

**Almondz Global Securities Ltd.**

Ref:agsl/corres/Bse/NSE/18-19/0023

September 1, 2018

**The General Manager**  
**(Listing & Corporate Relations)**  
**Bombay Stock Exchange Ltd.**  
**Phiroze Jeejeebhoy Towers,**  
**Dalal Street,**  
**Mumbai - 400 001**

**The Listing Department**  
**National Stock Exchange of India Ltd.**  
**Exchange Plaza, Plot No. C/1, G Block,**  
**Bandra Kurla Complex,**  
**Bandra (E), Mumbai - 400051**

**Re: Notice of 24<sup>th</sup> Annual General Meeting of the Company**

Sir/Ma'am,

In compliance with requirements of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith a copy of the Notice of 24<sup>th</sup> Annual General Meeting of the Company scheduled to be held on Thursday, 27<sup>th</sup> day of September, 2018 at 10:30 a.m. at MPCU Shah Auditorium, Shree Delhi Gujarati Samaj(Regd.), 2, Rajniwas Marg, Civil Lines Delhi-110054

You are requested to take the same on your record.

Thanking you,

Yours Faithfully,  
**For Almondz Global Securities Ltd.**



**Ajay Pratap**  
**Company Secretary &**  
**Vice President Corporate Affairs**



Encl:a/a

SEBI Merchant Banking Registration No. INM000000834

Almondz Global Securities Ltd.

Ref:agsl/corres/Bse/NSE/18-19/0023

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Encl:a/a

## ALMONDZ GLOBAL SECURITIES LIMITED

Corporate Identity Number (CIN) : L74899DL1994PLC059839

Regd. Office : 2nd Floor, 3, Scindia House, Janpath, New Delhi - 110 001

Tel : 011-41514666; Website : www.almondzglobal.com; email id : secretarial@almondz.com

### Notice

#### TO THE SHAREHOLDERS,

NOTICE is hereby given that the 24<sup>th</sup> Annual General Meeting of the Members of ALMONDZ GLOBAL SECURITIES LIMITED will be held on Thursday, the 27<sup>th</sup> day of September 2018, at 10.30 a.m. at MPCU Shah Auditorium, Shree Gujarati Samaj (Regd.), 2, Raj Niwas Marg, Civil Lines, Delhi - 110054, to transact the following businesses:

#### ORDINARY BUSINESS:

1. To consider and if thought fit, to pass the following Resolution as an Ordinary Resolution:

"RESOLVED THAT the Audited standalone and consolidated financial statements as at 31 March 2018 of the Company for the year ended on that date, together with Directors' Report and Auditors' Report thereon as presented to the meeting, be and are hereby, approved and adopted."

2. To consider and if thought fit, to pass the following Resolution as an Ordinary Resolution:

"RESOLVED THAT Mrs. Neelu Jain, (DIN 00227058) Director of the Company, who retires by rotation and being eligible for re-appointment, be and is hereby, re-appointed as a Director of the Company."

#### SPECIAL BUSINESS:

3. To consider and if thought fit, to pass, with or without modification(s), the following Resolution as an Ordinary Resolution :

"RESOLVED THAT pursuant to provisions of Section 188 of Companies Act, 2013, and all other applicable provisions, if any, of the Companies Act, 2013 ('the Act'), the Companies (Meetings of Board and its Powers) Rules, 2014 and such other rules as may be applicable and amended from time to time and Securities and Exchange Board of India (LODR) Regulations, 2015, ("Regulations") , consent of the members of the Company be and is hereby accorded to the Board of Directors of the Company to ratify and enter into Related Party Transactions as defined under section 188 of the Companies Act, 2013 with related party as mentioned in explanatory statement annexed to the notice which were executed and are still under execution and other transactions to be entered into by the Company.

"FURTHER RESOLVED THAT the Board of Directors of the company, be and is hereby authorised to determine the actual sums to be involved in the proposed transactions and the terms & conditions related thereto and all other matters arising out of or incidental to the proposed transactions and generally to do all acts deeds and things that may be necessary proper, desirable or expedient and to execute all documents, agreements and writings as may be necessary, proper, desirable or expedient to give effect to this resolution"

"RESOLVED FURTHER THAT the Board of Directors be and hereby authorized to delegate all or any of the powers conferred on it by or under this Resolution to any Committee of Directors

of the Company or to any Director of the Company or any other officer(s) or employee(s) of the Company as it may consider appropriate in order to give effect to this Resolution."

