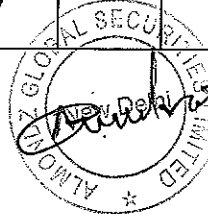


1. Name of the Listed Entity: **Almondz Global Securities Ltd**
 2. Quarter Ending: **30 September 2019**

1. Composition of Board of Directors												
Title (Mr./Ms)	Name of the Director	PANs and DIN	Category (Chairperson/ Executive/Non-Executive/Independent/Non minee)&	Date of Birth	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure in months	No. of Directorship in listed entities including this listed entity (Refer Regulations 25(1) of Listing Regulations)	No of Independent Directors hip in listed entities including this listed entity (Refer Regulation on 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulations 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this entity (Refer Regulations 26(1) of Listing Regulations)
Mr.	Satish Chandra Sinha	PAN:ADSPS 3128C DIN:03598 173	Chairperson/ Independent/ Non Executive	31-07-1952	25 May 2016	-	-	60	1	1	1	NIL
Mr.	Navjeet Singh Sobti	PAN:AAYPs 3411R DIN:00008 393	Executive	20-08-1967	19 May 2006	12-08-2019	-	-	1	NIL	1	NIL
Mr.	Jagdeep Singh	PAN:AXKPS 8228D DIN:00008 348	Executive	21-09-1965	19 April 2004	01-12-2014	-	-	1	NIL	1	NIL
Mr.	Krishan Lall Khetarpaul	PAN:AAEPK 6610N DIN:01268 756	Independent/ Non Executive	02-07-1943	31 January 2007	29-09-2019	-	60	2	2	1	1



SEBI Merchant Banking Registration No. INM000000834

Mr.	Ajay Kumar	PAN:AEMP K5513J DIN:01954 049	Independent/ Non Executive	30-01- 1951	11 August 2015	-	-	60	2	2	0	3
Ms.	Neelu Jain	PAN:AADPJ 6116B DIN:00227 058	Non Executive	12-06- 1956	12 August 2014	-	-	-	1	NIL	1	NIL
Mr.	Abdul Redha Mustafa Abdul Redha Sultan	DIN:05101 233	Non Executive	27-10- 1969	11 Novemb er 2011	-	12-09- 2019	-	1	NIL	NIL	Nil
Mr.	Sanjay Kumar Tiwari	PAN:AAMP T9380J DIN:03407 426	Non Executive	10-06- 1964	10 February 2011	-	-	-	1	NIL	NIL	NIL

§ PAN Number of any director would not be displayed on the website of Stock Exchange & Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen
*To be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of Directors of the listed entity in continuity without any cooling off period.

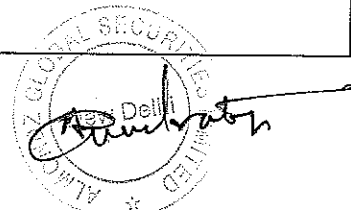
II. Composition of Committees

Name of Committee	Name of Committee members	Category(Chairperson/Executive/Non-Executive/independent/Nominee)§
1. Audit Committee	(i) Mr. Ajay Kumar, (ii) Mr. Krishan Lal Khetarpaul (iii) Mr. Satish Chandra Sinha	Chairperson/Independent/Non Executive Independent/ Non executive Independent/ Non Executive
2. Nomination & Remuneration Committee	(i) Mr. Ajay Kumar, (ii) Mr. Satish Chandra Sinha (iii) Mr. Krishan Lal Khetarpaul	Chairperson/Independent/Non Executive Independent/Non Executive Independent/ Non Executive
3. Risk Management Committee	(Not applicable)	(Not applicable)
4. Stakeholders Relationship Committee	(i) Mr. Ajay Kumar (ii) Mr. Navjeet Singh Sobti (iii) Mr. Jagdeep Singh	Chairperson/Independent/Non Executive Executive Executive

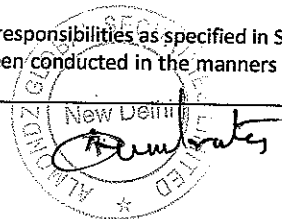
Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one Category write all categories separating them with hyphen

III. Meeting of Board of Directors

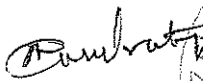
Date(s) of Meeting(If any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive meetings in number of days*
--	---	---

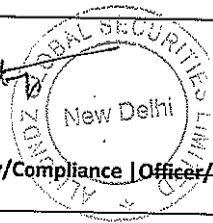


27 May 2019	08 August 2019 12 September 2019	72 Days 34 Days	
IV. Meeting of Committees			
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
08 August 2019 12 September 2019 (Audit Committee)	Yes	27 May 2019 (Audit Committee)	72 Days 34 Days
*This information has to be mandatorily be given for audit committee, for rest of the committee giving this information is optional			
V. Related Party Transactions			
Subject		Compliance Status (Yes/No/NA) refer to note below	
Whether prior approval of audit committee obtained		Yes	
Whether shareholder approval obtained for material RPT		No Material Transaction was involved ; hence shareholders approval not required	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by audit committee		NA	
Note:			
1. In the column "Compliance Status" Compliance or non-compliance may be indicated by Yes/No/N.A.. for example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case Listing Entity has no related party transactions, the word "N.A. may be indicated.			
2. If status is "No" details of non compliance may be given here.			
VI. Affirmations			
1. The composition of Board of Director is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.			
2. The composition of the following committee is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015			
a. Audit Committee			
b. Nomination & Remuneration Committee			
c. Stakeholders Relationship Committee			
d. Risk management committee (applicable to the top 100 listed entities)			
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI(Listing obligations and disclosure requirements) Regulations, 2015			
4. The meetings of the board of directors and the above committees have been conducted in the manners specified in SEBI(Listing obligations and disclosure requirements) Regulations, 2015.			



5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: First Report. This will be placed in the ensuing Board Meeting


Ajay Pratap
 Company Secretary/Compliance Officer/Managing Director/CEO



**Annexure III
Affirmation**

Board Heading	Regulation Number	Compliance Status	Company Remarks
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18 (1) (d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Name: Ajay Pratap Designation: Company Secretary and Vice President corporate Affairs			

