

Almondz Global Securities Ltd.

Ref:agsl/corres/Bse/18-19/cl40(9)/003

10 April, 2018

✓
**The General Manager
(Listing & Corporate Relations)
Bombay Stock Exchange Ltd.
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001**

~~**The Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai – 400051**~~

**Re: Compliance Certificate-Regulation 40 (9) of Securities and Exchange Board of India
(Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year
ended 31 March 2018**

Sir/Ma'm

Pursuant to Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached herewith the certificate issued by Mr. C.B. Mishra, Practicing Company Secretary for half year ended 31 March 2018.

You are requested to kindly take the same on your record

Thanking you,

Yours Faithfully,
For Almondz Global Securities Ltd.

**Ajay Pratap
Company Secretary &
Vice President Corporate Affairs**

Encl: a/a



Certificate under Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015 for the half year ended 31/03/2018

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s. Almondz Global Securities Limited maintained by M/s. Beetal Financial and Computer Services Private Ltd. (the RTA) pertaining to transfer of equity shares of the Company for the period from 1st October, 2017 to 31st March, 2018 for the purpose of issuing a Certificate as per Regulation 40(9) of the Listing Regulations and based on the information provided by the RTA, we hereby certify that the Company has delivered during half year ended on 31st March 2018:

- A) Share Certificates relating to the transfer of shares received during the period from 1st October, 2017 to 31st March, 2018 as entered in the Memorandum of Transfers have been issued within Thirty days of the date of lodgment for transfer, transmissions, sub-division, consolidation, renewal of each deed excepting those rejected on technical grounds.
- B) Any other information, if applicable : NIL

For C.B. Mishra & Associates
Company Secretaries

Place: New Delhi

Date : 09/04/2018

(C.B. MISHRA)
Prop.