4. To consider and if thought fit, to pass the following Resolution as Special Resolution:

"RESOLVED that pursuant to Regulation 17(1A) of SEBI (Listing Obligations and Disclosure Requirements) (Amendment) Regulations, 2018, sanction be and is hereby accorded to Mr. Krishan Lall Khetarpaul (DIN: 01268756) Director of the Company to continue to hold office of Independent Director under the current tenure of appointment which ends on 29th September, 2019 notwithstanding that he has attained the age of 75 years on 2<sup>nd</sup> July, 2018."

By Order of the Board of Directors

New Delhi  
August 10, 2018

**AJAY PRATAP**  
Company Secretary &  
Vice President Corporate Affairs

#### Notes

1. Statement pursuant to Section 102(1) of the Companies Act, 2013, ("The Act") relating to the Special Business to be transacted at the Meeting is annexed hereto.

2. **A MEMBER ENTITLED TO ATTEND AND VOTE IS ENTITLED TO APPOINT A PROXY TO ATTEND AND VOTE INSTEAD OF HIMSELF / HERSELF ON A POLL ONLY AND THE PROXY NEED NOT BE A MEMBER OF THE COMPANY. THE INSTRUMENT APPOINTING THE PROXY, IN WRITING, SHOULD, HOWEVER, BE DEPOSITED AT THE REGISTERED OFFICE OF THE COMPANY, NOT LESS THAN FORTY EIGHT HOURS BEFORE THE COMMENCEMENT OF THE MEETING.**

**A PERSON CAN ACT AS A PROXY ON BEHALF OF MEMBERS NOT EXCEEDING FIFTY AND HOLDING IN THE AGGREGATE NOT MORE THAN TEN PERCENT OF THE TOTAL SHARE CAPITAL OF THE COMPANY CARRYING VOTING RIGHTS. A MEMBER HOLDING MORE THAN TEN PERCENT OF THE TOTAL SHARE CAPITAL OF THE COMPANY CARRYING VOTING RIGHTS MAY APPOINT A SINGLE PERSON AS PROXY AND SUCH PERSON SHALL NOT ACT AS A PROXY FOR ANY OTHER PERSON OR MEMBER.**

3. The Register of Members and Transfer Books of the Company will be closed from Friday, 21 September 2018 to Thursday, 27 September 2018, both days inclusive.
4. Members holding shares in physical form are requested to advise any change of address immediately to the Company's Registrar and Share Transfer Agent namely Beetal Financial & Computer Services Pvt. Ltd. Members holding shares in electronic form must send the advice about change in address to their respective Depository Participant only and not to the

Company or the Company's Registrar and Share Transfer Agent.

5. As a measure of austerity, copies of the Annual Report will not be distributed at the Annual General Meeting. Members are therefore, requested to bring their copies of the Annual Report to the Meeting.
6. Members/Proxies should bring the Attendance Slip, duly filled in, for attending the Meeting. In case of joint holders attending the meeting, only such joint holder who is first in the order of names, will be entitled to vote.
7. All documents referred to in the accompanying Notice and Explanatory Statement shall be open for public inspection at the Registered Office of the Company on all working days, except Saturdays, between 11.00 a.m. to 1.00 p.m. upto and inclusive of the date of the Annual General Meeting.
8. Corporate Members intending to send their authorized representatives to attend the Annual General Meeting are advised to send a duly certified copy of the Board Resolution authorizing their representative to attend and vote at the Meeting.
9. Members are requested to note that, dividends if not encashed for a consecutive period of 7 years from the date of transfer to Unpaid Dividend Account of the Company, are liable to be transferred to the Investor Education and Protection Fund (IEPF). The shares in respect of such unclaimed dividends are also liable to be transferred to the demat account of the IEPF Authority. In view of this, Members are requested to claim their dividends from the Company, within the stipulated timeline. The Members, whose unclaimed dividends/shares have been transferred to IEPF, may claim the same by making an application to the IEPF Authority in Form No. IEPF-5 available on [www.iepf.gov.in](http://www.iepf.gov.in).
10. The Securities and Exchange Board of India (SEBI) has mandated the submission of Permanent Account Number (PAN) by every participant in securities market. Members holding shares in electronic form are, therefore, requested to submit the PAN to their Depository Participant with whom they are maintaining their demat accounts. Members holding shares in physical form can submit their PAN details to the Company.
11. The Company is registered with the following depositories for dematerialization of its Equity Shares:
  - i) National Securities Depositories Ltd. (NSDL) at Trade World, 4th Floor, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013
  - ii) Central Depository Services (India) Ltd. (CDSL), at Marathon Futurex, A-wing, 25th Floor, NM Joshi Marg, Lower Parel (E), Mumbai - 400013  
The Registration No. granted by NSDL & CDSL is ISIN INE-326B01027.
12. The Notice of the AGM along with the Annual Report 2017-18 is being sent by electronic mode to those Members whose e-mail addresses are registered with the Company/Depositories, unless any Member has requested for a physical copy of the same. For Members who have not registered their e-mail addresses, physical copies are being sent by the permitted mode.
13. With a view to using natural resources responsibly, we request shareholders to update their email address with their Depository Participants to enable the Company to send communications electronically.
14. In compliance with the provisions of section 108 of the Act and the Rules framed thereunder, the Members are provided with

