

Almondz Global Securities Ltd.

Ref:agsl/corres/Bse/Nse/21-22/cl40(9)/004

9 April, 2021

**The General Manager
(Listing & Corporate Relations)
Bombay Stock Exchange Ltd.
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001**

**The Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai - 400051**

**Re: Compliance Certificate-Regulation 40 (9) of Securities and Exchange Board of India
(Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year
ended 31 March 2021**

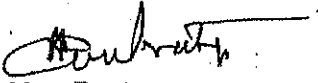
Sir/Ma'm

Pursuant to Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached herewith the certificate issued by Mr. Nakul Pratap Singh, Practicing Company Secretary for half year ended 31st March 2021.

You are requested to kindly take the same on your record

Thanking you,

Yours Faithfully,
For Almondz Global Securities Ltd.


**Ajay Pratap
Company Secretary &
Vice President Corporate Affairs**



Encl:a/a

SEBI Merchant Banking Registration No. INM000000834



NPS & ASSOCIATES
Company Secretaries

702, Sector 15 A
Faridabad, Haryana - 121007
Ph - 9953709250
E-mail- csnps11@gmail.com

**CERTIFICATE UNDER REGULATION 40 (9) OF THE
SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015 FOR THE HALF YEAR ENDED MARCH 31, 2021**

We have examined all files and other documents relating to Share Transfer Forms & Deeds, Memorandum of Transfers, Registers, Files and Other documents relating to Almondz Global Securities Limited (CIN: L74899DL1994PLC059839), hereinafter referred to as ("the **Company**") maintained by Beetal Financial & Computer Services Private Limited, hereinafter referred to as (the "**RTA**") pertaining to transfer and sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies of equity shares of the company for the period from October 01, 2020 to March 31, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, based on the information provided by the Company. We hereby certify as under for the half year ended March 31, 2021:

- 1) No request for transfer of shares, were received during the period from October 01, 2020 to March 31, 2021.
- 2) All the requests of Shareholders in respect of sub-division, consolidation, renewal, exchange, transmission, issue of duplicate certificates received were effected within stipulated time from the date of receipt during the period from October 01, 2020 to March 31, 2021.

For **NPS & Associates**
Company Secretaries

Nakul Pratap Singh
Company Secretary in Practice
M.No: A55529
COP: 22069
UDIN: **A055529C000030966**

Date: April 09, 2021
Place: Faridabad