

Format of holding of Specified Securities

1. **Name of Entity:** Almondz Global Securities Ltd

2. **Scip Code:** 531400

3. **Shareholding Pattern** filed under: Reg 31 (1) (b)

If under 31 (1) (b) then indicate the report for the Quarter Ended : 30.09.2017

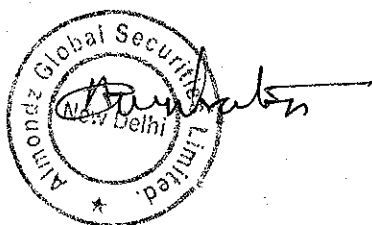
If under 31 (1)(c) then indicate date of allotment/extinguishment-N.A.

4. **Declaration:** The Listed Entity is required to submit the following declaration to the extent of submission of information:

SR No.	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	Yes	
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Lock-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid-up shares, Outstanding convertible Securities/Warrants, depository receipts, locked-in shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as Zero' by default on submission of the format of holding of specified securities.

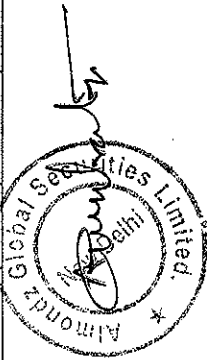
6. The tabular format for disclosure of holding of specified securities is as follows:-



SEBI Merchant Banking Registration No. INM00000834

ALMONDZ GLOBAL SECURITIES LTD. FACE VALUE RS. 6.00
 SHAREHOLDING PATTERN AS ON 30/09/2017
 Table - I Summary Statement holding of specified securities

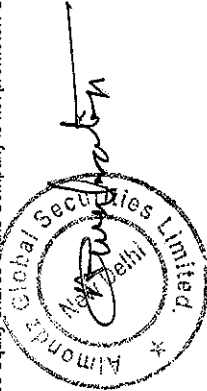
Category (I)	Category of Shareholder (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)			No of shares underlying convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)
								Class X	Class Y	Total			Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)	
(A)	Promoter & Promoter Group	4	14915549	0	0	14915549	57.6224	14915549	57.6224	0	57.2391	0	0.0000	0	0.0000	14915549	
(B)	Public	5841	10969418	0	0	10969418	42.3776	10969418	42.3776	173335	42.7609	0	0.0000	59757	0.5448	10579139	
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(C2)	Share Held By Employees Trusts	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
	Total	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
	Total A+B+C1+C2	5845	25884967	0	0	25884967	100.0000	25884967	100.0000	173335	100.0000	0	0.0000	59757	0.5448	25494688	



ALMONDZ GLOBAL SECURITIES LTD. FACE VALUE RS. 6.00
SHAREHOLDING PATTERN AS ON 30/09/2017
Table - II. Statement showing shareholding pattern of the Promoter and Promoter Group

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Party Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)			Shareholding % assuming full conversions of convertible securities (as a % of diluted equity capital) (X) = VII+X as a % of (A+B+C2)	No. of Locked In Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)	No of Equity Shares Held in Dematerialized Form (XIV)
									Class X	Class Y	Total		Total as a % of (A+B+C)	No. (a)		
(1)	Indian Individual		2	110715	0	0	110715	0.4277	0	0.4249	0	0.0000	0	0.0000	0	110715
(a)	G. Gurpreet N. S. Sobti*	ABJPS9400L		50000	0	0	50000	0.1932	0	0.1919	0	0.0000	0	0.0000	0	50000
	Navjeet Singh Sobti	AAVPS3411R		60715	0	0	60715	0.2346	0	0.2330	0	0.0000	0	0.0000	0	60715
(b)	H.f		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
(c)	Central Govt./State Govt./ President Of India		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
(d)	Financial Inst/banks		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
(e)	Any other															
	Other - Body Corporate		2	14804834	0	0	14804834	54.1041	0	56.8143	0	0.0000	0	0.0000	0	14804834
	Aeonmore Capital & Management Services Ltd	AAACA0478N		14719744	0	0	14719744	53.7754	0	56.4877	0	0.0000	0	0.0000	0	14719744
	Innovative Money Matters Pvt Ltd	AAACI2156A		85090	0	0	85090	0.3287	0	0.3265	0	0.0000	0	0.0000	0	85090
	Sub - Total		4	14915549	0	0	14915549	57.6224	0	57.2391	0	0.0000	0	0.0000	0	14915549
(2)	Foreign															
(a)	Individual (nr/ Foreign Individual)		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
(b)	Government		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
(d)	Foreign Portfolio Investors		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
(e)	Any Other															
	Sub - Total		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
	Total Shareholding of Promoter and Promoter Group		4	14915549	0	0	14915549	57.6224	0	57.2391	0	0.0000	0	0.0000	0	14915549