the facility to cast their vote electronically, through the e-voting services provided by CDSL, on all resolutions set forth in this Notice.

15. Members desiring any information / clarification on the Annual Accounts are requested to write to the Company at its Registered Office at least 15 days before the date of Annual General Meeting so that the same may be attended to, well in advance.
16. Details of Directors seeking appointment/re-appointment at the Annual General Meeting of the Company are provided in Annexure A of this Notice. The information or details pertaining to these Directors, to be provided in terms of Listing Regulations, are furnished in the Statement on Corporate Governance in this Annual Report.

By Order of the Board of Directors

New Delhi  
August 10, 2018

**AJAY PRATAP**  
Company Secretary &  
Vice President Corporate Affairs

### **Explanatory Statement**

#### **(Pursuant to section 102 of the Companies Act, 2013)**

As required by section 102 of the Companies Act, 2013 (Act), the following explanatory statement sets out all material facts relating to the business mentioned under Item Nos. 3 to 4 of the accompanying Notice:

#### **Item Nos. 3:**

Section 188 of the Companies Act, 2013 ("the Act") read with the Companies (Meetings of Board and its Powers) Rules, 2014 states that no company shall enter into transactions with a Related Party as defined under Section 2(76) of the Act and SEBI (LODR) Regulations, 2015 except with the consent of the Board and Members of the Company, where such transactions are either not (a) in Ordinary Course of Business or (b) on arm's length basis. Further, pursuant to provisions of Section 188 of the Companies Act, 2013, the Companies (Meeting of Board and its Powers) Rules, 2014, the Related Party Transactions as mentioned in clause (a) to (g) of the said section require a Company to obtain prior approval of the Board of Directors and subsequently the Shareholders of the Company by way of ordinary resolution in case the value of the Related Party Transactions exceeds the stipulated thresholds prescribed in Rule 15 of the said Rules and transactions other than in ordinary course of business and on arm's length basis. SEBI (LODR) Regulations, 2015, also requires that all material related party transactions shall require approval of the shareholders through ordinary resolution. Your Company has some related party transactions which are in existence and likely to continue in the financial year 2018-19 or beyond. Accordingly, on recommendation of Audit Committee, Board of Directors of the Company has in its meeting held on August 10, 2018 approved related party transactions and now seek approval of the shareholders by way of Ordinary Resolution under Section 188 of the Companies Act, 2013, the Companies (Meeting of Board and its Powers) Rules, 2014 and SEBI (LODR) Regulations, 2015 to continue or enable the Company to enter into such related Party Transactions in one or more tranches. The Company enters all related party transactions, including the transactions under consideration in the ordinary course of business and at arm length basis. Members' approval is sought under the relevant provisions of the Act as an abundant caution. Further, the said transactions may qualify as material transactions as per SEBI (LODR) Regulations, 2015. Accordingly, the Members' approval is sought for the same. Information required to be provided under Rule 15(3) of the Companies (Meetings of Board and its Powers) Rules, 2014 is as under:

