent/Non Executive Independent/Non executive Independent/ Non Executive			11-08-2015 27-05-2019 31-03-2015				
Category of directors means executive/non-executive/Independent/Nominee. If a director fits into more than one Category write all categories separating them with hyphen												
III. Meeting of Board of Directors												
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Numbers of Directors present	Numbers of Independent Directors present	Maximum gap between any two consecutive meetings in number of days*							

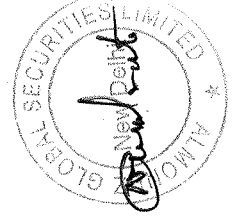


11 December 2019	12 February 2020	Yes	7	3	62Days
IV. Meeting of Committees					
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Numbers of Directors present	Numbers of Independent Directors present	Maximum gap between any two consecutive meetings in number of days*
12 February 2020 (Audit Committee)	Yes	08 August 2019 12 September 2019 11 December 2019 (Audit Committee)	3	3	62Days
*This information has to be mandatorily be given for audit committee, for rest of the committee giving this information is optional					
V. Related Party Transactions					
Subject					Compliance Status (Yes/No/NA) refer to note below
Whether prior approval of audit committee obtained					Yes
Whether shareholder approval obtained for material RPT					No Material Transaction was involved ; hence shareholders approval not required
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by audit committee					NA
Note:	1. In the column "Compliance Status" Compliance or non-compliance may be indicated by Yes/No/N.A. for example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case Listing Entity has no related party transactions, the word "N.A. may be indicated.				
	2. If status is "No" details of non compliance may be given here.				
VI. Affirmations					
	1. The composition of Board of Director is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.				
	2. The composition of the following committee is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015				
	a. Audit Committee				
	b. Nomination & Remuneration Committee				
	c. Stakeholders Relationship Committee				

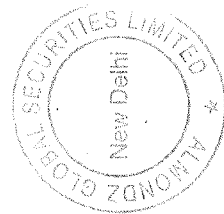



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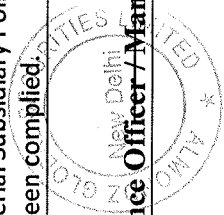
	<p>d. Risk management committee (applicable to the top 100 listed entities)</p> <p>3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI(Listing obligations and disclosure requirements) Regulations, 2015</p> <p>4. The meetings of the board of directors and the above committees have been conducted in the manners specified in SEBI(Listing obligations and disclosure requirements) Regulations, 2015.</p> <p>5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: First Report. This will be placed in the ensuing Board Meeting</p>
	<p style="text-align: center;">  Ajay Pratap Company Secretary/Compliance Officer Managing Director/CEO </p> <p style="text-align: center;">  </p>



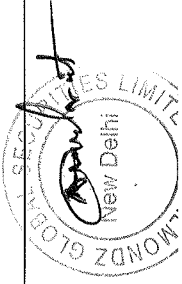
I. Disclosure on website in terms of Listing Regulations		
Item	Regulation Number	Compliance Status (Yes/N/NA)
Details of business		
Terms and conditions of appointment of independent directors		Yes
Composition of various committees of board of directors		Yes
Code of conduct of board of directors and senior management personnel		Yes
Details of establishment of vigil mechanism/ Whistle Blower policy		Yes
Criteria of making payments to non-executive directors		Yes
Policy on dealing with related party transactions		Yes
Policy for determining 'material' subsidiaries		Yes
Details of familiarization programmes imparted to independent directors		Yes
Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances		Yes
email address for grievance redressal and other relevant details		Yes
Financial results		Yes
Shareholding pattern		Yes
Details of agreements entered into with the media companies and/or their associates		N.A.
New name and the old name of the listed entity		N.A.
II Annual Affirmations		
Particulars	Regulation Number	Compliance status (Yes/No/NA)
Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes
Board composition	17(1)	Yes
Meeting of Board of directors	17(2)	Yes
Review of Compliance Reports	17(3)	Yes
Plans for orderly succession for appointments	17(4)	Yes
Code of Conduct	17(5)	Yes
Fees/compensation	17(6)	Yes
Minimum Information	17(7)	Yes
Compliance Certificate	17(8)	Yes
Risk Assessment & Management	17(9)	Yes
Performance Evaluation of Independent Directors	17(10)	Yes
Composition of Audit Committee	18(1)	Yes



Meeting of Audit Committee	18(2)	Yes
Composition of nomination & remuneration committee	19(1) & (2)	Yes
Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes
Composition and role of risk management committee	21(1),(2),(3),(4)	N.A.
Vigil Mechanism	22	Yes
Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes
Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes
Approval for material related party transactions	23(4)	Yes
Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes
Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes
Maximum Directorship & Tenure	25(1) & (2)	Yes
Meeting of independent directors	25(3) & (4)	Yes
Familiarization of independent directors	25(7)	Yes
Memberships in Committees	26(1)	Yes
Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes
Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes
Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes
Note		
<p>1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.</p> <p>2. If status is "No" details of non-compliance may be given here.</p> <p>3. If the Listed Entity would like to provide any other information the same may be indicated here.</p>		
III Affirmations:		
<p>The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied.</p>		
<p> Ajay Pratap Company Secretary / Compliance Officer / Managing Director / CEO</p>		




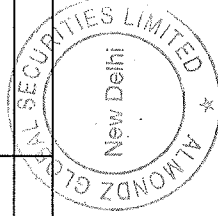
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	




22	Composition and role of risk management committee	21(1),(2),(3),(4)	NA
23	Meeting of Risk Management Committee	21(3A)	NA
24	Vigil Mechanism	22	Yes
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes
27	Approval for material related party transactions	23(4)	Yes
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes
31	Annual Secretarial Compliance Report	24(A)	Yes
32	Alternate Director to Independent Director	25(1)	NA
33	Maximum Tenure	25(2)	Yes
34	Meeting of independent directors	25(3) & (4)	Yes
35	Familiarization of independent directors	25(7)	Yes
36	Declaration from Independent Director	25(8) & (9)	Yes
37	D & O Insurance for Independent Directors	25(10)	NA
38	Memberships in Committees	26(1)	Yes
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes

Annexure II

1	Name of signatory	AJAY PRATAP 
2	Designation	Company Secretary and Compliance Officer



Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
Any other information to be provided		
Annexure II		
1	Name of signatory	Ajay Pratap 
2	Designation	Company Secretary and Compliance Officer

