

Almondz Global Securities Ltd.

Ref:agsl/corres/Bse/16-17/cl40(9)/007

25 April, 2016

The General Manager (Listing & Corporate Relations) Bombay Stock Exchange Ltd. Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400 001

The Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai – 400051

Re: Compliance Certificate-Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31 March 2016

Sir/Ma'm

Pursuant to Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached herwith the certificate issued by Mr. C.B. Mishra, Practicing Company Secretary for half year ended 31 March 2016.

You are requested to kindly take the same on your record

Thanking you,

Yours Faithfully,

For Almondz Global Securities Ltd.

Ajay Pratap

Company Secretary

Encl: a/a



Certificate under Regulation 40 (9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015.

We have examined relevant Registers, papers and documents that were considered necessary of M/s. Almondz Global Securities Limited having Regd. Office at 2nd Floor, 3 Scindia House, Janpath, New Delhi - 110 001 produced before us at the office of their Share Transfer Agent Beetal Financial & Computer Services Private Limited, Beetal House, 3rd Floor, 99, Madangir, Behind Local Shopping Centre, Near Dada Harsukhdas Mandir, New Delhi - 110 062 (the RTA) for the purpose of issuing the Certificate under Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015 with Bombay Stock Exchange.

Based on examination and explanations furnished to us, which to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that during the half year ended on 31st March, 2016 the Company has not received any certificate for transfer, transmission, consolidation, sub-division, issue of Duplicate Certificate, exchange of certificate as stipulated in the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015.

Place: New Delhi

Date: 25/04/2016

For C.B. Mishra & Associates

雜版 即批判。

Company Secretaries

(C.B. MISHRA) Prop.

CP: 7254