Name of the Related Party	Nature of transaction	Other details	Maximum Amount per annum (Rs. in crores)
Subsidiaries / Step Down subsidiary / Joint Venture / Associates incorporated / to be incorporated, From time to time as per regulatory requirement / strategic business decision List of existing Subsidiaries, Associates is given herein below	Receipt or payment for the services rendered or received	The Company being engaged in the service sector has floated other Companies, subsidiaries and associates to take up other services sector. The Company receives and render services as and when needed and accordingly make or receive payment/ charges for the same. The transactions are done the prevailing market rates	25

1. Almondz Commodities Private Limited
2. Almondz Finanz Limited
3. Avonmore Capital & Management Services Limited
4. Innovative Money Matters Private Limited
5. Almondz Wealth Advisors Limited
6. Skiffle Healthcares Services Limited
7. Almondz Global Infra Consultants Limited
8. Rinku Sobti Fashions Private Limited
9. Almondz Insolvency Resolutions Services Private Limited
10. Yug Infrastructures Private Limited
11. Avonmore Developers Private Limited
12. Anemone Holdings Private Limited
13. Carya Chemicals Private Limited
14. North Square Projects Private Limited
15. Almondz Infosystem Private Limited
16. Willis Towers Watson India Insurance Brokers Private Limited
17. Premier Alcobeve Private Limited
18. Almondz Debt Advisors Limited
19. Amandeep Johl Golf Academy Private Limited

The Board recommends the Resolution at Item No. 3 of the Notice for approval of the shareholders by an Ordinary Resolution. None of the Directors and Key Managerial Personnel of the Company, save and except to the extent of their share holding interest in the respective company, or their respective relatives are concerned or interested in the passing of the Resolution at Item No. 3.

**Item Nos. 4:**

Mr. Krishan Lall Khetarpaul - Independent Director was appointed on 29<sup>th</sup> September 2014 in terms of Companies Act, 2013. At the time of the appointment his age was 71 years. SEBI has amended the LODR, Regulations, 2015 vide circular dated 9th May 2018 which require reappointment/ continuance of any Non-Executive Director who has attained the age of 75 years to be approved by the shareholders by way of a Special Resolution. Though Mr. Krishan Lall Khetarpaul can hold office under the erstwhile resolution, he has attained the age of 75 years during the term of his appointment. Hence this Special Resolution is placed before the shareholders by way of abundant caution for adoption.

No Director, Key Managerial Personnel or their relatives except Mr. Krishan lall Khetarpaul to whom the resolution relates, is interested or concerned in the resolution.

Your Directors commend the resolution for approval of the members.

**Annexure A**

**Details of Directors seeking appointment/re- appointment at the Annual General Meeting**

pursuant to Regulation 36 of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 and Standard 1.2.5 of SS-2, Secretarial Standard on General Meetings

Name of the Director	Mrs. Neelu Jain	Mr. Krishan Lall Khetarpaul
Date of Birth	12.06.1956	02.07.1943
PAN No.	AADPJ6116B	AAEPK6610N
DIN	00227058	01268756
Relationship with Directors	None	None
Expertise in specific functional area	She is having vast experience in the field of teaching, administration and human resources. She is also associated a Director in Jan Sikshan Sansthan and works for upliftment and empowerment of women. She is also a member of the Prevention of Sexual Harrasment Committee of the Company.	Mr. Khetarpaul is a seasoned banker having more than 44 years of experience with the Reserve Bank of India. He joined the Reserve Bank of India in 1965 as a Probationary Officer and retired from the services in the year 2003 as its Executive Director. Thereafter, he worked as the Director and CEO of Punjab National Bank Institute of Information Technology.
Qualification	M.A in English	
Board Membership of other companies as on March 31, 2018	Almondz Finanz Ltd., Hitech Machines Pvt. Ltd.	Jasch Industries Ltd., Paul Merchants Ltd., Tamohara Investment Managers Pvt. Ltd.
Chairman/ Member of the Committee of the Board of Directors as on March 31, 2018	NIL	Audit Committee, Nomination and Remuneration Committee, CSR Committee

