

Ref:agsl/corres/Bse/16-17/cl40(9)/031

15 October, 2016

**The General Manager  
(Listing & Corporate Relations)  
Bombay Stock Exchange Ltd.  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001**

**The Listing Department  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot No. C/1, G Block,  
Bandra Kurla Complex,  
Bandra (E), Mumbai – 400051**

**Re: Compliance Certificate-Regulation 40 (9) of Securities and Exchange Board of India  
(Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year  
ended 30 September 2016**

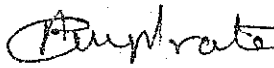
Sir/Ma'm

Pursuant to Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached herewith the certificate issued by Mr. C.B. Mishra, Practicing Company Secretary for half year ended 30 September 2016.

You are requested to kindly take the same on your record

Thanking you,

Yours Faithfully,  
**For Almondz Global Securities Ltd.**

  
**Ajay Pratap  
Company Secretary**



Encl: a/a



**C.B. Mishra & Associates**  
**Company Secretaries**  
Unique Code Number: I2006DE551100

**Certificate under Regulation 40 (9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 for the half year ended 30/09/2016**

We have examined relevant Registers, papers and documents that were considered necessary of M/s. Almondz Global Securities Limited having Regd. Office at 2<sup>nd</sup> Floor, 3 Scindia House, Janpath New Delhi - 110 001 produced before us at the office of their Share Transfer Agent Beetal Financial & Computer Services Private Limited, Beetal House, 3<sup>rd</sup> Floor, 99, Madangir, Behind Local Shopping Centre, Near Dada Harsukhdas Mandir, New Delhi - 110 062 (the RTA) for the purpose of issuing the Certificate under Regulation 40 (9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 with National Stock Exchange of India Limited (NSE).

Based on examination and explanations furnished to us, which to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that during the half year ended on 30<sup>th</sup> September, 2016 the Company has not received any certificate for transfer, transmission, consolidation, sub-division, issue of Duplicate Certificate, exchange of certificate as stipulated in the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015.

Place: New Delhi

Date: 14/10/2016

For C.B. Mishra & Associates  
Company Secretaries



*(Signature)*  
(C.B. MISHRA)  
Prop.

CP.729