

Annexure 'III' to Directors' Report

Form No. Mgt-9
EXTRACT OF ANNUAL RETURN
As on Financial Year Ended on 31st March, 2020
 [Pursuant to section 92(3) of the companies Act, 2013 and rule 12(1) of
 the Companies (Management and Administration) rules, 2014]

I. REGISTRATION & OTHER DETAILS:

i)	CIN	L74899DL1994PLC059839
ii)	Registration Date	28 June, 1994
iii)	Name of the Company	Almondz Global Securities Limited
iv)	Category/Sub-category of the Company	Company Limited by Shares / Indian Non-Government Company
v)	Address of the Registered office & contact details	2nd Floor, 3 Scindia House, Janpath, New Delh-110001 Telephone no. 011-41514666-69 e-mail: secretarial@almondz.com
vi)	Whether Listed Company	Yes
vii)	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Beetal Financial & Computer Services (P) Ltd. "Beetal House" 3rd Floor, 99, Madangir, Behind Local Shopping Centre, Near Dada Harsukhdas Mandir, New Delhi - 110062 Phones: 011-29961281, 29961282 Fax: 011-29961280/84 E-mail: beetalrta@gmail.com Website: www.beetalfinancial.com

II. PRINCIPAL BUSINESS ACTIVITIES Of The Company

(All the business activities contributing 10 % or more of the total turnover of the Company shall be stated)

S. No.	Name and Description of main products/ services	NIC code of Product/service	% to total turnover of the company
1	Consultancy and Advisory Fees	Group 99832-33	56%
2	Wealth advisory /Broking Activities	Group 99711-12 & 997152	31%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -

S. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary /Associate	% of shares held	Applicable Section
1.	Almondz Finanz Limited 2nd Floor, 3 Scindia House, Janpath New Delhi -110001	U65191DL2006PLC148718	Subsidiary	100%	Section 2(87)
2.	Almondz Commodities Private Limited 2nd Floor, 3 Scindia House, Janpath New Delhi -110001	U51909DL2005PTC139714	Subsidiary	100%	Section 2(87)
3.	Almondz Wealth Advisors Limited 2nd Floor, 3 Scindia House, Janpath New Delhi -110001	U70200DL2008PLC183702	Subsidiary	100%	Section 2(87)
4.	Skiffle Healthcare Services Limited 2nd Floor, 3 Scindia House, Janpath New Delhi -110001	U85110DL2012PLC246241	Subsidiary	100%	Section 2(87)
5.	Almondz Global Infra-Consultant Limited F- 33/3, Okhla Industrial Area, Phase II, New Delhi -110020	U74140DL2013PLC262069	Subsidiary	100%	Section 2(87)
6.	North Square Projects Private Limited F- 33/3, Okhla Industrial Area, Phase II, New Delhi -110020	U45200DL2012PTC239856	Subsidiary	100%	Section 2(87)
7.	Premier Alcobeve Private Limited F-33/4, Okhla Industrial Area, Phase-II, New Delhi -110020	U15530DL2007PTC281067	Associate	50%	Section 2(6)

S. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary /Associate	% of shares held	Applicable Section
8.	Almondz Insolvency Resolutions Services Private Limited 2nd Floor 3 Scindia House, Janpath New Delhi - 110001	U74999DL2017PTC324489	Associate	33%	Section 2(6)
9.	Avonmore Capital & Management Services Limited F-33/3, Okhla Industrial Area Phase-II New Delhi - 110020	L67190DL1991PLC045857	Holding	56.87	Section 2(46)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

(i) category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year [As on 1-April-2019]				No. of Shares held at the end of the year [As on 31-March-2020]				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF	110715	0	110715	0.43	110715	0	110715	0.43	0
b) Central Govt.	0	0	0	0	0	0	0	0	0
c) State Govt.	0	0	0	0	0	0	0	0	0
d) Bodies Corporate	14804834	0	14804834	57.19	14804834	0	14804834	57.19	0
e) Banks / FI	0	0	0	0	0	0	0	0	0
f) Any Others	0	0	0	0	0	0	0	0	0
Sub total (A) (1)	14915549	0	14915549	57.62	14915549	0	14915549	57.62	0
(2) Foreign									
a) NRIs-Individuals	0	0	0	0	0	0	0	0	0
b) Other-Individuals	0	0	0	0	0	0	0	0	0
c) Bodies Corporate	0	0	0	0	0	0	0	0	0
d) Banks / FI	0	0	0	0	0	0	0	0	0
e) Any Others	0	0	0	0	0	0	0	0	0
Sub Total (A) (2)	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter (A) = (A)(1)+(A) (2)	14915549	0	14915549	57.62	14915549	0	14915549	57.62	0
B. Public Shareholding									
1. institutions									
a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks / FI	0	0	0	0	19	0	19	0.0001	0
c) Central Govt.	0	0	0	0	0	0	0	0	0
d) State Govt.	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (specify)	0	0	0	0	0	0	0	0	0
Sub-total (b)(1):-	0	0	0	0	0	0	0	0	0
2. Non-institutions									
a) Bodies Corp.									
i) Indian	387291	0	387291	1.50	324087	0	324087	1.25	(-) 0.25
ii) Overseas	3091500	0	3091500	11.94	3091500	0	3091500	11.94	0