Name of the Director	Mrs. Neelu Jain	Mr. Krishan Lall Khetarpaul
Chairman/Member of the Committee of Directors of other companies in which he is a director as on March 31, 2018	NIL	NIL
a) Audit Committee	NIL	NIL
b) Shareholders' Grievance Committee	NIL	NIL
Number of shares held in the Company as on March 31, 2018	NIL	NIL

**The instructions for shareholders voting electronically are as under :**

- (i) The voting period begins on September 24, 2018 at 10:00 a.m. and ends on September 26, 2018 at 5 : 00 p.m. During this period shareholders' of the Company, holding shares either in physical form or in dematerialized form, as on the cutoff date (record date) of September 20, 2018, may cast their vote electronically. The e-voting module shall be disabled by CDSL for voting thereafter.
- (ii) Shareholders who have already voted prior to the meeting date would not be entitled to vote at the meeting venue.
- (iii) The shareholders should log on to the e-voting website [www.evotingindia.com](http://www.evotingindia.com).
- (iv) Click on Shareholders.

- (v) Now Enter your User ID
  - a. For CDSL : 16 digits beneficiary ID,
  - b. For NSDL : 8 Character DP ID followed by 8 Digits Client ID,
  - c. Members holding shares in Physical Form should enter Folio Number registered with the Company.
- (vi) Next enter the Image Verification as displayed and Click on Login.
- (vii) If you are holding shares in demat form and had logged on to [www.evotingindia.com](http://www.evotingindia.com) and voted on an earlier voting of any company, then your existing password is to be used.
- (viii) If you are a first time user follow the steps given below :

For Members holding shares in Demat & Physical Form	
PAN*	<p>Enter your 10 digit alpha-numeric *PAN issued by Income Tax Department (Applicable for both demat shareholders as well as physical shareholders)</p> <ul style="list-style-type: none"> <li>• Members who have not updated their PAN with the Company / Depository Participant are requested to use the first two letters of their name and the 8 digits of the sequence number in the PAN field. Sequence number is printed on mailing address.</li> <li>• In case the sequence number is less than 8 digits enter the applicable number of 0's before the number after the first two characters of the name in CAPITAL letters. Eg. If your name is Ramesh Kumar with sequence number 1 then enter RA00000001 in the PAN field.</li> </ul>
Dividend Bank Detail Date of Birth (DOB)	<p>Enter the Dividend Bank Details or Date of Birth Details in (dd / mm / yyyy format) as recorded in your demat account or in the company records in order to login.</p> <ul style="list-style-type: none"> <li>• If both the details are not recorded with the depository or company please enter the member id / folio number in the Dividend Bank details field as mentioned in instruction (v).</li> </ul>

- (ix) After entering these details appropriately, click on "SUBMIT" tab.
- (x) Members holding shares in physical form will then directly reach the Company selection screen. However, members holding shares in demat form will now reach 'Password Creation' menu wherein they are required to mandatorily enter their login password in the new password field. Kindly note that this password is to be also used by the demat holders for voting for resolutions of any other company on which they are eligible to vote, provided that company opts for e-voting through CDSL platform. It is strongly recommended not to share your password with any other person and take utmost care to keep your password confidential.
- (xi) For Members holding shares in physical form, the details can be used only for e-voting on the resolutions contained in this Notice.
- (xii) Click on the EVSN for the relevant Company Name on which you choose to vote.
- (xiii) On the voting page, you will see "RESOLUTION DESCRIPTION"

- and against the same the option "YES / NO" for voting. Select the option YES or NO as desired. The option YES implies that you assent to the Resolution and option NO implies that you dissent to the Resolution.
- (xvi) Click on the "RESOLUTIONS FILE LINK" if you wish to view the entire Resolution details.
- (xv) After selecting the resolution you have decided to vote on, click on "SUBMIT". A confirmation box will be displayed. If you wish to confirm your vote, click on "OK", else to change your vote, click on "CANCEL" and accordingly modify your vote.
- (xvi) Once you "CONFIRM" your vote on the resolution, you will not be allowed to modify your vote.
- xvii) You can also take out print of the voting done by you by clicking on "Click here to print" option on the Voting page.
- xviii) If Demat account holder has forgotten the changed password then Enter the User ID and the image verification code and click on Forgot Password & enter the details as prompted by the system.

(xix) Note for Non – Individual Shareholders and Custodians.

