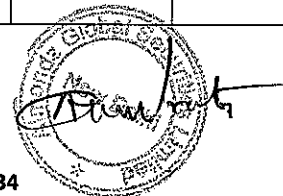


1. Name of the Listed Entity: **Almondz Global Securities Ltd**
2. Quarter Ending: **30 September 2018**

1. Composition of Board of Directors								
Title (Mr./Ms)	Name of the Director	PANs and DIN	Category (Chairperson/ Executive/Non- Executive/Independent/Non minee)&	Date of Appointment in the current term/cessation	Tenure*	No. of Directorship in listed entities including this listed entity (Refer Regulations 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulations 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this entity (Refer Regulations 26(1) of Listing Regulations)
Mr.	Atul Kumar Shukla	PAN:AHBPS 7974D; DIN: 00121601	Chairperson/ Independent/ Non Executive	29 September 2014	5 Years	2	3	1
Mr.	Navjeet Singh Sobti	PAN:AAYP 3411R DIN:00008 393	Executive	12 August 2014	-	1	1	NIL
Mr.	Jagdeep Singh	PAN:AXKPS 8228D DIN:00008 348	Executive	01 December 2014	-	1	1	NIL
Mr.	Krishan Lall Khetarpaul	PAN:AAEPK 6610N DIN:01268 756	Independent/ Non Executive	29 September 2014	5 Years	2	1	NIL
Mr.	Ajay Kumar	PAN:AEMP K5513J DIN:01954 049	Independent/ Non Executive	11 August 2015	5 Years	2	1	3
Ms.	Neelu Jain	PAN:AADPJ 6116B DIN:00227 058	Non Executive	12 August 2014	-	1	1	NIL
Mr.	Abdul Redha Mustafa Abdul Redha Sultan **	DIN:05101 233 PAN:ZZZZ 9999Z	Non Executive	11 November 2011	-	1	NIL	NIL
Mr.	Sanjay Tiwari	PAN:AAMP T9380J DIN:03407 426	Non Executive	10 February 2011	-	1	NIL	NIL
Mr.	Satish Chandra Sinha	PAN:ADSPS 3128C DIN:03598 173	Independent/ Non Executive	25 May 2016	5 years	2	1	1



SEBI Merchant Banking Registration No. INM000000834

Mr.	Debashish Ghoshal	PAN:ACWP G3140P DIN: 03040937	Executive	10 August 2017	3 Years	1	NIL	NIL
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§ PAN Number of any director would not be displayed on the website of Stock Exchange & Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen
*To be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of Directors of the listed entity in continuity without any cooling off period.

** Mr. Abdul Redha Mustafa Abdul Redha Sultan is an OMANI Resident.

II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee)§
1. Audit Committee	(i) Mr. Ajay Kumar, (ii) Mr. Atul Kumar Shukla (iii) Mr. Krishan Lall Khetarpaul (iv) Mr. Satish Chandra Sinha	Chairperson/Independent/Non Executive Independent/Non executive Independent/ Non Executive Independent/ Non Executive
2. Nomination & Remuneration Committee	(i) Mr. Ajay Kumar, (ii) Mr. Atul Kumar Shukla (iii) Mr. Krishan Lall Khetarpaul	Chairperson/Independent/Non Executive Independent/Non Executive Independent/ Non Executive
3. Risk Management Committee	(Not applicable)	(Not applicable)
4. Stakeholders Relationship Committee	(i) Mr. Ajay Kumar (ii) Mr. Navjeet Singh Sobti (iii) Mr. Jagdeep Singh	Chairperson/Independent/Non Executive Executive Executive

& Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one Category write all categories separating them with hyphen

III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive meetings in number of days*
25 May 2018	10 August 2018	76 Days

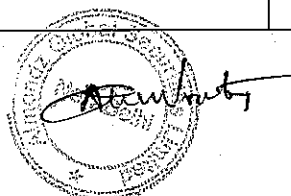
IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
10 August 2018 (Audit Committee)	Yes (out of 4 Members 4 were present)	25 May 2018 (Audit Committee)	76 Days

*This information has to be mandatorily be given for audit committee, for rest of the committee giving this information is optional

V. Related Party Transactions

Subject	Compliance Status (Yes/No/NA) refer to note below



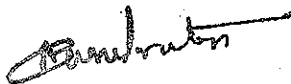
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	No Material Transaction was involved ; hence shareholders approval not required
Whether details of RPT. entered into pursuant to omnibus approval have been reviewed by audit committee	NA

Note:

- In the column "Compliance Status" Compliance or non-compliance may be indicated by Yes/No/N.A.. for example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case Listing Entity has no related party transactions, the word "N.A. may be indicated.
- If status is "No" details of non compliance may be given here.

VI. Affirmations

- The composition of Board of Director is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- The composition of the following committee is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - Audit Committee
 - Nomination & Remuneration Committee
 - Stakeholders Relationship Committee
 - Risk management committee (applicable to the top 100 listed entities)
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI(Listing obligations and disclosure requirements) Regulations, 2015
- The meetings of the board of directors and the above committees have been conducted in the manners specified in SEBI(Listing obligations and disclosure requirements) Regulations, 2015.
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: First Report. This will be placed in the ensuing Board Meeting



Ajay Pratap
Company Secretary/Compliance Officer/Managing Director/CEO



**Annexure III
Affirmation**

Board Heading	Regulation Number	Compliance Status	Company Remarks
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18 (1) (d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Name: Ajay Pratap
Designation: Company Secretary

