

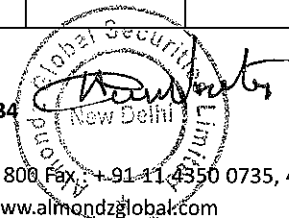
Almondz Global Securities Ltd.

Annexure-1

1. Name of the Listed Entity: **Almondz Global Securities Ltd**
2. Quarter Ending: **30 June 2018**

| 1. Composition of Board of Directors | | | | | | | | |
|--------------------------------------|--|--------------------------------|--|---|---------|--|--|--|
| Title (Mr./Ms) | Name of the Director | PANs and DIN | Category (Chairperson/ Executive/Non-Executive/Independent/Non-Executive)& | Date of Appointment in the current term/cessation | Tenure* | No. of Directorship in listed entities including this listed entity (Refer Regulations 25(1) of Listing Regulations) | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulations 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this entity (Refer Regulations 26(1) of Listing Regulations) |
| Mr. | Atul Kumar Shukla | PAN:AHBPS 7974D; DIN: 00121601 | Chairperson/ Independent/ Non Executive | 29 September 2014 | 5 Years | 2 | 3 | 1 |
| Mr. | Navjeet Singh Sobti | PAN:AAYP 3411R DIN:00008 393 | Executive | 12 August 2014 | - | 1 | 1 | NIL |
| Mr. | Jagdeep Singh | PAN:AXKPS 8228D DIN:00008 348 | Executive | 01 December 2014 | - | 1 | 1 | NIL |
| Mr. | Krishan Lall Khetarpaul | PAN:AAEPK 6610N DIN:01268 756 | Independent/ Non Executive | 29 September 2014 | 5 Years | 2 | 1 | NIL |
| Mr. | Ajay Kumar | PAN:AEMP K5513J DIN:01954 049 | Independent/ Non Executive | 11 August 2015 | 5 Years | 2 | 1 | 3 |
| Ms. | Neelu Jain | PAN:AADPJ 6116B DIN:00227 058 | Non Executive | 12 August 2014 | - | 1 | 1 | NIL |
| Mr. | Abdul Redha Mustafa Abdul Redha Sultan | DIN:05101 233 | Non Executive | 11 November 2011 | - | 1 | NIL | NIL |
| Mr. | Sanjay Tiwari | PAN:AAMP T9380J DIN:03407 426 | Non Executive | 10 February 2011 | - | 1 | NIL | NIL |
| Mr. | Satish Chandra Sinha | PAN:ADSPS 3128C DIN:03598 173 | Independent/ Non Executive | 25 May 2016 | 5 Years | 2 | 1 | 1 |
| Mr. | Debashish Ghoshal | PAN:ACWP G3140PDIN :03040937 | Executive | 10 August 2017 | 3 Years | 1 | NIL | NIL |

SEBI Merchant Banking Registration No. INM00000834



F - 33/3 Okhla Industrial Area Phase - II, New Delhi - 110 020, India. Tel.: + 91 11 4350 0700 / 800 Fax: + 91 11 4350 0735, 4350 0787

CIN : L74899DL1994PLC059839 • Email : delhi@almondz.com • Website : www.almondzglobal.com

Registered Office: 2nd Floor, 3 Scindia House, Janpath, New Delhi 110001 India.Tel.: +91 11 4151 4666-669, Fax: +91 11 4151 4665

§ PAN Number of any director would not be displayed on the website of Stock Exchange
 & Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen
 *To be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of Directors of the listed entity in continuity without any cooling off period.

II. Composition of Committees

| Name of Committee | Name of Committee members | Category(Chairperson/Executive/Non-Executive/independent/Nominee)§ |
|--|---|--|
| 1. Audit Committee | (i) Mr. Ajay Kumar, (ii) Mr. Atul Kumar Shukla (iii) Mr. Krishan Lall Khetarpaul (iv) Mr. Satish Chandra Sinha | Chairperson/Independent/Non Executive Independent/Non executive Independent/ Non Executive Independent/ Non Executive |
| 2. Nomination & Remuneration Committee | (i) Mr. Ajay Kumar, (ii) Mr. Atul Kumar Shukla (iii) Mr. Krishan Lall Khetarpaul | Chairperson/Independent/Non Executive Independent/Non Executive Independent/ Non Executive |
| 3. Risk Management Committee | (Not applicable) | (Not applicable) |
| 4. Stakeholders Relationship Committee | (i) Mr. Ajay Kumar (ii) Mr. Navjeet Singh Sobti (iii) Mr. Jagdeep Singh | Chairperson/Independent/Non Executive Executive Executive |

& Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one Category write all categories separating them with hyphen

III. Meeting of Board of Directors

| Date(s) of Meeting(if any) in the previous quarter | Date(s) of Meeting (if any) in the relevant quarter | Maximum gap between any two consecutive meetings in number of days* |
|--|---|---|
| 12 February 2018 30 March 2018 | 25 May 2018 | 55 Days |

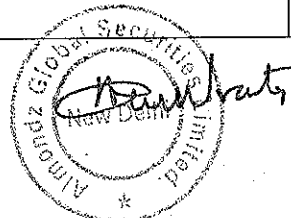
IV. Meeting of Committees

| Date(s) of meeting of the committee in the relevant quarter | Whether requirement of Quorum met (details) | Date(s) of meeting of the committee in the previous quarter | Maximum gap between any two consecutive meetings in number of days* |
|---|---|---|---|
| 25 May 2018 (Audit Committee) | Yes (out of 4 Members 3 were present) | 12 February 2018 30 March 2018 (Audit Committee) | 55 days |

*This information has to be mandatorily be given for audit committee, for rest of the committee giving this information is optional

V. Related Party Transactions

| Subject | Compliance Status (Yes/No/NA) refer to note below |
|--|---|
| Whether prior approval of audit committee obtained | Yes |
| Whether shareholder approval obtained for material RPT | No Material Transaction was involved ; hence shareholders approval not required |



| | |
|--|----|
| Whether details of RPT entered into pursuant to omnibus approval have been reviewed by audit committee | NA |
|--|----|

Note:

1. In the column "Compliance Status" Compliance or non-compliance may be indicated by Yes/No/N.A.. for example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case Listing Entity has no related party transactions, the word "N.A. may be indicated.
2. If status is "No" details of non compliance may be given here.

VI. Affirmations

1. The composition of Board of Director is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committee is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee
 - b. Nomination & Remuneration Committee
 - c. Stakeholders Relationship Committee
 - d. Risk management committee (applicable to the top 100 listed entities)
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI(Listing obligations and disclosure requirements) Regulations, 2015
4. The meetings of the board of directors and the above committees have been conducted in the manners specified in SEBI(Listing obligations and disclosure requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: First Report. This will be placed in the ensuing Board Meeting



Ajay Pratap
 Company Secretary/Compliance Officer/Managing Director/CEO