- Non-Individual shareholders (i.e. other than Individuals, HUF, NRI etc.) and Custodian are required to log on to [www.evotingindia.com](http://www.evotingindia.com) and register themselves as Corporates.
- A scanned copy of the Registration Form bearing the stamp and sign of the entity should be emailed to [helpdesk.evoting@cdslindia.com](mailto:helpdesk.evoting@cdslindia.com).
- After receiving the login details a compliance user should be created using the admin login and password. The Compliance user would be able to link the account(s) for which they wish to vote on.
- The list of accounts should be mailed to [helpdesk.evoting@cdslindia.com](mailto:helpdesk.evoting@cdslindia.com) and on approval of the accounts they would be able to cast their vote.
- A scanned copy of the Board Resolution and Power of Attorney (POA) which they have issued in favour of the Custodian, if any, should be uploaded in PDF format in the system for the scrutinizer to verify the same.
  - (i) Any person, who acquires shares of the Company and become Member of the Company after dispatch of the Notice and holding shares as on the cut-off date i.e. September 20, 2018 may follow the same instructions as mentioned above for e-Voting.
  - (ii) In case you have any queries or issues regarding e-voting, you may refer the Frequently Asked Questions (“FAQs”) and e-voting manual available at [www.evotingindia.com](http://www.evotingindia.com), under help section or write an email to [helpdesk.evoting@cdslindia.com](mailto:helpdesk.evoting@cdslindia.com)

**The instructions for shareholders voting physically are as under:**

1. A Shareholder desirous of exercising vote by physical Postal Ballot should complete the Postal Ballot Form in all respects and send it after signature to the Scrutinizer in the attached self-addressed envelope on which postage will be paid by the Company which shall be properly sealed with adhesive or adhesive tape. However, envelopes containing Postal Ballot Form, if sent by courier, at the expense of the Member will also be accepted. Members are requested to convey their assent or dissent in this Postal Ballot Form only. The assent or dissent received in any other form or manner shall be considered as invalid.
2. The self-addressed envelope bears the name of the Scrutinizer appointed by the Board of Directors of the Company and the address to which the same needs to be dispatched.

3. The Postal Ballot Form should be signed by the Shareholder as per specimen signature registered with the Registrar / Depository. In case the Equity Shares are jointly held, this Form should be completed and signed (as per specimen signature registered with Registrar / Depository) by the first named Member and in his / her absence, by the next named Member. Holders of Power of Attorney (POA) on behalf of the Members may vote on the Postal Ballot mentioning the registration number of the POA or enclosing an attested copy of the POA. Unsigned Postal Ballot Forms will be rejected.
4. Duly completed Postal Ballot Form should reach the Scrutinizer not later than 5.00 pm on September 26, 2018. Postal Ballot Form received after that date will be strictly treated as if reply from such Member has not been received. The Members are requested to send the duly completed Postal Ballot Form well before the last date providing sufficient time for the postal transit.
5. In case of Equity Shares held by companies, trusts, societies, etc., the duly completed Postal Ballot Form should be accompanied by a certified copy of Board Resolution /authority and preferably with attested specimen signature(s) of the duly authorized signatories giving requisite authorities to the person voting on the Postal Ballot Form.
6. Shareholders are requested not to send any paper (other than the Resolution / authority as mentioned under instruction above) along with the Postal Ballot Form in the enclosed self- addressed postage pre-paid envelope as all such envelopes will be sent to the Scrutinizer and if any extraneous paper is found in such envelope, the same would not be considered and would be destroyed by the Scrutinizer.
7. There will be only one Postal Ballot Form for every folio / client ID irrespective of the number of the joint Members. On receipt of the duplicate Postal Ballot Form, the original will be rejected.
8. A Member may request for a duplicate Postal Ballot Form, if so required or can download the Postal Ballot Form from the Company's website [www.almondzglobal.com](http://www.almondzglobal.com) and the duly completed Postal Ballot Form should reach the Scrutinizer not later than the last date for voting mentioned above.
9. The votes should be cast either in favour of or against by putting the tick (✓) mark in the column provided for assent or dissent. Postal Ballot Form bearing (✓) in both the columns will render the Form invalid.
10. Incomplete, unsigned or improperly or incorrectly filled Postal Ballot Form shall be rejected.