Category of Shareholders	No. of Shares held at the beginning of the year [As on 1-April-2019]				No. of Shares held at the end of the year [As on 31-March-2020]				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	1807749	154304	1962053	7.58	1826382	115388	1941770	7.51	(-)0.07
ii) Individual shareholders holding nominal share capital in excess of Rs1 lakh	4795591	17400	4812991	18.60	4906044	17400	4923444	19.02	(+)0.42
c) Other (Specify)									
i) NRI	308265	3700	311965	1.21	282419	0	282419	1.09	(-)0.12
ii) HUF	179476	0	179476	0.69	125273	0	125273	0.49	(-)0.20
iii) Clearing Members	5917	0	5917	0.02002	0	0	0	0	(-)0.02
iv) Trust	75	0	75	0.0003	75	0	75	0.0003	0
v) IEPFAuthority	218150	0	218150	0.84	280831		280831	1.08	(+)0.24
Sub-total (b)(2):	10794014	175404	10969418	42.38	10836611	132788	10969399	42.38	0
total Public Shareholding (b)=(b)(1)+ (b)(2)	10794014	175404	10969418	42.38	10836630	132788	10969418	42.38	0
c. Shares held by custodian for Gdrs & Adrs	0	0	0	0	0	0	0	0	0
Grand total (A+b+c)	25709563	175404	25884967	100	25752179	132788	25884967	100	0

(ii) Shareholding of Promoter

S. no.	Shareholder's name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	no. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	
1.	Navjeet Singh Sobti	60715	0.23	0	60715	0.23	0	0
2.	Gurpreet N. S.Sobti	50000	0.19	0	50000	0.19	0	0
3.	Avonmore Capital & Management Services Limited	14719744	56.87	0	14719744	56.87	0	0
4.	Innovative Money Matters Private Ltd.	85090	0.33	0	85090	0.33	0	0
	Total	14915549	57.62	0	14915549	57.62	0	0

(iii) change in Promoter's Shareholding: There is no change in Promoters Shareholding during the Year under review.

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)

S. no.	Top 10 Shareholders*	Shareholding at the beginning of the year (01-04-2019)		Cumulative Shareholding at the end of the year (31-03-2020)	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	Al Anwar Holdings SAOG	3091500	11.94	3091500	11.94
2.	Dilip Kumar Lakhi	1170117	4.52	1170117	4.52
3.	Jagdeep Singh	424319	1.63	424319	1.63
4.	Balbir Kumar	400000	1.54	400000	1.54
5.	Darshana Anant Sanghvi	359536	1.39	359536	1.39
6.	Investor Education and Protection Fund	218150	0.84	280831	1.08
7.	Banarsi Lal Mahajan	274368	1.06	274368	1.06
8.	Manish Lakhi	239157	0.92	239157	0.92

S. no.	Top 10 Shareholders*	Shareholding at the beginning of the year (01-04-2019)		Cumulative Shareholding at the end of the year (31-03-2020)	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
9.	Bennett, Coleman and Company Limited	187500	0.72	187500	0.72
10.	Jasmeet Kaur Sethi	186200	0.72	186200	0.72

* the shares of the company are traded on a daily basis and hence the date wise increase / decrease in shareholding is not indicated. Shareholding is consolidated based on permanent account number (PAN) of the shareholder.