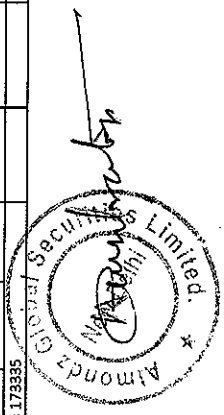
*Gurpreet N. S. Sobti who holds 50000 equity shares of the Company is not promoter. Since she is wife of the Promoter, therefore included in the Promoter Group



ALMONDZ GLOBAL SECURITIES LTD. FACE VALUE RS. 6.00
SHAREHOLDING PATTERN AS ON 30/09/2017

Table - III Statement showing shareholding pattern of the Public shareholding

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	No of Voting Rights held in each class of securities (IX)			Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)	No of Equity Shares Held in Dematerialized form (XIV)
								Class X	Class Y	Total		Total as a % of (A+B+C)	No. (a)		
(1)	Institutions														
(a)	Mutual Funds		2	4500	0	0	4500	0.0174	0	4500	0.0174	0	0.0000	0	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(f)	Financial Inst/banks		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(g)	Insurance Companies		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(h)	Provident Funds/ Pension Fund		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(i)	Any other														
(2)	Sub-total (B)(1)		2	4500	0	0	4500	0.0174	0	4500	0.0174	0	0.0000	0	0
(3)	Central Govt./State Govt./ President Of India		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(4)	Sub-total (B)(2)		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(5)	Non-institutions														
(a)	(i) Individual-Holding Nom. Val. upto Rs.2 lakhs		5496	2783662	0	0	2783662	10.7540	0	2783662	10.7540	173395	0.0000	3542	2491434
(b)	(ii) Individual-Holding Nom. Val. greater than Rs.2 lakhs		21	3788337	0	0	3788337	14.6353	0	3788337	14.6353	0	0.0000	0	3788337
(c)	B. L. Mahajan			274363	0	0	274363	1.0599	0	274363	1.0599	0	0.0000	0	274363
(d)	Banarsi Lal Mahajan			5	0	0	5	0.0000	0	5	0.0000	0	0.0000	0	5
(e)	Darshana Anant Senghvi			359536	0	0	359536	1.3890	0	359536	1.3890	0	0.0000	0	359536
(f)	Dilipkumar Lakhii			1170117	0	0	1170117	4.5205	0	1170117	4.5205	0	0.0000	0	1170117
(g)	Jagdeep Singh			292500	0	0	292500	1.1300	0	292500	1.1300	0	0.0000	0	292500
(h)	Nbfc Registered With Rbi		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(i)	Employees Trust		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(j)	Overseas Depository Holding DRs		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(k)	Any Other														
(l)	Other - Body Corp		148	568104	0	0	568104	2.1947	0	568104	2.1947	0	0.0000	56215	566303
(m)	Other - Trusts		1	75	0	75	0.0003	0.0003	75	75	0.0003	0	0.0000	0	75
(n)	Other - Foreign Body Corp		1	3091500	0	0	3091500	11.9432	0	3091500	11.9432	0	0.0000	0	3091500
(o)	AI Anwar Holdings Saeg			3091500	0	0	3091500	11.9432	0	3091500	11.9432	0	0.0000	0	3091500
(p)	Other - Clearing Member		16	6519	0	0	6519	0.0252	0	6519	0.0252	0	0.0000	0	6519
(q)	Other - N R I - NON - REPARABLE		18	222039	0	0	222039	0.8578	0	222039	0.8578	0	0.0000	0	222039
(r)	Other - N R I - REPARABLE		40	156713	0	0	156713	0.6054	0	156713	0.6054	0	0.0000	0	156713
(s)	Other - Individual HUF		98	347949	0	0	347949	1.3442	0	347949	1.3442	0	0.0000	0	347949
(t)	Sub-total (B)(3)		5839	10964918	0	0	10964918	42.3602	0	10964918	42.3602	0	0.0000	59757	10879139
(u)	Total Public Shareholding		5841	10969418	0	0	10969418	42.3776	0	10969418	42.3776	173335	0.0000	59757	10879139



The Convertible Securities are ESOP. As on date outstanding ESOP is 173335

ALMONDZ GLOBAL SECURITIES LTD. FACE VALUE RS. 6.00
SHAREHOLDING PATTERN AS ON 30/09/2017

Table - IV Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding % as of total no of Shares (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)			Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (X)=VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)	
									Class X	Class Y	Total as a % of (A+B+C)		No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
(1)	Custodian/DR Holder																	
(a)	names																	
(2)	Employees Benefit Trust (under SEBI Based employees benefit) Regulation 2014																	
(a)	names																	
	Total Non-Promoter Non Public shareholding C = C1+C2																	