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PROXY FORM

ALMONDZ GLOBAL SECURITIES LIMITED

Corporate Identity Number (CIN) : L74899DL1994PLC059839

Regd. Office : 2nd Floor, 3, Scindia House, Janpath, New Delhi - 110 001

Tel : 011-41514666; Website : www.almondzglobal.com; email id : secretarial@almondz.com

Name of the Member(s) :
Address of the Member :
E-mail ID :
Client ID No. / Regd. Folio No. \* :
DP ID No. :

\*Applicable for investors holding shares in physical form.

I / We being a Member / Members of shares of the above named Company hereby appoint

- 1. Name ..... Address .....
E-mail ID ..... Signature.....or failing him/her
2. Name ..... Address .....
E-mail ID ..... Signature.....or failing him/her
3. Name ..... Address .....
E-mail ID ..... Signature.....or failing him/her

as my / our proxy to attend and vote (on a poll) for me / us and on my / our behalf at the 24th Annual General Meeting of the Company, to be held on Thursday, the 27th day of September, 2018 at 10.30 a.m. at M. P. C. U. Shah Auditorium, Shree Delhi Gujarati Samaj (Regd.), 2, Raj Niwas Marg, Civil Lines, Delhi – 110 054 and at any adjournment thereof in respect of such resolutions as are indicated below :

Table with 4 columns: Item No., Resolution, For, Against. Rows include Adoption of Annual Financial Results, Appointment of Mrs. Neelu Jain, Approval for entering into Related Party Transactions, and Approval to Mr. K.L. Khetarpaul.

Signed this ..... day of ..... 2018.

Signature of Shareholder .....

Signature of Proxy .....

Affix a Re. 1/- Revenue Stamp

- NOTE : (i) this form of proxy in order to be effective should be duly completed and deposited at Registered office of the Company, not less than 48 hours before the commencement of the Meeting.
(ii) Please complete all details including all details of Member(s) in above box before submission

TEAR HERE



ALMONDZ GLOBAL SECURITIES LIMITED

Corporate Identity Number (CIN) : L74899DL1994PLC059839

Regd. Office : 2nd Floor, 3, Scindia House, Janpath, New Delhi - 110 001

Tel : 011-41514666; Website : www.almondzglobal.com; email id : secretarial@almondz.com

ATTENDANCE SLIP

I hereby record my presence at the 24th ANNUAL GENERAL MEETING of the Company held on Thursday, the 27th day of September, 2018 at 10.30 a.m. at M. P. C. U. Shah Auditorium, Shree Delhi Gujarati Samaj (Regd.), 2, Raj Niwas Marg, Civil Lines, Delhi – 110 054

Name of the Member / Proxy.....
(in block letters)

Please (✓) in the Appropriate Box [ ] Member [ ] Proxy

CLIENT ID No. [ ] No. of Shares [ ]
DP ID No. [ ] Regd. Folio No. \* [ ]
(Signature of the Member / Proxy)

\* Applicable for investor holding shares in physical form.

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**ALMONDZ GLOBAL SECURITIES LIMITED**

Corporate Identity Number (CIN) : L74899DL1994PLC059839

Regd. Office : 2nd Floor, 3, Scindia House, Janpath, New Delhi - 110 001

Tel : 011-41514666; Website : [www.almondzglobal.com](http://www.almondzglobal.com); email id : secretarial@almondz.com

**BALLOT FORM**

1. Name (s) of Shareholder(s) / Beneficial Owner :  
including joint-holders, if any
2. Registered Address of the Sole / :  
First named Shareholder
3. Registered Folio No. / Client ID No. :
4. No. of Shares held :
5. I / we hereby exercise my / our vote in respect of the Resolution / s through Ballot for the business stated in the Notice of the Company by sending my / our assent / dissent to the said Resolution/s by placing Tick (✓) mark at the appropriate box below :

S.No.	Resolution Type	Particulars	I / We assent to the Resolution/s	I / We dissent to the Resolution/s
1.	Ordinary	Adoption of Annual Financial Results and other Reports of the Company		
2.	Ordinary	Appointment of Mrs. Neelu Jain as an Ordinary Director of the Company		
3.	Ordinary	Approval for entering into Related Party Transactions		
4.	Special	Approval to Mr. K.L. Khetarpaul to continue under the tenure of independent director beyond the age of 75 years.		

