

1. Name of the Listed Entity: **Almondz Global Securities Ltd**
 2. Quarter Ending: **31 December 2019**

1. Composition of Board of Directors													
Title (Mr./Ms)	Name of the Director	PANs and DIN	Category (Chairperson/Executive/Non-Executive/Independent/Noninee)	Date of Birth	Initial Date of appointment	Whether Special Resolution passed? [Ref. Reg. 17(1A) of Listing Regulations/Date of passing of Special Resolution]	Date of Re-appointment	Date of cessation	Tenure in months	No. of Directorship in listed entities including this listed entity (Refer Regulations 25(1) of Listing Regulations)	No of Independent Directors in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulations 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this entity (Refer Regulations 26(1) of Listing Regulations)
Mr.	Satish Chandra Sinha	PAN:ADSPS 3128C DIN:03598 173	Chairperson/ Independent/ Non Executive	31-07-1952	25 May 2016	N.A	-	-	60	1	1	1	NIL
Mr.	Navjeet Singh Sobti	PAN:AAYPS 3411R DIN:00008 393	Executive	20-08-1967	19 May 2006	N.A	12-08-2019	-	-	1	NIL	1	NIL
Mr.	Jagdeep Singh	PAN:AXKPS 8228D DIN:00008 348	Executive	21-09-1965	19 April 2004	N.A.	01-12-2019	-	-	1	NIL	1	NIL
Mr.	Krishan Lall Khetarpaul	PAN:AAEPK 6610N DIN:01268 756	Independent/ Non Executive	02-07-1943	31 January 2007	Yes/27.09.2019	29-09-2019	-	60	2	2	1	1



Mr.	Ajay Kumar	PAN:AEMP K5513J DIN:01954 049	Independent/ Non Executive	30-01- 1951	11 August 2015	N.A.	-	-	60	2	2	0	3
Ms.	Neelu Jain	PAN:AADPJ 6116B DIN:00227 058	Non Executive	12-06- 1956	12 August 2014	N.A	-	-	-	1	NIL	1	NIL
Mr.	**Abdul Redha Mustafa Abdul Redha Sultan	DIN:05101 233	Non Executive	27-10- 1969	11 Decemb er 2019	N.A	-	-	-	1	NIL	NIL	NIL
Mr.	Sanjay Kumar Tiwari	PAN:AAMP T9380J DIN:03407 426	Non Executive	10-06- 1964	10 February 2011	N.A	-	-	-	1	NIL	NIL	NIL

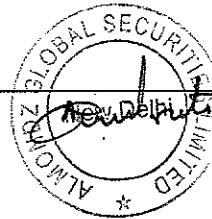
§ PAN Number of any director would not be displayed on the website of Stock Exchange & Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen
*To be filled only for Independent Director. Tenure would mean total period from which independent director is serving on Board of Directors of the listed entity in continuity without any cooling off period.
** Mr. Abdul Redha Mustafa Abdul Redha Sultan is an OMANI Resident, hence PAN is not applicable for him.

II. Composition of Committees

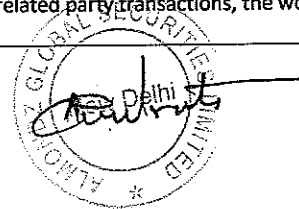
Name of Committee	Name of Committee members	Category(Chairperson/Executive/Non-Executive/independent/Nominee)§	Date of Appointment
1. Audit Committee	(i) (ii) Mr. Ajay Kumar, (iii) Mr. Krishan Lall Khetarpaul (iv) Mr. Satish Chandra Sinha	Chairperson/Independent/Non Executive Independent/Non executive Independent/ Non Executive	11-08-2015 22-02-2007 10-08-2017
2. Nomination & Remuneration Committee	(i) (ii) Mr. Ajay Kumar, (iii) Mr. Satish Chandra Sinha (iv) Mr. Krishan Lall Khetarpaul	Chairperson/Independent/Non Executive Independent/Non Executive Independent/ Non Executive	11-08-2015 27-05-2019 22-02-2007
3. Risk Management Committee	(Not applicable)	(Not applicable)	(Not applicable)
4. Stakeholders Relationship Committee	(i) Mr. Ajay Kumar (ii) Mr. Navjeet Singh Sobti (iii) Mr. Jagdeep Singh	Chairperson/Independent/Non Executive Executive Executive	11-08-2015 12-08-2014 25-06-2005
5. CSR Committee	(v) Mr. Ajay Kumar, (vi) Mr. Satish Chandra Sinha Mr. Krishan Lall Khetarpaul	Chairperson/Independent/Non Executive Independent/Non executive Independent/ Non Executive	11-08-2015 27-05-2019 31-03-2015

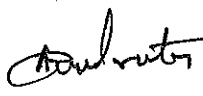
Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one Category write all categories separating them with hyphen

III. Meeting of Board of Directors



Date(s) of Meeting(if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Numbers of Directors present	Numbers of Independent Directors present	Maximum gap between any two consecutive meetings in number of days*
08 August 2019 12 September 2019	11 December 2019	yes	6	2	89Days
IV. Meeting of Committees					
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Numbers of Directors present	Numbers of Independent Directors present	Maximum gap between any two consecutive meetings in number of days*
11 December 2019 (Audit Committee)	Yes	08 August 2019 12 September 2019 (Audit Committee)	2	2	89Days
11 December 2019 (Nomination and Remuneration Committee)	Yes	08 August 2019	2	2	124Days
*This information has to be mandatorily be given for audit committee, for rest of the committee giving this information is optional					
V. Related Party Transactions					
	Subject				Compliance Status (Yes/No/NA) refer to note below
	Whether prior approval of audit committee obtained				Yes
	Whether shareholder approval obtained for material RPT				No Material Transaction was involved ; hence shareholders approval not required
	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by audit committee				NA
	Note: 1. In the column "Compliance Status" Compliance or non-compliance may be indicated by Yes/No/N.A.. for example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case Listing Entity has no related party transactions, the word "N.A. may be indicated. 2. If status is "No" details of non compliance may be given here.				



	VI. Affirmations
	<ol style="list-style-type: none"> 1. The composition of Board of Director is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. 2. The composition of the following committee is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 <ol style="list-style-type: none"> a. Audit Committee b. Nomination & Remuneration Committee c. Stakeholders Relationship Committee d. Risk management committee (applicable to the top 100 listed entities) 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI(Listing obligations and disclosure requirements) Regulations, 2015 4. The meetings of the board of directors and the above committees have been conducted in the manners specified in SEBI(Listing obligations and disclosure requirements) Regulations, 2015. 5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: First Report. This will be placed in the ensuing Board Meeting
	 <p data-bbox="392 694 1019 758"> Ajay Pratap Company Secretary/Compliance Officer/Managing Director/CEO </p> 