(v) Shareholding of Directors and Key Managerial Personnel:

S. No.	Name	Shareholding		Date	Increase/decrease in share holding	Reason	Cumulative Shareholding during the year (01-04-2019 to 31-03-2020)	
		No. of shares at the beginning of the year(01-04-2019)/ end of the year (31-03-2020)	% of total shares of the company				No. of shares	% of total Shares of the Company
1.	Navjeet Singh Sobti (Managing Director)	60715	0.23	NA	NA	NA	60715	0.23
				31-03-2020	NA	NA	60715	0.23
2.	Jagdeep Singh (Whole Time Director)	424319	1.63	NA	NA	NA	424319	1.63
				31-03-2020	NA	NA	424319	1.63
3.	Krishan Lall Khetarpaul (Independent Director)	0	0	NA	NA	NA	0	0
4.	Sanjay Kumar Tiwari (Director)	0	0	NA	NA	NA	0	0
5.	Satish Chandra Sinha (Independent Director)	0	0	NA	NA	NA	0	0
6.	Abdul Redha Mustafa Abdul Redha Sultan (Director)	0	0	NA	NA	NA	0	0
7.	Ajay Kumar (Independent Director)	0	0	NA	NA	NA	0	0
8.	Neelu Jain (Director)	0	0	NA	NA	NA	0	0
9.	Rajeev Kumar (Chief Financial Officer)	0	0	NA	NA	NA	0	0
11.	Ajay Pratap (Company Secretary)	0	0	NA	NA	NA	0	0

V. INDEBTEDNESS

Indebtedness of the company including interest outstanding/accrued but not due for payment.

(Rupees in Lakhs)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	1043.53	100.65	-	1144.18
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	19.90	-	19.90
Total (i+ii+iii)	1043.53	120.55	-	1164.08
Change in Indebtedness during the financial year				
* Addition	29027.36	418.77	-	29446.14
* Reduction	29481.24	498.20	-	29979.44
Net Change				
Indebtedness at the end of the financial year				
i) Principal Amount	798.30	-	-	798.30
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	5.26	-	5.26
Total (i+ii+iii)	798.30	5.26	-	803.57

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

(Rupees in Lakhs)

S. No.	Particulars of remuneration	Mr. Navjeet Singh Sobti (Managing Director) (w.e.f. 12.08.2019)	Mr. Jagdeep Singh (Whole time director) (w.e.f 01.12.2019)	Total Amount
1	Gross salary	22.57	45.00	67.57
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	8.58	45.00	53.58
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	13.99	0	13.99
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	--
4	Commission - as % of profit - others, specify	-	-	-
5	Others, please specify	-	-	-
	Fee for attending board/committee meetings	-	--	-
	Total (A)	22.57	45.00	135.14
	Ceiling as per the Act	84.00	84.00	168.00

B. Remuneration to other Directors

(Rupees in Lakhs)

S. No.	Particulars of Remuneration	Name of the Directors						Total Amount
		Mr. Ajay Kumar	Mr. Satish Chandra Sinha	Mr. Krishan Lall Khetarpaul	Mr. Sanjay Kumar Tiwari	Mrs. Neelu Jain	Mr. Abdul Redha Mustafa Abdul Redha Sultan	
1.	Independent Directors							
	Fee for attending board/ committee meetings	1.95	1.95	1.57	0	0	0	5.47
	Commission	0	0	0	0	0	0	0
	Others, please specify	0	0	0	0	0	0	0
	Total (1)	1.95	1.95	1.57	0	0	0	5.47
2	Other Non-Executive Directors							
	Fee for attending board/ committee meetings	0	0	0	0.45	1.13	0.22	1.81
	Commission	0	0	0	0	0	0	0
	Others, please specify	0	0	0	0	0	0	0
	Total (2)	0	0	0	0.45	1.13	0.22	1.81
	Total (B)=(1+2)	1.95	1.95	1.57	0.45	1.13	0.22	7.28
	Total Managerial Remuneration	Total remuneration to Managing Director and other Directors (being the total of A and B) = 142.42						
	Overall Ceiling as per the Act	UptoRs. One Lac per Director for each meeting of Board/Committee						

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

(Rupees in Lakhs)

S. No	Particulars of Remuneration	Key Managerial Personal		
		Chief Financial Officer Mr. Rajeev Kumar *	Company Secretary Mr. Ajay Pratap	Total
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	22.56	22.56
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission - as % of profit - others, specify	-	-	-
5	Others, please specify	-	-	-
	Total	-	22.56	22.56

* Remuneration to Mr. Rajeev Kumar is paid from wholly owned subsidiary namely Almondz Finanz Limited

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES: NIL

For and on behalf of the Board of Directors

 SATISH CHANDRA SINHA
 Chairman

 New Delhi
 September 14, 2020