

Ref:agsl/corres/Bse/Nse/20-21/13(3)/0037

13 October, 2020

The General Manager  
(Listing & Corporate Relations)  
Bombay Stock Exchange Ltd.  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

The Listing Department  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot No. C/1, G Block,  
Bandra Kurla Complex,  
Bandra (E), Mumbai – 400051

Re: Statement of Investor Complaints for the Quarter Ended 30<sup>th</sup> September, 2020 in accordance with Regulation 13(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015

Sir/Ma'm,

Pursuant to provisions of Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Statement of Investor Complaints for the Quarter ended 30<sup>th</sup> September, 2020.

You are requested to kindly take the same on your record.

Thanking you,

Yours Faithfully,  
For Almondz Global Securities Ltd.



Ajay Pratap  
Company Secretary &  
Vice President Corporate Affairs



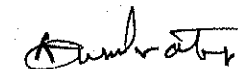
Encl: a/a

Scrip Code: 531400

**Statement of Investor Complaints for the Quarter Ended 30<sup>th</sup> September, 2020 in accordance with Regulation 13(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015**

Number of Investor Complaints pending at the beginning of the Quarter Ended 30 <sup>th</sup> September, 2020	NIL
Number of Investor Complaints received during the Quarter	0
Number of Investor Complaints disposed off during the Quarter	0
Number of Investor Complaints remaining unresolved at the end of the Quarter Ended 30 <sup>th</sup> September, 2020	NIL

For Almondz Global Securities Ltd.



**Ajay Pratap**  
**Company Secretary &**  
**Vice President Corporate Affairs\***



SEBI Merchant Banking Registration No. INM000000834