

almondz  
the financial powerhouse

**Almondz Global Securities Ltd.**

Ref:agsl/corres/Bse/Nse/17-18/13(3)/0053

10 January, 2018

✓  
The General Manager  
(Listing & Corporate Relations)  
Bombay Stock Exchange Ltd.  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

The Listing Department  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot No. C/1, G Block,  
Bandra Kurla Complex,  
Bandra (E), Mumbai - 400051

**Re: Statement of Investor Complaints for the Quarter Ended 31 December, 2017 in accordance with Regulation 13(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015**



Sir/Ma'm,

Pursuant to provisions of Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Statement of Investor Complaints for the Quarter ended 31 December, 2017.

You are requested to kindly take the same on your record.

Thanking you,

Yours Faithfully,  
**For Almondz Global Securities Ltd.**

  
  
**Ajay Pratap**  
Company Secretary &  
Vice President Corporate Affairs

Encl: a/a

SEBI Merchant Banking Registration No. INM000000834

F - 33/3 Okhla Industrial Area Phase - II, New Delhi - 110 020, India. Tel.: + 91 11 4350 0700 / 800 Fax : + 91 11 4350 0735, 4350 0787

CIN : L74899DL1994PLC059839 \* Email : delhi@almondz.com \* Website : www.almondzglobal.com

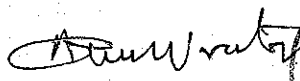

Registered Office: 2<sup>nd</sup> Floor, 3 Scindia House, Janpath, New Delhi 110001 India.Tel.: +91 11 4151 4666-669, Fax: +91 11 4151 4665

Scrip Code: 531400

**Statement of Investor Complaints for the Quarter Ended 31 December, 2017 in accordance with Regulation 13(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015**

Number of Investor Complaints pending at the beginning of the Quarter Ended 31 December, 2017	NIL
Number of Investor Complaints received during the Quarter	NIL
Number of Investor Complaints disposed off during the Quarter	NIL
Number of Investor Complaints remaining unresolved at the end of the Quarter Ended 31 December, 2017	NIL

For Almondz Global Securities Ltd.

  
  
**Ajay Pratap**  
Company Secretary &  
Vice President Corporate Affairs

SEBI Merchant Banking Registration No. INM000000834