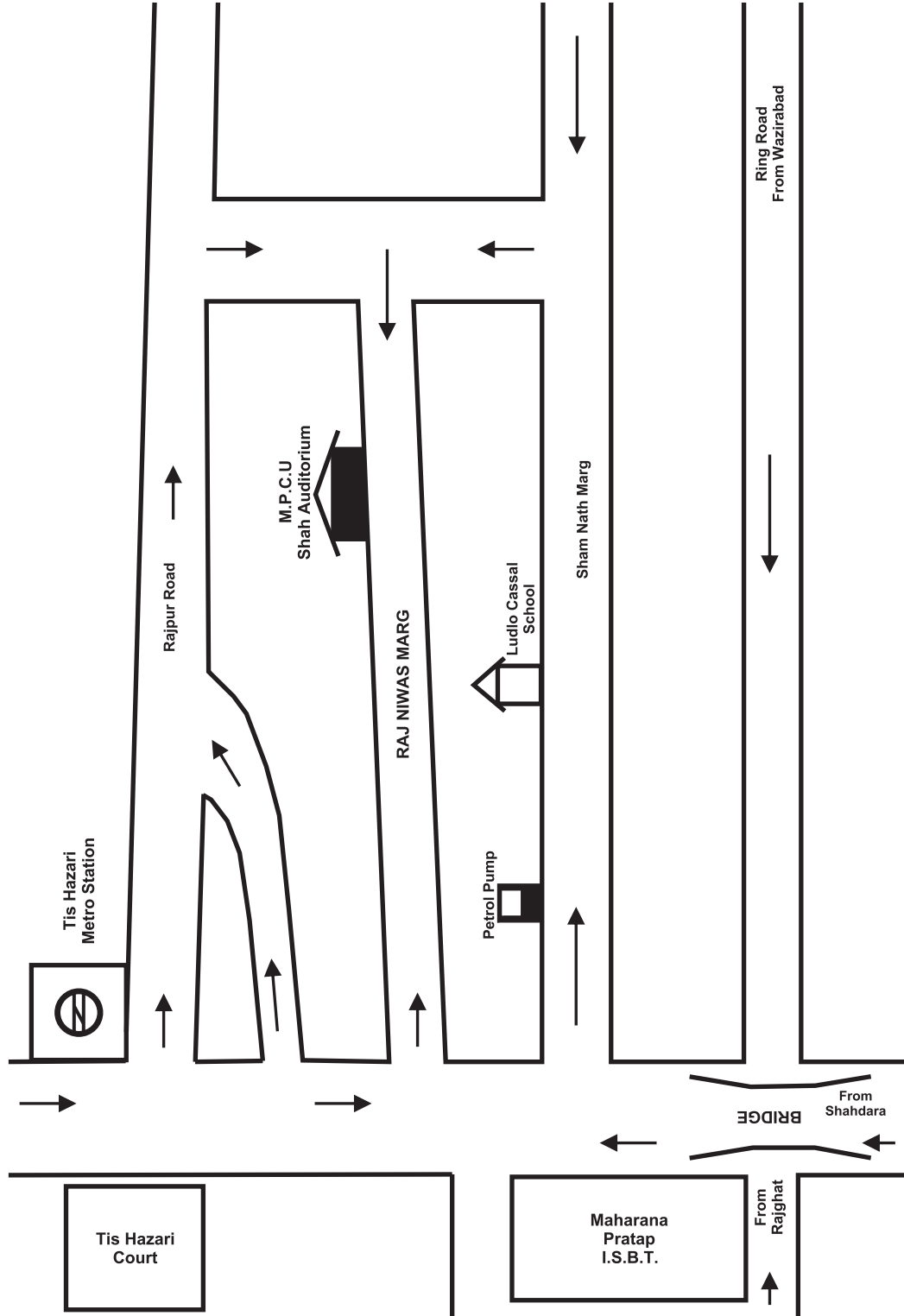
Place :

Date :

.....  
Signature of the Shareholder / Beneficial Owner

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**VENUE ROUTE MAP FOR ANNUAL GENERAL MEETING, MPCU SHAH AUDITORIUM**



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