

**Quarterly Compliance Report on Corporate Governance**

Name of the Company: **Almondz Global Securities Limited**

Quarter ended on: **30 September 2015**

Particulars	Clause of Listing Agreement	Compliance Status (Yes/No/N.A.)	Remarks
<b>II. Board of Directors</b>	<b>49II</b>		-
(A) Composition of Board	49(IIA)	Yes	-
(B) Independent Directors	49(II B)	Yes	-
(C) Non-executive Directors compensation & disclosures	49(II C)	Yes	-
(D) Other Provisions as to Board and Committees	49(II D)	Yes	-
(E) Code of Conduct	49(II E)	Yes	-
(F) Whistle Blower Policy	49(II F)	Yes	-
<b>III. Audit Committee</b>	<b>49 III</b>		-
(A) Qualified & Independent Audit Committee	49(III A)	Yes	-
(B) Meeting of Audit Committee	49(III B)	Yes	-
(C) Powers of Audit Committee	49(III C)	Yes	-
(D) Role of Audit Committee	49(III D)	Yes	-
(E) Review of information by Audit Committee	49(III E)	Yes	-
<b>IV. Nomination and Remuneration Committee</b>	<b>49(IV)</b>	Yes	-
<b>V. Subsidiary Companies</b>	<b>49(V)</b>	Yes	-
<b>VI. Risk Management</b>	<b>49(VI)</b>	Yes	-
<b>VII. Related Party Transactions</b>	<b>49(VII)</b>	Yes	-

<b>VIII. Disclosures</b>	<b>49 (VIII)</b>		-
(A) Related Party Transactions	49(VIIIA)	Yes	-
			-
(B) Disclosure of Accounting Treatment	49(VIIIB)	Yes	-
(C) Remuneration of Directors	49(VIIIC)	Yes	-
(D) Management	49(VIIID)	Yes	-
(E) Shareholders	49(VIIIE)	Yes	-
(F) Proceeds from public issues, rights issues, preferential issues, etc.	49(VIIIF)	N.A.	Not Applicable during the quarter under report as the Company has not raised any money through an issue (public issues, rights issues, preferential issues etc.), during the Quarter ended 30 September 2015
<b>IX. CEO/CFO Certification</b>	<b>49 (IX)</b>	Yes	-
<b>X. Report on Corporate Governance</b>	<b>49 (X)</b>	Yes.	-
<b>XI. Compliance</b>	<b>49 (XI)</b>	Yes	-

For Almondz Global Securities Ltd.



*Ajay Pratap*

**Ajay Pratap**  
Company Secretary

13 October, 2015  
New Delhi